

## PATENT ASSIGNMENT COVER SHEET

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| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT   |
| <b>NATURE OF CONVEYANCE:</b>  | ASSIGNMENT   |
| <b>CONVEYING PARTY DATA</b>   |  |
| <b>Name</b>   | <b>Execution Date</b>  |
| JOSE P. JOSEPH  | 09/07/2012   |
| PABLO E. GARCIA   | 09/07/2012   |
| KAREN F. SHAKESPEAR   | 09/06/2012   |
| SARAH YOUNG   | 09/06/2012   |
| JANUS A.J. HAAGENSEN  | 09/22/2012   |
| SANJEEV DUTTA   | 10/03/2012   |
| <b>RECEIVING PARTY DATA</b>   |  |
| <b>Name:</b>  | SRI INTERNATIONAL  |
| <b>Street Address:</b>  | 333 RAVENSWOOD AVE   |
| <b>City:</b>  | MENLO PARK   |
| <b>State/Country:</b>   | CALIFORNIA   |
| <b>Postal Code:</b>   | 94025  |
| <b>Name:</b>  | THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY |
| <b>Street Address:</b>  | 1705 EL CAMINO REAL  |
| <b>City:</b>  | PALO ALTO  |
| <b>State/Country:</b>   | CALIFORNIA   |
| <b>Postal Code:</b>   | 94306  |
| <b>PROPERTY NUMBERS Total: 1</b>  |  |
| <b>Property Type</b>  | <b>Number</b>  |
| <b>Application Number:</b>  | 15070073   |
| <b>CORRESPONDENCE DATA</b>  |  |
| <b>Fax Number:</b>  |  |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |  |
| <b>Phone:</b>   | 858-345-3249   |
| <b>Email:</b>   | RICHARD@SCI-TECH.COM   |
| <b>Correspondent Name:</b>  | RICHARD ARON OSMAN   |
| <b>Address Line 1:</b>  | 530 LAWRENCE EXPY # 332  |
| <b>Address Line 4:</b>  | SUNNYVALE, CALIFORNIA 94085                                    |

PATENT

|  |                    |
|--|--------------------|
| <b>ATTORNEY DOCKET NUMBER:</b>   | SRI-6221-2US       |
| <b>NAME OF SUBMITTER:</b>  | RICHARD ARON OSMAN |
| <b>SIGNATURE:</b>  | /richardaronosman/ |
| <b>DATE SIGNED:</b>  | 03/15/2016         |
| <b>Total Attachments: 10</b><br>source=Assignment-SRI#page1.tif<br>source=Assignment-SRI#page2.tif<br>source=Assignment-SRI#page3.tif<br>source=Assignment-SRI#page4.tif<br>source=Assignment-SRI#page5.tif<br>source=Assignment-SRI#page6.tif<br>source=Assignment-Stanford#page1.tif<br>source=Assignment-Stanford#page2.tif<br>source=Assignment-Stanford#page3.tif<br>source=Assignment-Stanford#page4.tif |                    |

## ASSIGNMENT OF RIGHTS IN INVENTION(S)

For valuable consideration, We:

Jose P. JOSEPH of 3276 Waverly Street, Palo Alto, California, USA;

Pablo E. GARCIA of 415 Bay Road, Menlo Park, California, USA;

Karen F. SHAKESPEAR of 1235 Indiana Street, #313, San Francisco, California, USA;

and

Sarah YOUNG of 348 Central Avenue, Menlo Park, California, USA,

hereby assign to: SRI International, a Non-profit Organization having a place of business at: 333 Ravenswood Avenue, Menlo Park, California, and its successors and assigns (collectively hereinafter the "ASSIGNEE"),

the entire right, title and interest throughout the world in the invention(s) which is/are disclosed and claimed in an application for patent in the United States of America, executed by me/us, entitled "ELECTROCHEMICAL DISINFECTION OF IMPLANTED CATHETERS", filed June 20, 2012 and having U.S. Serial Number 13/528,788, and in an international application having serial number PCT/US2012/043409 and international filing date of June 20, 2012, this assignment including:

said United States patent application, and any and all United States and foreign patents, utility models, and design registrations which may be applied for and granted for any of said invention(s) and improvement(s) thereof, and in and to any and all divisions, continuations, continuations-in-part, reissues thereof, certificates of re-examination, supplemental protection certificates, patent term restorations, and patents of addition, in any country, that may be based thereon;

the right of ASSIGNEE to claim priority based on the filing date of said application in the United States of America, under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes, including without limitation all rights in an international application claiming benefit of priority to said United States patent application, and all rights, privileges and advantages appertaining thereto, including any and all provisional rights;

the right of ASSIGNEE to enforce and to license, and to collect damages, profits or recoveries of any nature for past or future infringement of, any United States or foreign patent(s) that may be granted thereon;

the right of ASSIGNEE to prosecute, with the assistance of competent counsel, any of the foregoing patent applications in any jurisdiction worldwide; and

the right of ASSIGNEE to apply in all countries in our name(s) or in its own name for patents, utility models, design registrations, and like rights of exclusion and for inventors' certificates, for said invention(s) and improvement(s);

the same to be held and enjoyed by the ASSIGNEE for its own use and behalf and for the use and behalf of its successors, legal representatives and assigns, absolutely during the residue of the respective terms for which the United States and foreign patents will be granted, and for any and all rights extending from said applications and reissues, and entirely as the same would have been held and enjoyed by me/us had this assignment not been made;

and We agree for us, and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Declarations and other lawful documents as ASSIGNEE may reasonably request to effectuate fully this assignment.

Jose P. JOSEPH

DATE: 09/02/12

STATE OF \_\_\_\_\_ )  
 ) SS.  
COUNTY OF \_\_\_\_\_ )

On \_\_\_\_\_, before me, the undersigned, a notary public for the State of \_\_\_\_\_, there personally appeared Jose P. JOSEPH personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to this Assignment, who acknowledged having executed the same in his / her authorized capacity and that by his / her signature on this Assignment, the person or the entity upon behalf of which he / she acted, executed this Assignment.

WITNESS my hand and official seal.

Notary Public

Pablo E. GARCIA

DATE: 9/7/12

STATE OF \_\_\_\_\_ )  
 ) SS.  
COUNTY OF \_\_\_\_\_ )

On \_\_\_\_\_, before me, the undersigned, a notary public for the State of \_\_\_\_\_, there personally appeared Pablo E. GARCIA personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to this Assignment, who acknowledged having executed the same in his / her authorized capacity and that by his / her signature on this Assignment, the person or the entity upon behalf of which he / she acted, executed this Assignment.

WITNESS my hand and official seal.

Notary Public

  
Karen F. SHAKESPEAR

DATE: 9/6/2012

STATE OF \_\_\_\_\_ )  
 ) SS.  
COUNTY OF \_\_\_\_\_ )

On \_\_\_\_\_, before me, the undersigned, a notary public for the State of \_\_\_\_\_, there personally appeared Karen F. SHAKESPEAR personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to this Assignment, who acknowledged having executed the same in his / her authorized capacity and that by his / her signature on this Assignment, the person or the entity upon behalf of which he / she acted, executed this Assignment.

WITNESS my hand and official seal.

Notary Public

*Sarah Young*  
Sarah YOUNG

DATE: 9/6/2012

STATE OF \_\_\_\_\_ )  
 ) SS.  
COUNTY OF \_\_\_\_\_ )

On \_\_\_\_\_, before me, the undersigned, a notary public for the State of \_\_\_\_\_, there personally appeared Sarah YOUNG personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to this Assignment, who acknowledged having executed the same in his / her authorized capacity and that by his / her signature on this Assignment, the person or the entity upon behalf of which he / she acted, executed this Assignment.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary Public



## ASSIGNMENT OF RIGHTS IN INVENTION(S)

For valuable consideration, We:

Janus A.J. HAAGENSEN of 2035 Liberty Park Avenue, Menlo Park, California, USA;

and

Sanjeev DUTTA of 1027 Riverside Drive, Los Altos, California, USA,

hereby assign to: The Board of Trustees of the Leland Stanford Junior University, a University having a place of business at: 1705 El Camino Real, Palo Alto, California, and its successors and assigns (collectively hereinafter the "ASSIGNEE"),

the entire right, title and interest throughout the world in the invention(s) which is/are disclosed and claimed in an application for patent in the United States of America, executed by me/us, entitled "ELECTROCHEMICAL DISINFECTION OF IMPLANTED CATHETERS", filed June 20, 2012 and having U.S. Serial Number 13/528,788, and in an international application having serial number PCT/US2012/043409 and international filing date of June 20, 2012; this assignment including:

said United States patent application, and any and all United States and foreign patents, utility models, and design registrations which may be applied for and granted for any of said invention(s) and improvement(s) thereof, and in and to any and all divisions, continuations, continuations-in-part, reissues thereof, certificates of re-examination, supplemental protection certificates, patent term restorations, and patents of addition, in any country, that may be based thereon;

the right of ASSIGNEE to claim priority based on the filing date of said application in the United States of America, under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes, including without limitation all rights in an international application claiming benefit of priority to said United States patent application, and all rights, privileges and advantages appertaining thereto, including any and all provisional rights;

the right of ASSIGNEE to enforce and to license, and to collect damages, profits or recoveries of any nature for past or future infringement of, any United States or foreign patent(s) that may be granted thereon;

the right of ASSIGNEE to prosecute, with the assistance of competent counsel, any of the foregoing patent applications in any jurisdiction worldwide; and

the right of ASSIGNEE to apply in all countries in our name(s) or in its own name for patents, utility models, design registrations, and like rights of exclusion and for inventors' certificates, for said invention(s) and improvement(s);

the same to be held and enjoyed by the ASSIGNEE for its own use and behalf and for the use and behalf of its successors, legal representatives and assigns, absolutely during the residue of the respective terms for which the United States and foreign patents will be granted, and for any

and all rights extending from said applications and reissues, and entirely as the same would have been held and enjoyed by us had this assignment not been made;

and We agree for me/us, and my/our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Declarations and other lawful documents as ASSIGNEE may reasonably request to effectuate fully this assignment.

  
\_\_\_\_\_  
Janus A.J. HAAGENSEN

DATE: 09/22/2012

STATE OF \_\_\_\_\_ )  
 ) SS.  
COUNTY OF \_\_\_\_\_ )

On \_\_\_\_\_, before me, the undersigned, a notary public for the State of \_\_\_\_\_, there personally appeared Janus A.J. HAAGENSEN personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to this Assignment, who acknowledged having executed the same in his / her authorized capacity and that by his / her signature on this Assignment, the person or the entity upon behalf of which he / she acted, executed this Assignment.


WITNESS my hand and official seal.

\_\_\_\_\_  
Notary Public

DATE: 10/03/12

STATE OF California )  
 ) SS.  
COUNTY OF Santa Clara

WITNESS my hand and official seal.

  
\_\_\_\_\_  
Notary Public

