

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT3790347

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
DOMINEA RATHWELL	02/16/2016
SHARAVATHI GUDDEHALLI PARAMESWARAPPA	02/23/2016
SUBRAMANIAN GOVINDAN	02/25/2016
CHAKKUMKAL ANISH	02/29/2016
CLANEY LEBEV PEREIRA	02/09/2016
PETER H. SEEBERGER	02/09/2016
FELIX BRÖCKER	02/16/2016
RECEIVING PARTY DATA	
Name:	MAX-PLANCK-GESELLSCHAFT ZUR FÖRDERUNG DER WISSENSCHAFTEN E.V.
Street Address:	HOFGARTENSTRASSE 8
City:	MUNICH
State/Country:	GERMANY
Postal Code:	80539
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15022708
CORRESPONDENCE DATA	
Fax Number:	(949)760-9502
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	9497600404
Email:	efiling@knobbe.com
Correspondent Name:	KNOBBE, MARTENS, OLSON & BEAR, LLP
Address Line 1:	2040 MAIN STREET
Address Line 2:	14TH FLOOR
Address Line 4:	IRVINE, CALIFORNIA 92614
ATTORNEY DOCKET NUMBER:	ABK001.011APC
NAME OF SUBMITTER:	KIMBERLY J. MILLER
SIGNATURE:	/Kimberly J. Miller/

DATE SIGNED:

03/18/2016

Total Attachments: 9

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COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

Application Data Sheet filed previously or concurrently

Docket No.: ABK001.011APC

Page 1 of 9

Title: PROTEIN AND PEPTIDE-FREE SYNTHETIC VACCINES AGAINST STREPTOCOCCUS PNEUMONIAE TYPE 3

Inventors: Dominea Rathwell, Sharavathi Guddehalli Parameswarappa, Subramanian Govindan, Chakkumkal Anish, Clanev Lebev Pereira, Peter H. Seeberger, and Felix Bröcker

Declaration

This Declaration is directed to the application identified above that:

Is attached, where "attached" means filed concurrently with.

As a named inventor, I declare that:

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

Assignment from Inventors

WHEREAS, **above-identified inventors** (individual(s) hereinafter "ASSIGNOR") invented certain new and useful improvements, technology, inventions, developments, ideas, ornamental designs, or discoveries, and hereby assign or are under an obligation to assign to the below identified Assignee the above-titled application (collectively hereinafter referred to as the "Work") for which an application for Letters Patent in the United States (identified above) has been prepared for filing with the United States Patent and Trademark Office (hereinafter the "Application").

AND WHEREAS, **MAX-PLANCK-GESELLSCHAFT ZUR FÖRDERUNG DER WISSENSCHAFTEN E.V.**, with its principal place of business at Hofgartenstrasse 8, 80539 Munich, Germany (hereinafter the "ASSIGNEE"), desires to acquire the entire right, title, and interest in and to the Application and the Work.

NOW, THEREFORE, for good and valuable consideration of which receipt is hereby acknowledged, ASSIGNOR hereby acknowledges that ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in the Application and the Work, including all Patent Properties filed or issued upon the Application and the Work; where "Patent Properties" include, but are not limited to:

all applications relating thereto (including but not limited PCT Application No. **PCT/EP2014/069947**, filed **September 18, 2014**; and EP Application No. **13185039.8**; filed **September 18, 2013** (respectively if plural applications));

all nonprovisional applications claiming priority to aforementioned provisional(s) and/or the present Application, including, all divisions, continuations, continuations-in-part, and reissues, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof; and

all rights of priority under International Conventions and any related Letters Patent which may hereafter be granted or filed in any country or countries foreign to the United States, all extensions, renewals and reissues thereof.

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Chakkumkal Anish, Claney Lebev Pereira, Peter H. Seeberger, and Felix Bröcker

ASSIGNOR hereby acknowledges the ASSIGNEE as the Applicant for all aforementioned Patent Properties, and authorizes and requests the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all related Letters Patent to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

AND ASSIGNOR DOES HEREBY covenant and agree that ASSIGNOR will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Work, and testify in any legal proceeding, assist in the preparation of any other Patent Property relating to the Application and the Work or any improvements made thereto, sign/execute all lawful papers, authorize the filing of and execute and make all rightful oaths and/or declarations in connection with the Application and the Work including any improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Work in all countries.

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Inventors: Dominea Rathwell, Sharavathi Guddehalli Paramaswarappa, Subramanian Govindan, Chakkumkal Anish, Clancy Lebrv Pereira, Peter H. Seeberger, and Felix Bröcker

Legal Name of inventor: Dominea Rathwell

Signature: *Dominea Rathwell* Date: 2016. 02. 16

Witnessed by: _____

Witness Name (printed): *Anjela Yoon Hye Nam*



COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

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Inventors: Dominea Rathwell, Sharavathi Guddehalli Parameswarappa, Subramanian Govindan,
Chakkumkal Anish, Claney Lebev Pereira, Peter H. Seeberger, and Felix Bröcker

Legal Name of inventor: Sharavathi Guddehalli Parameswarappa

Signature: Sharavathi G P Date: 23/02/2016

Witnessed by: Carola Paredes

Witness Name (printed): Carola Paredes

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

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Docket No.: ASK001.011APC

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Title: PROTEIN AND PEPTIDE-FREE SYNTHETIC VACCINES AGAINST STREPTOCOCCUS PNEUMONIAE TYPE 3

Inventors: Domnea Rathwell, Sharavathi Guddehalli Parameswarappa, Subramanian Govindan, Chakkumkal Anish, Clancy Lebov Petrova, Peter H. Seiberger, and Felix Bröcker

Legal Name of inventor: Subramanian Govindan

Signature: Subramanian Date: 25/02/2016

Witnessed by: Thamarai Chewi S.K

Witness Name (printed): DR. S.K. THAMARA CHEWI

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

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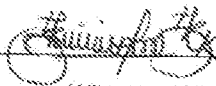
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Inventors: Dominea Rathwell, Sharavathi Guddehalli Parameswarappa, Subramanian Govindan, Chakkumkal Anish, Clancy Lebev Pereira, Peter H. Seeberger, and Felix Bröcker

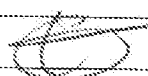
Legal Name of inventor: Chakkumkal Anish

Signature:



Date: 29 FEB 2016

Witnessed by:



29 Feb 2016

Witness Name (printed):

Sergey R. KONSTANTINOV

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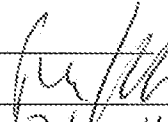
Legal Name of inventor: Claney Lebev Pereira

Signature: _____



Date: 08-03-2016

Witnessed by: _____



Witness Name (printed): _____

Peter H. Seeberger

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

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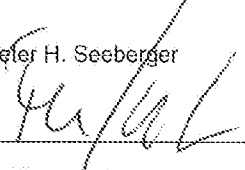
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Chakkumkal Anish, Clancy Lebev Pereira, Peter H. Seeberger, and Felix Bröcker

Legal Name of inventor: Peter H. Seeberger

Signature: _____



Date: _____

Feb. 9, 2016

Witnessed by: _____



Witness Name (printed): _____

CLANCY L. PEREIRA

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Chakkumkal Anish, Claney Lebev Pereira, Peter H. Seeberger, and Felix Bröcker

Legal Name of inventor: Felix Bröcker

Signature: F. Bröcker

Date: 16.02.2016

Witnessed by: A. Wahlbrink

Witness Name (printed): Annette Wahlbrink