503714748 02/29/2016

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3761389

SUBMISSION TYPE:		NEW ASSIGNMENT			
			ASSIGNMENT		
CONVEYING PARTY	DATA			Execution Date	
		Name	Name		
THE REGENTS OF TH	HE UNIVER	RSITY OF CALIFORNIA		02/25/2016	
RECEIVING PARTY D	ΟΑΤΑ				
Name:	Lawren	Lawrence Livermore National Security, LLC			
Street Address:	2300 Fi	rst Street			
Internal Address:	Suite 2	Suite 204			
City:	Livermo	ore			
State/Country:	CALIFO	CALIFORNIA			
Postal Code:	94550				
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PROPERTY NUMBER	RS Total: 1				
Property Type		Number			
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Patent Number:		8075712			
CORRESPONDENCE Fax Number:	E DATA	(925)423-2231			
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WORLD-WIDE ASSIGNMENT OF PATENT RIGHTS

For good and valuable consideration, the receipt of which is hereby acknowledged, The Regents of the University of California, a California corporation having its statewide administrative offices at 1111 Franklin Street, Oakland, CA 94607-5200, hereinafter referred to as "ASSIGNOR," hereby sells, conveys, assigns and transfers to Lawrence Livermore National Security, LLC, having offices at 2300 First Street, Suite 204, Livermore, CA 94550, hereinafter referred to as "ASSIGNEE," ASSIGNOR'S entire right, title and interest in and to the following:

(a) any provisional or non-provisional patent application or patent identified in the attached Schedule;

(b) any continuation or divisional patent application entitled to the priority date of an application or patent identified in the attached Schedule;

(c) any continuation-in-part or substitute application based on a patent application or patent described in (a) or (b) above, but only with regard to and to the extent of subject matter that either:

- (i) is described in and entitled to the priority date of an application or patent identified in (a) or (b) above; or
- (ii) is described in a continuation-in-part or substitute application filed prior to October 1, 2007 and based on an application or patent described in (a) or (b) above; or
- (iii) was conceived or actually reduced to practice prior to October 1, 2007;

(d) any patent already issued as of the effective date of this Assignment or issued after the date of this Assignment from an application described in (a) or (b) above, or from an application described in (c) above but subject to the same limitations as set forth in (c) above;

(c) any patent resulting from extension, renewal, reinstatement, reissue or re-examination, or any interference, opposition, or judicial proceeding, directed to a patent application or patent described above; and

(f) any foreign equivalent or counterpart of an application or patent described above.

This Assignment is subject to the terms of Contract No. W-7405-ENG-48 between ASSIGNOR and the National Nuclear Security Administration of the U.S. Department of Energy (DOE), and is subject to any license granted by ASSIGNOR under said Contract to the U.S. Government or to any third party pursuant to any license agreement, option agreement or other agreement.

ASSIGNOR and ASSIGNEE each covenant that they will, upon request, provide each other promptly with information and documents relating to inventions, inventors, and other information pertinent to patent applications, patents and legal equivalents thereof covered by this Assignment, to the extent known and accessible to them, and will cooperate and testify as to the same in any interference, litigation or proceeding related thereto; and ASSIGNOR will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce such applications, patents and legal equivalents, as may be necessary or desirable to carry out the purposes thereof.

ASSIGNEE hereby assumes responsibility for prosecution and maintenance of applications and patents conveyed by this Assignment, including costs and expenses of

Page 1 of 3

PATENT REEL: 037855 FRAME: 0200

prosecuting U.S. and non-U.S. patent applications conveyed by this Assignment, any U.S or non-U.S. administrative or judicial proceedings or litigation involving patent applications, patents or legal equivalents conveyed by this Assignment, and any maintenance or renewal costs associated with same, including costs and expenses incurred by ASSIGNEE prior to as well as subsequent to the date of this Assignment.

ASSIGNOR hereby grants to ASSIGNEE and its attorneys the power to insert in this Assignment any further identification that may be necessary or desirable to comply with the rules or requirements of the U.S. Patent and Trademark office, or any other non-U.S. patent office, for recordation of this Assignment.

This Assignment shall be effective as of the latter of the dates on which it is executed by ASSIGNOR and ASSIGNEE.

Agreed and Accepted By:

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Date: February 25, 2016

Bv:

-William T. Tucker, Ph.D. M.B.A. Executive Director Innovation Alliances and Services

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

SS.

County of Alameda

who proved to me on the basis of satisfactory evidence to be the <u>person(s)</u> whose name(s) is/are subscribed to the within instrument and acknowledged to me that <u>he/she/they</u> executed the same in <u>his/her/their</u> authorized <u>capacity(ies)</u>, and that by <u>his/her/their</u> signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal

Notary Public Signature:

Notary Public Seal



Page 2 of 3

PATENT REEL: 037855 FRAME: 0201 Agreed and Accepted By:

Date: Thehnan 23,2016

LAWRENCE LIVERMORE NATIONAL SECURITY, LLC

Mark H. Lough

Deputy General Counsel

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of <u>CA</u>)

ss.

County of Alameda)

On $2-23-11_{\circ}$ before me, <u>Kathleen C. Quinzon-Phillips</u>, personally appeared: <u>Mark H. Lough</u> who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal

Dunnon Phileips

Notary Public Signature:

Notary Public Seal:



Page 3 of 3

WORLD-WIDE ASSIGNMENT OF PATENT RIGHTS

COUNTRY	PATENT APPLICATION NO. or PATENT NO.	FILING OR GRANT DATE	TITLE
. US	8,075,712	12-13-2011	AMORPHOUS METAL FORMULATIONS AND
			STRUCTURED COATINGS
v			FOR CORROSION AND WEAR RESISTANCE

<u>Schedule</u> IL-11628A

All of the foregoing applications naming inventors identified as:

Joseph C. Farmer

PATENT REEL: 037855 FRAME: 0203

RECORDED: 02/29/2016