

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	LICENSE
SEQUENCE:	2
CONVEYING PARTY DATA	
Name	Execution Date
THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ARKANSAS	10/11/2013
RECEIVING PARTY DATA	
Name:	NANOMECH, INC.
Street Address:	2447 TECHNOLOGY WAY
City:	SPRINGDALE
State/Country:	ARKANSAS
Postal Code:	72764
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14173369
CORRESPONDENCE DATA	
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	10924-1-2/NM#25/0287853
NAME OF SUBMITTER:	STEPHEN C. GLAZIER
SIGNATURE:	/STEPHEN C. GLAZIER/
DATE SIGNED:	03/24/2016
Total Attachments: 3	
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MEMORANDUM OF LICENSE AGREEMENT

THIS MEMORANDUM memorializes certain aspects of the LICENSE AGREEMENT effective April 1, 2005, as amended, made by and between the Board of Trustees of the University of Arkansas acting for and on behalf of the University of Arkansas, Fayetteville, a public institution of higher learning having its principal office at 2404 North UNIVERSITY Avenue, Little Rock, Arkansas 72207 (UNIVERSITY), and NanoMech, LLC, an Arkansas company, now NanoMech, Inc., a Delaware corporation, having a principal place of business at Enterprise Center, 534 Research Center Blvd., Suite 220, Fayetteville, Arkansas 72701 (LICENSEE).

ARTICLE 2 – DEFINITIONS of the LICENSE AGREEMENT, as amended, defines the following terms, among others:

2.3 “LICENSED FIELD” shall mean all fields and applications.

2.5 A “LICENSED PROCESS” shall mean any process which is covered in whole or in part by an issued, unexpired claims, or a pending claim contained in the PATENT RIGHTS.

2.8 “PATENT RIGHTS” shall mean all of the following UNIVERSITY intellectual property:

- (a) the United States and foreign patents and/or patent applications listed in Appendix A attached hereto, and corresponding foreign patents and/or foreign patent applications; and
- (b) United States patents issued from the applications listed in Appendix A and from applications for divisionals, continuations, continuations-in-part, reexaminations and reissues of the patents and/or applications listed in Appendix A and any divisionals, continuations, continuations-in-part, reexaminations and reissues issued from such applications and foreign patents issued from corresponding foreign patent applications.

(C) As used in this Agreement, the term "patent" means (i) unexpired letter patent (including inventor's certificates) including, without limitation, any substitution, extension (such as supplementary protection certificates), registration, confirmation, reissue, re-examination, renewal or any like filing thereof, and (ii) pending application for letters patent, including without limitation any continuation, division or continuation-in-part thereof and any provisional applications, and any foreign counterparts and all patents that issue therefrom.

2.9 "TERRITORY" shall mean the entire world.

ARTICLE 3 – GRANT of the LICENSE AGREEMENT, as amended, states, in part, the following:

3.1 UNIVERSITY hereby grants to LICENSEE an exclusive right and license in the TERRITORY for the LICENSED FIELD to practice under the PATENT RIGHTS and to make, have made, use, offer for sell, sell and import LICENSED PRODUCTS and to practice the LICENSED PROCESSES . . .

3.2 LICENSEE shall have the right to grant sublicenses. . .

APPENDIX A of the LICENSE AGREEMENT, as amended, lists the following PATENT RIGHTS, among others:

nGlide Patent Rights

University of Arkansas Invention Disclosure 05-31

UAF ID # 05-31 relates to **ENGINEERED NANOPARTICLE BASED SOLID AND COMPOSITE LUBRICANTS**

Inventors: Ajay P. Malshe, Arpana Verma

- US Provisional Patent Application 60/758,307, filed January 12, 2006

- US Patent Application 12/160,758 filed July 11, 2008 (now US patent 8,492,319)
- PCT Application PCT/US07/60506 filed January 12, 2007, since expired
- Europe Patent Application 07710113.7 filed March 31, 2008
- Canadian Patent Application 2,636,932 filed January 12, 2007
- Japanese Patent Application 2008-550538 filed January 12, 2007
- Mexican Patent Application MX/a/2008/009032 filed July 11, 2008
- Indian Patent Application 3216/KOLNP/2008 filed August 6, 2008
- Chinese Patent Application 200780005053.0 filed September 12, 2008
- Any divisionals, continuations, continuations in part, re-examinations, reissues, supplemental examination, or foreign counterpart applications for the foregoing, and any U.S. or foreign patents results from the same.

2012 Applications

- U.S. Patent Application No. 13/540,235, filed July 2, 2012 (now US patent 8,476,206)
- U.S. Patent Application No. 13/540,256, filed July 2, 2012 (now US patent 8,486,870)
- Any divisionals, continuations, continuations in part, re-examinations, reissues, supplemental examination, or foreign counterpart applications for the foregoing, and any U.S. or foreign patents results from the same.

NANOMECH, INC.



By: Eric Barnes

Title: SVP Finance & Operations

Date: 10/11/2013