

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3798136

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>SEQUENCE:</b>	1
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JIANGBO MA	04/02/2013
ZHOUWEI YU	04/02/2013
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	DOW CHEMICAL (CHINA) INVESTMENT COMPANY LIMITED
<b>Street Address:</b>	UNIT 03, C ZONE, 2F, 3RD BUILDING
<b>Internal Address:</b>	NO. 936 ZHANGHENG ROAD, ZHANGJIANG HI-TECH PARK
<b>City:</b>	SHANGHAI
<b>State/Country:</b>	CHINA
<b>Postal Code:</b>	201203
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14647538
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(989)636-3237
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	989-636-7934
<b>Email:</b>	kswertz@dow.com
<b>Correspondent Name:</b>	KATHY WERTZ
<b>Address Line 1:</b>	2040 DOW CENTER
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<b>ATTORNEY DOCKET NUMBER:</b>	73969-US-PCT
<b>NAME OF SUBMITTER:</b>	KATHY WERTZ
<b>SIGNATURE:</b>	/Kathy Wertz/
<b>DATE SIGNED:</b>	03/24/2016
<b>Total Attachments: 3</b>	
source=73969 Inventors to Dow Chemical China Assignment#page1.tif	
source=73969 Inventors to Dow Chemical China Assignment#page2.tif	
source=73969 Inventors to Dow Chemical China Assignment#page3.tif	

This Assignment 110 is in the ENGLISH language and has the same meaning in other languages with the same 110 number and R/Date

## INDIVIDUAL ASSIGNMENT AND INVENTOR'S DECLARATION

(with Priority Rights)

I/we verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is embraced by and for which a patent is sought on the invention entitled: **A CONCENTRATED METAL WORKING FLUID AND METALWORKING PROCESS**

which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for our respective employers. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work, I/we sell, assign and transfer unto:

Dow Chemical (China) Investment Company Limited  
Unit 03, C Zone, 2F, 3rd Building, No. 936 Zhangheng Road  
Zhangjiang Hi-Tech Park  
Shanghai 201203  
PEOPLE'S REPUBLIC OF CHINA

a corporation organized and existing under the laws of China (hereinafter referred to as the "Assignee"); my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the Priority Number, Filing Date, and Filing Country

Priority Number	Filing Date	Filing Country
PCT/CN2012/086405	December 12, 2012	International

of the application, on which the claim for priority will be based; and in and to any Letters Patent that may be issued for the invention in any and all countries. I/we do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request.

I/we authorize Assignee or its designee to translate this Assignment into all languages necessary; and we request that any such Letters Patent be issued to Assignee; and consent to the recording of this Assignment, or a Notarial True Copy thereof, being under covenant not only that I/we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Declared by me/us:

At: SHANGHAI, CHINA  
this 2nd day of April, 20 13  
Effective as of December 12, 2012  
Signature: Jiangbo Ma  
Full Name: Jiangbo Ma  
Residence: Room 201, Building 28, Lane 1717  
Longwu Road, Xuhui District  
Shanghai 200231  
Country: People's Republic of China  
Citizenship: People's Republic of China  
P.O. Address: Same as Residence  
Employed By: Dow Chemical (China) Investment Company  
Limited  
Of Country: People's Republic of China

At: Shanghai, China  
this 2nd day of April, 20 13  
Effective as of December 12, 2012  
Signature: Zhouwei Yu 俞周伟  
Full Name: Zhouwei Yu  
Residence: Room 201, No. 105, Laoshan Xin Cun.  
Pudong District, Shanghai 200120  
Country: People's Republic of China  
Citizenship: People's Republic of China  
P.O. Address: Same as Residence  
Employed By: Dow Chemical (China) Investment Company  
Limited  
Of Country: People's Republic of China

At: \_\_\_\_\_  
this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_  
  
Signature: \_\_\_\_\_  
Full Name: \_\_\_\_\_  
Residence: \_\_\_\_\_  
  
Country: \_\_\_\_\_  
Citizenship: \_\_\_\_\_  
P.O. Address: \_\_\_\_\_  
Employed By: \_\_\_\_\_  
Of Country: \_\_\_\_\_

At: \_\_\_\_\_  
this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_

Signature: \_\_\_\_\_

Full Name: \_\_\_\_\_

Residence: \_\_\_\_\_

Country: \_\_\_\_\_

Citizenship: \_\_\_\_\_

P.O. Address: \_\_\_\_\_

Employed By: \_\_\_\_\_

Of Country: \_\_\_\_\_

☐ Additional names and signatures are attached.

[illegible]

This Assigned Acceptance 660 is in the ENGLISH language and has the same meaning in other languages with the same 660 number.

**PATENT**  
**REEL: 038088 FRAME: 0714**

This Form 881 is in the ENGLISH language and has the same meaning in other languages with the same 881 number.

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FORM 881

SUBSCRIBING WITNESS  
AFFIDAVIT OF EXECUTION  
OF AN ASSIGNMENT

I, Rita Dai

Whose full post office address is:

No.936 Zhangheng Road Zhangjiang Hi-Tech Park, Shanghai, P.R.C. 201203

Make oath and say that I was personally present and did see:

ZHIQIANG (ZACK) ZHAO

Who is/are personally known or identified to me to be the inventor(s) named in the attached assignment, duly sign and execute the same for the purposes therein stated.

Signed at: Shanghai, China

On this 17<sup>th</sup> day of June, 20 13

Rita Dai

Subscribing Witness