503697329 02/18/2016

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3743967

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
NORTH AMERICAN ENERGY CREDIT AND CLEARING CORPORATION	03/03/2010

RECEIVING PARTY DATA

Name:	NASDAQ OMX COMMODITIES CLEARING COMPANY	
Street Address:	311 SOUTH WACKER DRIVE, SUITE 1750	
City:	CHICAGO	
State/Country:	ILLINOIS	
Postal Code:	60606	

PROPERTY NUMBERS Total: 1

Property Type	Number
Patent Number:	7882016

CORRESPONDENCE DATA

Fax Number: (703)816-4100

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 703-816-4000

Email: krm@nixonvan.com, ptomail@nixonvan.com

Correspondent Name: JOHN R. LASTOVA

Address Line 1: 901 NORTH GLEBE ROAD, 11TH FLOOR

Address Line 4: ARLINGTON, VIRGINIA 22203

ATTORNEY DOCKET NUMBER:	JRL-4010-234B	
NAME OF SUBMITTER:	JOHN R. LASTOVA	
SIGNATURE:	/John R. Lastova/	
DATE SIGNED:	02/18/2016	

Total Attachments: 3

source=4010-234Assignment2#page1.tif source=4010-234Assignment2#page2.tif source=4010-234Assignment2#page3.tif

PATENT 503697329 REEL: 037760 FRAME: 0944

ASSIGNMENT AND ASSUMPTION OF

INTELLECTUAL PROPERTY

THIS ASSIGNMENT AND ASSUMPTION OF INTELLECTUAL PROPERTY (this "<u>Assignment</u>") is dated as of March 3, 2010 (the "<u>Effective Date</u>"), by and between **North American Energy Credit and Clearing Corp.**, a Delaware corporation ("<u>Assignor</u>") and **NECCLear LLC**, a Delaware limited liability company ("Assignee").

WITNESSETH:

WHEREAS, Assignor is the owner of the intellectual property described on <u>Exhibit A</u>, attached hereto and incorporated herein (the "<u>Intellectual Property</u>").

WHEREAS, Assignee is a wholly owned subsidiary of Assignor and as a condition precedent to the closing of the sale of all of the membership interests of Assignee to Nasdaq OMX Commodities Clearing Company ("NASDAQ") pursuant to that certain Purchase Agreement dated the date hereof, NASDAQ requires that the Intellectual Property be assigned and assumed by Assignee.

WHEREAS, Assignor desires to assign all of its right, title and interest to Assignee and Assignor desires to assume all of Assignor's right, title and interest in the Intellectual Property;

NOW, THEREFORE, in consideration of the mutual agreements hereinafter set forth, and other good and valuable consideration, the receipt and sufficiency of which are acknowledged by each of the parties hereto, Assignor and Assignee do hereby agree as follows:

- 1. <u>Assignment.</u> As of the Effective Date, Assignor hereby gives, grants, bargains, sells, conveys, transfers, assigns and sets over unto Assignee, its successors and assigns, all of Assignor's right, title and interest in and to the Intellectual Property, together with the right to sue and recover for, and the right to profits or damages due or accrued arising out of or in connection with any and all past, present or future infringements or dilution of or damages or injury to the Intellectual Property.
- 2. <u>Assumption</u>. As of the Effective Date, Assignee hereby assumes all of the Assignor's right, title and interest in and to the Intellectual Property.
- 3. <u>Successors and Assigns</u>. The terms and conditions of this Assignment shall be binding upon and shall inure to the benefit of the parties hereto and their respective heirs, personal representatives, successors and permitted assigns.
- 4. <u>Counterparts</u>. This Assignment may be executed in several counterparts, each of which will be deemed an original document, but all of which will constitute a single document.
- 5. <u>Choice of Law.</u> This Assignment shall be governed and construed in accordance with the laws of the State of Illinois, without giving effect to the principles of conflicts of law thereof.

EXECUTION PAGE FOLLOWS

PATENT REEL: 037760 FRAME: 0945 IN WITNESS WHEREOF, the parties hereto have caused this Assignment to be duly executed.

ASSIGNOR:

ASSIGNEE:

NORTH AMERICAN ENERGY CREDIT AND CLEARING CORP., a Delaware corporation

NECCLEAR LLC, a Delaware limited liability company

Name: George Stadoje

By: NORTH AMERICAN ENERGY CREDIT AND CLEARING CORP., its sole member

By State & Cakeye Name: George Spladoje

Title: Chief Executive Officer

EXHIBIT A

Intellectual Property

Patent

United States Patent and Trademark Office patent application 10/741018, filed December 19, 2003

Trademark

United States Patent and Trademark Office trademark application 77183453 for the mark, "PECS – Physical Energy Clearing Systems", filed on March 17, 2007.

Other

All Assignor's trade secrets, know how, proprietary information, common law copyrights, work product, all internet domains, electronic addresses, websites, uniform resource locators and alphanumeric designations associated therewith and all registrations for any of the foregoing.

Sladoje/Assignment and Assumption of Intellectual Property (execution)

RECORDED: 02/18/2016

PATENT REEL: 037760 FRAME: 0947