

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3793088

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
BNY MELLON DISTRIBUTORS HOLDINGS INC.	02/26/2016
RECEIVING PARTY DATA	
Name:	THE BANK OF NEW YORK MELLON
Street Address:	225 LIBERTY STREET
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10286
PROPERTY NUMBERS Total: 1	
Property Type	Number
Patent Number:	8583532
CORRESPONDENCE DATA	
Fax Number:	(412)355-6501
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	412-355-8675
Email:	piuspatents@klgates.com
Correspondent Name:	K&L GATES LLP - JOHN D. SCARITO
Address Line 1:	210 SIXTH AVENUE
Address Line 2:	K&L GATES CENTER
Address Line 4:	PITTSBURGH, PENNSYLVANIA 15222-2613
ATTORNEY DOCKET NUMBER:	040664CON5
NAME OF SUBMITTER:	JOHN D. SCARITO
SIGNATURE:	/John D. Scarito/
DATE SIGNED:	03/21/2016
Total Attachments: 3	
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ASSIGNMENT AGREEMENT

WHEREAS, BNY Mellon Distributors Holdings, Inc. (hereinafter "Assignor"), a Delaware company having a mailing address at 301 Bellevue Parkway, Wilmington, DE 19809 is the owner of United States and foreign patents and patent applications listed on the attached Schedule (hereinafter "the Patent Assets"); and

WHEREAS, The Bank of New York Mellon (hereinafter "Assignee"), a New York company having a mailing address at 225 Liberty Street, New York, NY 10286 is desirous of acquiring all right, title, and interest in and to the Patent Assets;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which by Assignor is hereby acknowledged, and intending to be legally bound hereby, Assignor does hereby sell, assign, transfer, and set over its entire right, title, and interest, throughout the World, in and to each patent and patent application included in the Patent Assets, including, but not limited to, the right to sue for past infringement, the same to be held and enjoyed by the Assignee, its successors and assigns, as fully as the same would have been held and enjoyed by the Assignor had this assignment not been made.

And for the consideration aforesaid, Assignor agrees that it will, upon request, execute and deliver to Assignee any and all additional papers and generally do all other and further lawful acts reasonable and necessary to record this Assignment Agreement and to otherwise carry out the terms of this Assignment Agreement.

EXECUTED this 26th day of February, 2016

BNY MELLON DISTRIBUTORS
HOLDINGS INC

By: _____

Name: Calvin Holmquist

Title: CEO & President

THE BANK OF NEW YORK MELLON

By: _____

Name: Thomas P. Gibbons

Title: Chairman & President

PATENT

REEL: 038053 FRAME: 0018

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HOLDINGS INC

By: _____

Name: Calvin Holmquist

Title: CEO & President

THE BANK OF NEW YORK MELLON

By: 

Name: Thomas P. Gibbons

Title: Chairman & President

PATENT ASSETS SCHEDULE

Assignor: BNY MELLON DISTRIBUTORS HOLDINGS INC.

Assignee: THE BANK OF NEW YORK MELLON

Serial #	Country/ID	Filing Date	Patent #	Issue Date	Title
10/769,672	US	1/30/2004			MUTUAL FUND WORKFLOW SYSTEM
13/223,752	US	9/1/2011			MUTUAL FUND CUSTOMER IDENTIFICATION USING A COMPLIANCE DATABASE
13/278,549	US	10/21/2011	8,768,839	7/1/2014	MUTUAL FUND CUSTOMER IDENTIFICATION
14/319,826	US	6/30/2014			MUTUAL FUND CUSTOMER IDENTIFICATION
13/348,116	US	1/11/2012	8,583,532	11/12/2013	SYSTEMS AND METHODS FOR DETERMINING AN EARNINGS PORTION OF A DISTRIBUTION FROM A 529 PLAN
14/076,394	US	11/11/2013			SYSTEMS AND METHODS FOR DETERMINING AN EARNINGS PORTION OF A DISTRIBUTION FROM A 529 PLAN
14/657,809	US	3/13/2015			DATA AGGREGATION FOR PROCESSING AND ANALYZING 529 PLAN DATA
14/492,855	US	9/22/2014			SYSTEMS AND METHODS FOR DETERMINING AN EARNINGS PORTION OF A DISTRIBUTION FROM A 529 PLAN
11/710,885	US	2/26/2007	7,756,771	7/13/2010	SYSTEMS AND METHODS FOR DETERMINING AN EARNINGS PORTION OF A DISTRIBUTION FROM A 529 PLAN
12/797,358	US	6/9/2010	7,877,310	1/25/2011	SYSTEMS AND METHODS FOR DETERMINING AN EARNINGS PORTION OF A DISTRIBUTION FROM A 529 PLAN
14/158,373	US	1/17/2014			CONSOLIDATION AND PROCESSING OF INVESTMENT DATA FOR MULTIPLE ASSET ACCOUNTS
12/019,964	US	1/25/2008	7,930,228	4/19/2011	PROMOTING COMPLIANCE BY FINANCIAL INSTITUTIONS WITH DUE DILIGENCE REQUIREMENTS
11/803,950	US	5/16/2007	8,630,943	1/14/2014	CASH SWEEP ACCOUNT PLATFORM SYSTEM AND METHOD
14/153,812	US	1/13/2014			CASH SWEEP ACCOUNT PLATFORM SYSTEM AND METHOD

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