

03/01/2016

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RE



103674610

3-01-16

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

East West Bank

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) February 23, 2016

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other Release of the Security Interest

2. Name and address of receiving party(ies)

Name: Nephrogenex, Inc

Internal Address: _____

Street Address: 3200 Beechleaf Ct Suite 900

City: Raleigh

State: NC

Country: USA Zip: 27604

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

11054527

7214799

Additional numbers attached? ☒ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Frank Mao

Internal Address: Commercial Loan Service Department

Street Address: 9300 Flair Drive, 6th Floor

City: El Monte

State: CA Zip: 91731

Phone Number: 626-371-8670

Docket Number: _____

Email Address: frank.mao@eastwestbank.com

6. Total number of applications and patents involved: 06

7. Total fee (37 CFR 1.21(h) & 3.41) \$240.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number: 6261/504-KRIVEN 00000010 11054527

Authorized User Name _____

240.00 00

9. Signature:

Signature

2-23-2016

Date

Angela Lee, First Vice President

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 037982 FRAME: 0853

Continuation page for additional Sheet

Nephrogenex, Inc.

Loan #359000011

<u>Serial Number</u>	<u>Reg Number</u>
12019819	8067444
12855108	8431712
13935791	n/a
14184888	n/a
14185312	n/a



EAST WEST BANK

February 23, 2016

U.S.P.T.O
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

Re: Nephrogenex, Inc.,
Loan#359000011

Dear Officer,

East West Bank hereby instructs to release the Security Interest of the Patents described in Intellectual Property Security Agreement executed between Nephrogenex, Inc., and East West Bank, dated as of November 20, 2014 and recorded with U.S. Patent and Trademark Office (**Reel/Frame: 034373/0577**) on November 20, 2014 due to the indebtedness or obligation has been fully paid and satisfied.

If you have any questions regarding this issue, you may contact the individual whose name appears on this letter at 626-371-8670.

Yours Truly,

Frank Mao
Loan Service Department
East West Bank