

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3784529

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	DENISE KELLY	03/27/2015
RECEIVING PARTY DATA		
Name:	GT BIOLOGICS LIMITED	
Street Address:	LIFE SCIENCES INNOVATION BUILDING	
Internal Address:	CORNHILL ROAD	
City:	ABERDEEN	
State/Country:	UNITED KINGDOM	
Postal Code:	AB25 2ZS	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	15070605
CORRESPONDENCE DATA		
Fax Number:	(650)493-6811	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	650-493-9300	
Email:	rrsmith@wsgr.com	
Correspondent Name:	WILSON SONSINI GOODRICH & ROSATI	
Address Line 1:	650 PAGE MILL ROAD	
Address Line 4:	PALO ALTO, CALIFORNIA 94304-1050	
ATTORNEY DOCKET NUMBER:	49455-703.301	
NAME OF SUBMITTER:	DAVID MATA	
SIGNATURE:	/David Mata/	
DATE SIGNED:	03/15/2016	
Total Attachments: 11		
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This Agreement is made

27 March

2015

Parties

(1) **GT Biologics Limited** incorporated and registered in Scotland with company number SC336222 whose registered office is at Life Sciences Innovation Building, Cornhill Road, Aberdeen AB25 2ZS (the **Company**)

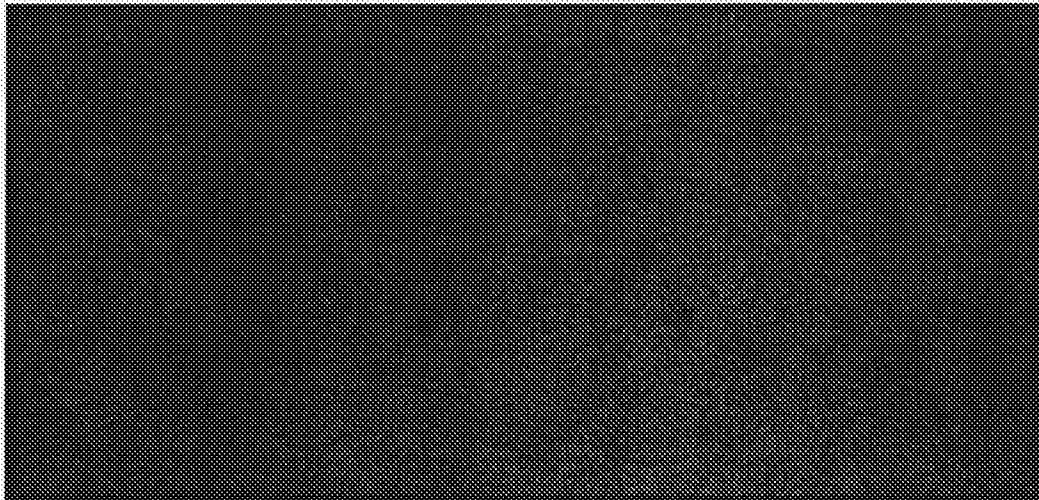
(2) **Professor Denise Kelly** of 112 Anderson Drive, Aberdeen, AB15 6BW (**Professor Kelly**)

(3)

(4)

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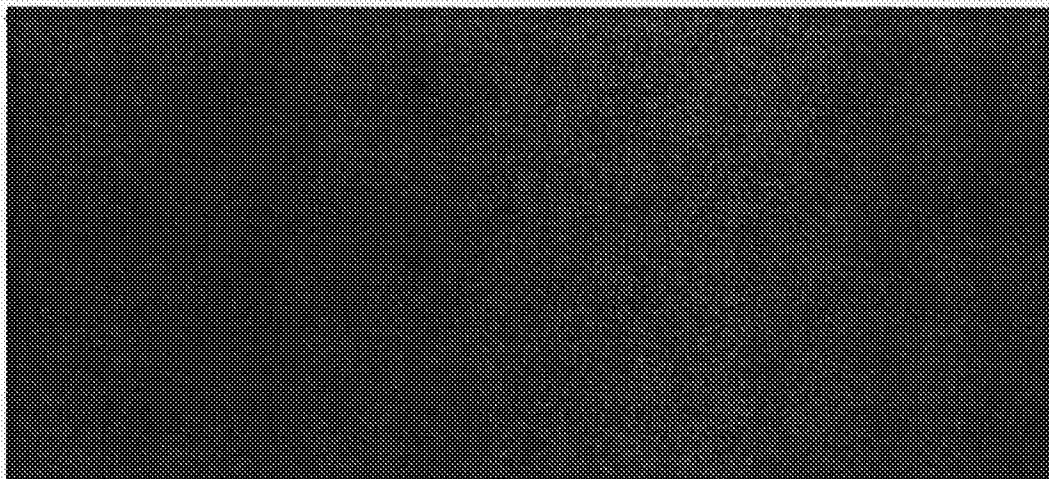


1. Definitions and Interpretation

1.1 In this Agreement, unless the context otherwise requires, the following words and expressions have the following meanings:

Company IPRs means Intellectual Property Rights owned or developed by, held for use by, licensed to or otherwise acquired by the Company, including those Intellectual Property Rights:

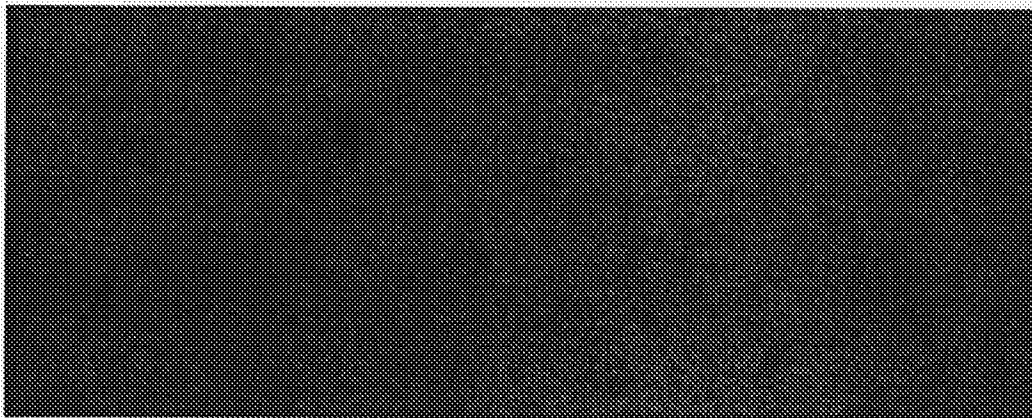
- (a) relating to or arising from the patents and patent applications specified in the Schedule hereto and the inventions and matters the subject of such patents and patent applications; and





Intellectual Property Rights means patents (and patent applications, extensions and supplementary protection certificates), rights to Inventions, copyright and related rights (including all such rights in any databases), trade marks, trade names and domain names, rights in get-up, goodwill and the right to sue for passing off, unfair competition rights, rights in designs, rights in computer software, database rights, topography rights, rights to use and preserve the confidentiality of information (including know-how and trade secrets) and any other intellectual property rights, in each case whether registered or unregistered, and including all related materials and all applications (or rights to apply) for and be granted, renewals or extensions of, and rights to claim priority from, such rights and all similar or equivalent rights or forms of protection which subsist or will subsist now or in the future in any part of the world

Invention means any invention, know how, idea, discovery, development, improvement or innovation, whether or not patentable or capable of registration, and whether or not recorded in any medium



1.2

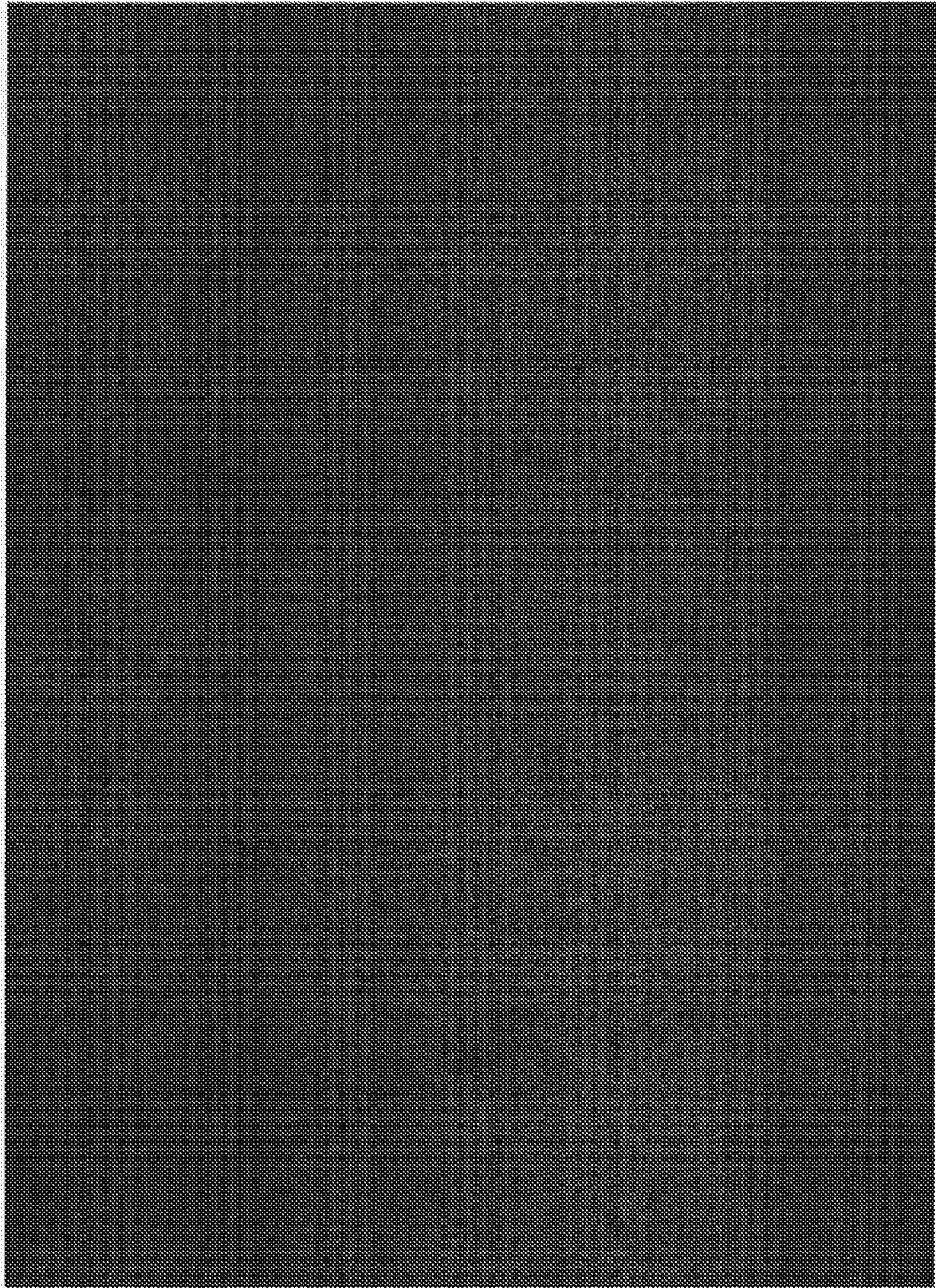
2.

2.1 Professor Kelly hereby acknowledges and agrees:

2.1.1 that the Company is the beneficial and legal owner of all Company IPRs and of all Intellectual Property Rights:

a) relating to or arising from the patents and patent applications specified in the Schedule hereto and the inventions and matters the subject of such patents and patent applications, and/or

2.2



2.3

Professor Kelly hereby:

2.3.2

to the extent that she has any interest in the Company IPRs, assigns such interest to the Company free from Encumbrances and (subject thereto) with full title guarantee;

2.4

3.

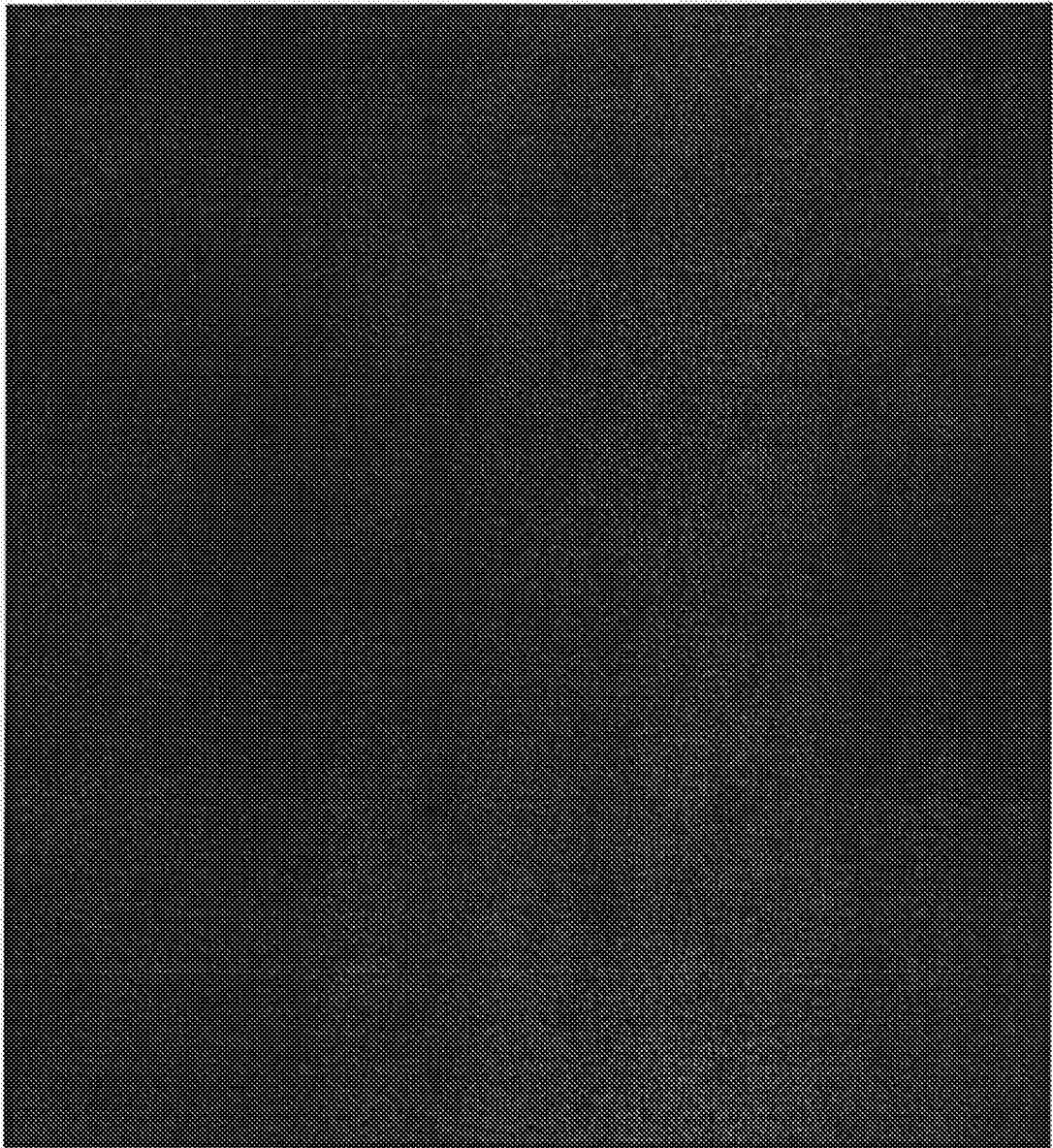
3.1

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3.3

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3.5



The Schedule Specified Intellectual Property Rights

1. Title: Assay for Screening Candidate Drugs for the Treatment of Inflammatory Diseases

COUNTRY	APPLN. DETAILS	STATUS
Australia	2002343063	Granted
Belgium	02779724.0	Granted
Canada	2,468,082	Granted
Switzerland	02779724.0	Granted
Germany	02779724.0	Granted
Denmark	02779724.0	Granted
European	02779724.0	EP Granted
Spain	02779724.0	Granted
Finland	02779724.0	Granted
France	02779724.0	Granted
UK	0127916.5	Abandoned in favour of
	21 November 2001	PCT
UK	02779724.0	Granted
Ireland	02779724.0	Granted
Italy	02779724.0	Granted
Japan	2003-547968	Granted
Japan	2012 - 196103	Pending
Luxembourg	02779724.0	Granted
Netherlands	02779724.0	Granted
PCT	PCT/GB2002/005255	Completed
	20 November 2002	
Portugal	02779724.0	Granted
Sweden	02779724.0	Granted
US	10/286,224	Granted

2. Title: Bacterial Strains Isolated from Pigs

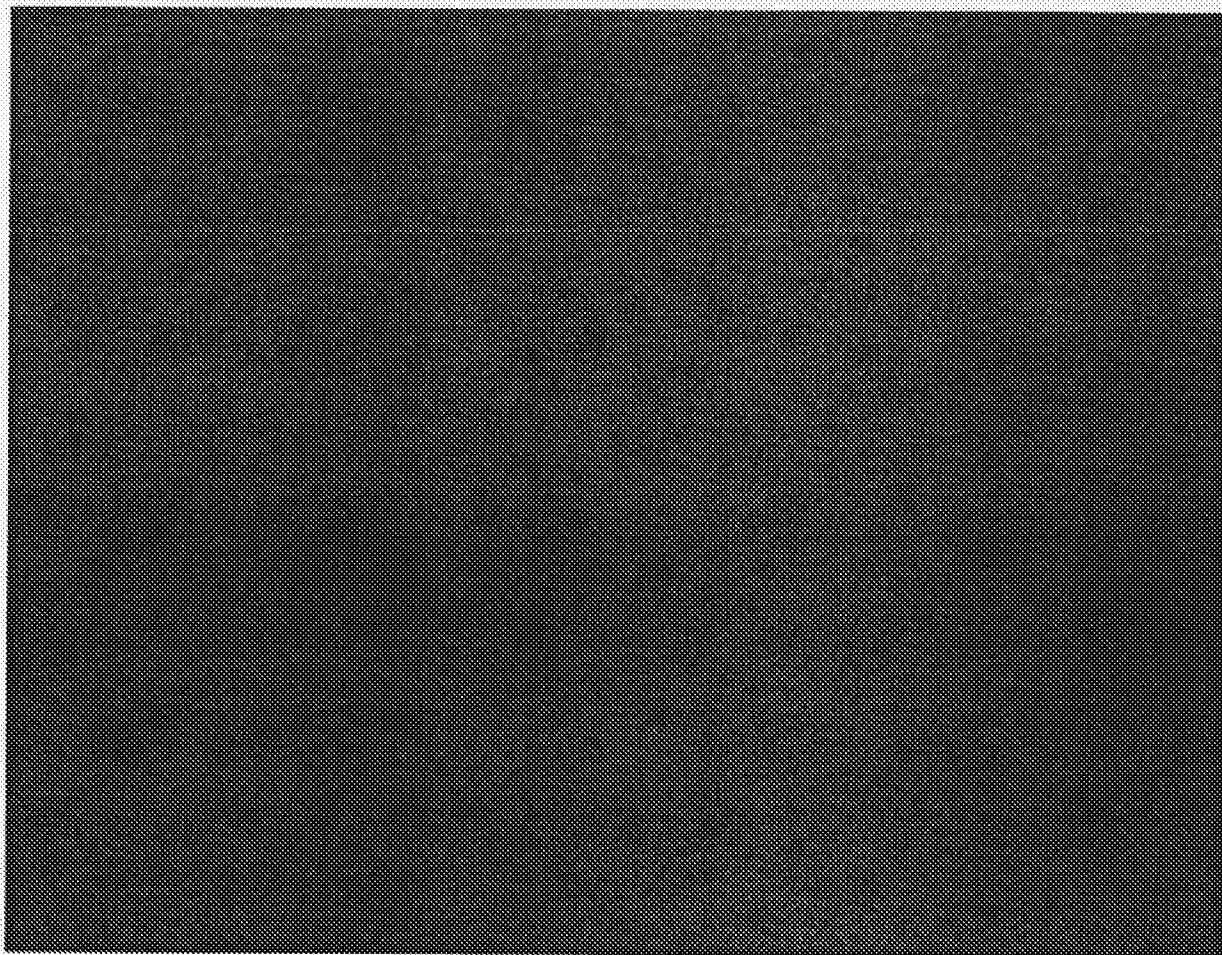
COUNTRY	APPLN. DETAILS	STATUS
GB	No. 1112091.2 Filed 14 July 2011	Priority application; lapsed in favour of PCT
PCT	No. PCT/GB2012/051686 Filed 13 July 2012	Now in national/regional phase processing
Brazil	No. BR112014000835-3 Filed 13 July 2012	Pending
Canada	No. 2,841,576 Filed 13 July 2012	Pending
China	No. 201280044674.0 Filed 13 July 2012	Pending
European	No. 12737592.1 Filed 13 July 2012	Pending
Russia	No. 2014105461 Filed 13 July 2012	Pending
US	No. 14/232,475 Filed 13 July 2012	Pending

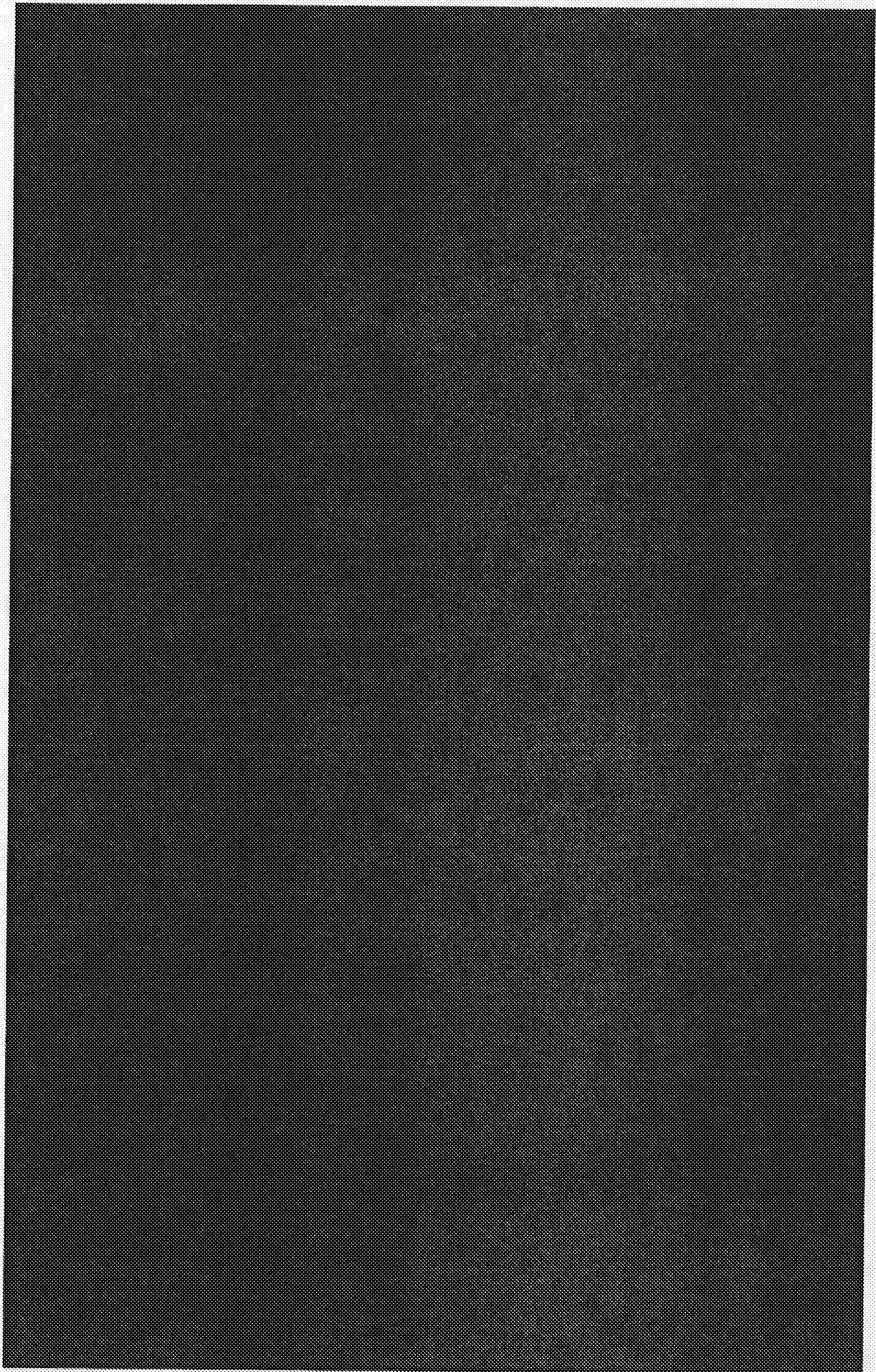
3. Title: Bacterium for Use in Medicine

COUNTRY	APPLN. DETAILS	STATUS
GB	No. 1117313.5 Filed 7 October 2011	Priority application; lapsed in favour of PCT
PCT	No. PCT/GB2012/052495 Filed 8 October 2012	Now in national/regional phase processing
Australia	No. 2012320255 Filed 8 October 2012	Pending
Brazil	No. BR112014008044.5 Filed 8 October 2012	Pending
Canada	No. 2,850,000 Filed 8 October 2012	Pending
China	No. 201280054515.9 Filed 8 October 2012	Pending
European	No. 12775538.7 Filed 8 October 2012	Pending
Hong Kong	No. 15100681.7 Filed 21 January 2015	Pending
India	No. 3582/DELNP/2014 Filed 8 October 2012	Pending
Japan	No. 2014-533994 Filed 8 October 2012	Pending
Mexico	No. MX/a/2014/004220 Filed 8 October 2012	Pending
Russia	No. 2014118464 Filed 8 October 2012	Pending
US	No. 14/349,907 Filed 8 October 2012	Pending

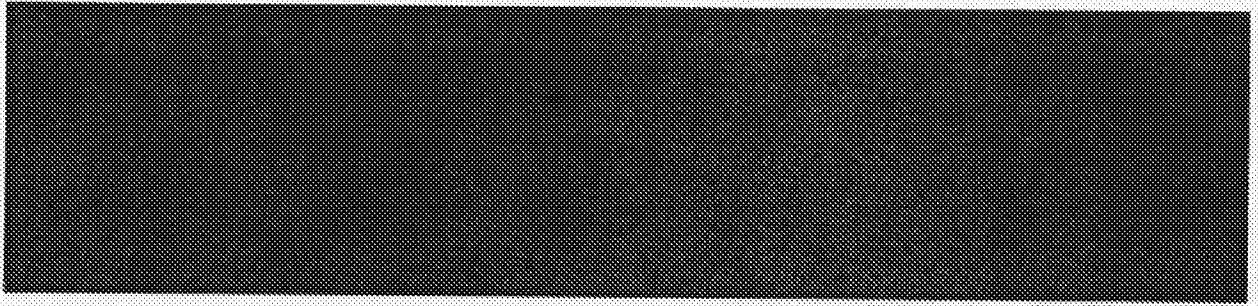
4. Title: Polypeptide and Immune Modulation

COUNTRY	APPLN. DETAILS	STATUS
GB	No. 1306536.2 Filed 10 April 2013	Priority application; lapsed in favour of PCT
PCT	No. PCT/GB2014/051123 Filed 10 April 2014	Pending
Australia	No. 2014202021 Filed 10 April 2014	Pending
Canada	No. 2,848,725 Filed 10 April 2014	Pending
Turkey	No. 2014/04161 Filed 10 April 2014	Pending
US	No. 14/249,710 Filed 10 April 2014	Pending





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REEL: 038091 FRAME: 0920



Signed by Professor Denise Kelly

Denise Kelly

