503727594 03/08/2016

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
SEQUENCE:	3

CONVEYING PARTY DATA

Name	Execution Date
ALCON RESEARCH, LTD.	03/08/2016

RECEIVING PARTY DATA

Name:	NOVARTIS AG
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PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15064363

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NAME OF SUBMITTER:	DEENA NUTT
SIGNATURE:	/DEENA NUTT/
DATE SIGNED:	03/08/2016

Total Attachments: 3

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PATENT 503727594 REEL: 037925 FRAME: 0229

ASSIGNMENT

This Assignment Agreement is entered into by and between Alcon Research, Ltd., 6201 South Freeway, Fort Worth, TX 76134-2099, a company organized under the laws of the State of Delaware, and NOVARTIS AG, a company incorporated in Switzerland whose address is Lichtstrasse 35, 4056 Basel, Switzerland.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, Alcon Research, Ltd. does hereby sell, assign and transfer to NOVARTIS AG and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of its right, title and interest for all countries of the world in and to

(1) all inventions and discoveries described in the provisional or non-provisional patent application(s) entitled

DUAL OPTIC, CURVATURE CHANGING ACCOMMODATIVE IOL HAVING A FIXED DISACCOMMODATED REFRACTIVE STATE

and filed in the United States Patent and Trademark Office on <u>MARCH</u>	
2016 and accorded Application Number <u>15/064363</u> ; and/or	
PCT International Application on, 20 and	l accorded
International Patent Application Number PCT//; and/or f	iled in the
United States Patent and Trademark Office on	and
accorded Application Number;	

- (2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);
- (3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees, or on behalf or in the name(s) of the inventor(s) of said inventions and discoveries, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);

PATENT REEL: 037925 FRAME: 0230 Alcon Research, Ltd. hereby authorizes ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

Alcon Research, Ltd. hereby covenants and agrees that it will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, importation certificates. reissues. renewals, confirmations. reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

Should any provision of this Assignment be deemed invalid or unenforceable by reason of any law, statute, regulation or judgment, existing now or in the future in any jurisdiction, such provision shall be modified in such jurisdiction so as to nearly approximate the intent of the Parties. If this cannot be done, such invalid or unenforceable provision shall be divisible and be deleted in any such jurisdiction, and all other provisions shall remain in full force and effect. The modification or deletion of any provision in one jurisdiction shall have no effect on this Assignment in any other jurisdiction.

This Assignment shall be governed by the laws of Switzerland.

This Assignment is effective as from the earliest priority or filing date as stated above.

Executed this Bhday of TOACH	,2016
NOVARTIS AG BY A A A A A A A A A A A A A A A A A A A	
Executed this 8^{ih} day of $40ch$	2016
NOVARTIS AG BY AND Candy Sanders	