# 503733663 03/11/2016

# PATENT ASSIGNMENT COVER SHEET

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| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

### **CONVEYING PARTY DATA**

| Name             | Execution Date |
|------------------|----------------|
| TATSUMI YAMAZAKI | 08/08/2014     |
| HISAFUMI OKABE   | 07/28/2014     |
| SHINTA KOBAYASHI | 06/30/2014     |
| TAKESHI WATANABE | 08/07/2014     |
| KOICHI MATSUBARA | 05/27/2014     |
| OSAMU NATORI     | 07/22/2014     |
| ATSUHIKO KATO    | 08/06/2014     |
| MASAMI SUZUKI    | 07/28/2014     |

### **RECEIVING PARTY DATA**

| Name:           | CHUGAI SEIYAKU KABUSHIKI KAISHA |
|-----------------|---------------------------------|
| Street Address: | 5-1, UKIMA 5-CHOME, KITA-KU     |
| City:           | TOKYO                           |
| State/Country:  | JAPAN                           |
| Postal Code:    | 1158543                         |

## **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 15042548 |

#### **CORRESPONDENCE DATA**

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Correspondent Name: JODI L. CONNOLLY, KLARQUIST SPARKMAN LLP

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| ATTORNEY DOCKET NUMBER: | 6235-92934-02      |
|-------------------------|--------------------|
| NAME OF SUBMITTER:      | JODI L. CONNOLLY   |
| SIGNATURE:              | /Jodi L. Connolly/ |

503733663 PATENT REEL: 037958 FRAME: 0754

| DATE SIGNED:  | 03/11/2016          |
|---|---------------------|
| Total Attachments: 6                                  |                     |
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| source=92934-02_Assignment_Inventors_Chugai#page2.tif |                     |
| source=92934-02_Assignment_Inventors_Chugai#page3.tif |                     |
| source=92934-02_Assignment_Inventor                   | rs_Chugai#page4.tif |
| source=92934-02_Assignment_Inventors_Chugai#page5.tif |                     |
| source=92934-02_Assignment_Inventors_Chugai#page6.tif |                     |

PATENT REEL: 037958 FRAME: 0755 SAS:amc1 04/30/14 6235-92934-01 2977940 C1-A1113YIP-US

#### ASSIGNMENT

WHEREAS, we, Tatsumi Yamazaki of Tokyo, Japan, Hisafumi Okabe of Shizuoka, Japan, Shinta Kobayashi of Helios, Singapore, Takeshi Watanabe of Shizuoka, Japan, Koichi Matsubara of Helios, Singapore, Osamu Natori of Helios, Singapore, Atsuhiko Kato of Shizuoka, Japan, and Masami Suzuki of Shizuoka, Japan, have invented a certain invention, entitled CANCER STEM CELL-SPECIFIC MOLECULE, for which the following patent applications have been filed, and are collectively referred to as "the patent applications":

Japanese Application No. 2011-237438, filed on October 28, 2011;
Japanese Application No. 2012-091142, filed on April 12, 2012;
International Application No. PCT/JP2012/077714, filed on October 26, 2012; and U.S. Application No. 14/354,517, filed on April 25, 2014.

WHEREAS, CHUGAI SEIYAKU KABUSHIKI KAISHA, a corporation organized under the laws of Japan, having a place of business at 5-1, Ukima 5-chome, Kita-ku, Tokyo, 115-8543 JAPAN, desires to acquire an interest therein:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we, Tatsumi Yamazaki, Hisafumi Okabe, Shinta Kobayashi, Takeshi Watanabe, Koichi Matsubara, Osamu Natori, Atsuhiko Kato, and Masami Suzuki, hereby sell, assign, and transfer to CHUGAI SEIYAKU KABUSHIKI KAISHA, the full and exclusive right, title, and interest in and to said invention, patent applications, and patent rights throughout the world, including foreign patent priority rights, the right to file and prosecute International Applications under the Patent Cooperation Treaty, and the right to file and prosecute applications under the European Patent Convention; said invention, patent applications, and letters patent in this or any foreign country, and all corresponding non-provisional applications, divisions, continuations, continuations—in-part, reissues, and extensions thereof, to be held and enjoyed by CHUGAI SEIYAKU KABUSHIKI KAISHA, for its own use and benefit, and for its successors and assigns to the full end of the term for which letters patent may be granted by the United States or any foreign country, as fully and entirely as the same would have been held by us had this assignment and sale not been made, and covenant that we have full right so to do, and agree that we will communicate to CHUGAI SEIYAKU KABUSHIKI KAISHA, or its successors and assigns, any

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facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths, and do everything possible to aid CHUGAI SEIYAKU KABUSHIKI KAISHA, its successors, and assigns to obtain and enforce proper patent protection for said invention in the United States or any foreign country.

We confirm that, at the time the invention was made, we were under an obligation to assign the invention to CHUGAI SEIYAKU KABUSHIKI KAISHA.

We hereby grant the law firm of Klarquist Sparkman, LLP, the power to insert on this Assignment any further information that may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

Executed at the place and date opposite our respective signatures below.

Taten Jana y Tatsumi Yamazaki

At Tokyo, Tapan
(City and Country)
on this 8th day of August, 2014.

This 8th day of August , 2014, before me personally came the above-named Tatsumi Yamazaki, who executed the foregoing instrument in my presence, and who acknowledged to me that this instrument was executed by Tatsumi Yamazaki's own free will for the purpose set forth therein.

Witnessed by: Jutaka Tanaka
Printed Name: Yutaka Tanaka

|  | Hisafuni Okabe                            |
|--|---|
| At Gotemba, Dapan  (City and Country)  on this Ethay of July, 2014.  | - Instituti Oxioc                         |
| This 28th day of 341, before the foregoing instruction me that this instrument was executed by Hisafum forth therein.  | ment in my presence, and who acknowledged |
| 7  | Witnessed by: Jakashi Joshikuko           |
|  | rinted Name: Takash; Yosh; kubo           |
| A company of the second  |   |
|  | A-Z                                       |
| At Princeton USA   | Shinta Kobayashi                          |
| At Princeton USA (City and Country) on this 30 <sup>th</sup> day of June 2014.   |   |
| This 30 day of June, 2014, bef Shinta Kobayashi, who executed the foregoing instructional acknowledged to me that this instrument was execute purpose set forth therein. | ument in my presence, and who             |
|  | Witnessed by:                             |
|  | Printed Name: Suzame Christen             |
| •  | · · · · · · · · · · · · · · · · · · ·     |

|   | Takeshi Waranabe  |
|---|---|
| At Gata ha Foran  | Takeshi Watanabe  |
| At <u>Cortembe</u> , Jopan<br>(City and Country)<br>on this <u>1th</u> day of <u>August</u> , 2014.   |   |
| This 7th day of Aurest, 2014, Takeshi Watanabe, who executed the foregoing acknowledged to me that this instrument was exthe purpose set forth therein. |   |
|   | Witnessed by: Massaki Jazaki Printed Name: Massaki Yamazaki |
|   | Scil: matsubaru<br>Koichi Matsubara                         |
| At <u>Singapore</u> <u>Singapore</u> (City and Country) on this 27th day of <u>May</u> , 2014.  |   |
| This 27 day of, 2014, Koichi Matsubara, who executed the foregoing is acknowledged to me that this instrument was exthe purpose set forth therein.      | ecuted by Koichi Matsubara's own free will for              |
|   | Witnessed by:   |

|   | X Note   |
|---|--|
| ·   | Osamu Natori   |
| At Yok Like Trans   | Osmia i wion   |
| At Yokohama Japan (City and Country)  |  |
| on this 72 when of Tule 2014  |  |
| on this 22wday of July 2014.  |  |
| This 22 d day of, 2014, Osamu Natori, who executed the foregoing instrument that this instrument was executed by Osamu therein. | before me personally came the above-named<br>ument in my presence, and who acknowledged to<br>Natori's own free will for the purpose set forth |
| HOICHI,   |  |
| No.   | Witnessed by: Kiyotaka Nakens<br>Printed Name: Kiyotaka Nakana   |
|   | Printed Name: Kiyotaka Nakan   |
|   | Ahfal  |
| A Goto la Jana  | Atsuhiko Kato/   |
| At 40 Temba, Japan (City and Country) on this 61/2 day of Angust, 2014.   | <del></del>  |
| This M day of Airgust , 2014,   | before me personally came the above-named<br>rument in my presence, and who acknowledged<br>hiko Kato's own free will for the purpose set      |
| EVALUA SHOROATI)  | Witnessed by: Amai Sayl  |
|   | Printed Name: Hiromi Suzuki  |

At Gotamba, Japan

(City and Country)

This 25th day of July . 2014, before me personally came the above-named Masami Suzuki, who executed the foregoing instrument in my presence, and who acknowledged to me that this instrument was executed by Masami Suzuki's own free will for the purpose set forth therein.

Witnessed by: Jakashi Joshikubo
Printed Name: Takashi Yoshikubo

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