

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3786407

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JIANTING CAO	03/16/2016
WENTIAN CUI	03/15/2016
CHRISTOPHER GOLDEN	03/16/2016
DAVID GRUNWALD	03/15/2016
SCOTT SMITH	03/15/2016
QI ZHOU	03/16/2016
RECEIVING PARTY DATA	
Name:	PURE STORAGE, INC.
Street Address:	650 CASTRO STREET
Internal Address:	SUITE 400
City:	MOUNTAIN VIEW
State/Country:	CALIFORNIA
Postal Code:	94041
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15071663
CORRESPONDENCE DATA	
Fax Number:	(512)472-9887
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	512-472-9881
Email:	hanna@klspatents.com
Correspondent Name:	PURE STORAGE, INC. C/O KLS
Address Line 1:	8601 RANCH ROAD 2222
Address Line 2:	STE. 1-225
Address Line 4:	AUSTIN, TEXAS 78730
ATTORNEY DOCKET NUMBER:	3062US01
NAME OF SUBMITTER:	HANNA KIM/12708/
SIGNATURE:	/12708/
DATE SIGNED:	03/16/2016

PATENT

This document serves as an Oath/Declaration (37 CFR 1.63).

Total Attachments: 3

source=3062US01_Assignment-Declaration#page1.tif

source=3062US01_Assignment-Declaration#page2.tif

source=3062US01_Assignment-Declaration#page3.tif

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: **PERFORMING A NON-DISRUPTIVE UPGRADE OF DATA IN A STORAGE SYSTEM**

As a below named inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

United States application or PCT international application number _____
filed on _____.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, the undersigned inventor(s) has/have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the Docket Number provided above in the header of this document;

Whereas, Pure Storage, Inc., a corporation of Delaware having a place of business at 650 Castro Street, Suite 400, Mountain View, CA 94041 (herein referred to as "Pure Storage"), desires to acquire, and each undersigned inventor desires to grant to Pure Storage, the entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor ("ASSIGNOR") hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to Pure Storage (the "ASSIGNEE"), its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to Pure Storage, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the same to be held and enjoyed by Pure Storage, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in Pure Storage, its successors, legal representatives, and assigns, whenever requested by Pure Storage, its successors, legal representatives, or assigns.

Each undersigned inventor acknowledges their prior and ongoing obligations to sell, assign, and transfer the rights under this Assignment to Pure Storage and is unaware of any reason why they may not have the full and unencumbered right to sell, assign, and transfer the rights hereby sold, assigned, and transferred, and has not executed, and will not execute, any document or instrument in conflict herewith. Each undersigned inventor also hereby grants Pure Storage, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of Delaware, and any disputes will be resolved in a Delaware state court or federal court sited in Delaware.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: **JIANTING CAO**

DocuSigned by:

 F2CDA0FBF4DE4EC...
 Signature: _____ Date: 3/16/2016

(2) Legal Name of Inventor: **WENTIAN CUI**

DocuSigned by:

 A86BF575D8A845C...
 Signature: _____ Date: 3/15/2016

(3) Legal Name of Inventor: **CHRISTOPHER GOLDEN**

DocuSigned by:

 EFBD1F0FA928423...
 Signature: _____ Date: 3/16/2016

(4) Legal Name of Inventor: **DAVID GRUNWALD**

DocuSigned by:

 B4FF5C13F07A464...
 Signature: _____ Date: 3/15/2016

(5) Legal Name of Inventor: **SCOTT SMITH**

DocuSigned by:
Scott Smith
8091E06A45F74EB...

3/15/2016

Signature: _____ Date: _____

(6) Legal Name of Inventor: **QI ZHOU**

DocuSigned by:
Qi Zhou
EEE8AB90F7FB492...

3/16/2016

Signature: _____ Date: _____