

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3774572

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JOSEPH P. GIUFFRIDA	03/08/2016
RECEIVING PARTY DATA	
Name:	GREAT LAKES NEUROTECHNOLOGIES INC.
Street Address:	10055 SWEET VALLEY DR.
City:	CLEVELAND
State/Country:	OHIO
Postal Code:	44125
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15014533
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	bkolkowski@glneurotech.com
Correspondent Name:	BRIAN KOLKOWSKI
Address Line 1:	4415 EUCLID AVE., SUITE 500
Address Line 4:	CLEVELAND, OHIO 44103
ATTORNEY DOCKET NUMBER:	GLN-042
NAME OF SUBMITTER:	MARK PENNINGTON
SIGNATURE:	/Mark Pennington/
DATE SIGNED:	03/09/2016
Total Attachments: 4	
source=GLN-042_2016-03-09_assignment#page1.tif	
source=GLN-042_2016-03-09_assignment#page2.tif	
source=GLN-042_2016-03-09_assignment#page3.tif	
source=GLN-042_2016-03-09_assignment#page4.tif	

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Joseph P. Giuffrida

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Great Lakes NeuroTechnologies Inc.

Internal Address: _____

Street Address: 10055 Sweet Valley Drive

City: Cleveland

State: OH

Country: US Zip: 44125

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 2016-03-08

- Assignment Merger
 Security Agreement Change of Name
 Joint Research Agreement
 Government Interest Assignment
 Executive Order 9424, Confirmatory License
 Other _____

4. Application or patent number(s):

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

15/014,533

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Brian Kolkowski

Internal Address: _____

Street Address: 4415 Euclid Ave. Suite 500

City: Cleveland

State: OH Zip: 44103

Phone Number: (216) 649-0376

Docket Number: GLN-042

Email Address: bkolkowski@glneurotech.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0.00

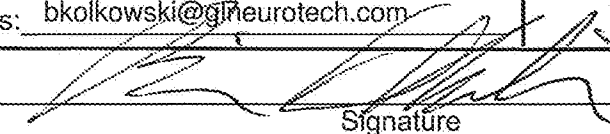
- Authorized to be charged to deposit account
 Enclosed
 None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:


Signature

3/9/16
Date

Brian Kolkowski

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Assignment of Rights, Title and Interest in Invention
(Multiple inventors; single assignee)

Docket No.
GLN-042

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 8 day of March, in the year 2016
at

State of OH

County of Cuyahoga

Before me personally appeared Joseph P. Giuffrida

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 8th day of March, in the year 2016

(Signature of Inventor)



MARK PENNINGTON
ATTORNEY AT LAW
NOTARY PUBLIC
STATE OF OHIO
My Comm. Has No
Expiration Date
Section 147.03 R. C.

(Notary Public)

Executed this _____ day of _____, in the year _____
at

State of OH

County of Cuyahoga

Before me personally appeared

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this _____ day of _____, in the year _____

(Signature of Inventor)

(Notary Public)

Executed this _____ day of _____, in the year _____
at

State of OH

County of Cuyahoga

Before me personally appeared

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this _____ day of _____, in the year _____

(Signature of Inventor)

(Notary Public)