### 503755257 03/27/2016

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3801903

| SUBMISSION TYPE:      | NEW ASSIGNMENT |  |
|-----------------------|----------------|--|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |  |

#### **CONVEYING PARTY DATA**

| Name           | Execution Date |
|----------------|----------------|
| ROBERT MERRION | 03/12/2010     |
| BRETT CALDWELL | 03/10/2010     |
| JOHN KRESSE    | 03/05/2010     |

#### **RECEIVING PARTY DATA**

| Name:           | ALLISON TRANSMISSION, INC. |
|-----------------|----------------------------|
| Street Address: | ONE ALLISON WAY            |
| City:           | INDIANAPOLIS               |
| State/Country:  | INDIANA                    |
| Postal Code:    | 46222                      |

#### **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |  |  |
|---------------------|----------|--|--|
| Application Number: | 14864223 |  |  |

#### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Address Line 4: INDIANAPOLIS, INDIANA 46204

| ATTORNEY DOCKET NUMBER: | 46582-242825      |
|-------------------------|-------------------|
| NAME OF SUBMITTER:      | GLEN M. KELLETT   |
| SIGNATURE:              | /GLEN M. KELLETT/ |
| DATE SIGNED:            | 03/27/2016        |

## **Total Attachments: 4**

source=Patent Assignment#page1.tif source=Patent Assignment#page2.tif source=Patent Assignment#page3.tif source=Patent Assignment#page4.tif

> PATENT REEL: 038108 FRAME: 0288

503755257

Attorney Docket No.: 46582-205545 & 46582-210160
Client Reference No.: ATP-0029-USP & ATP-0029-USU

#### PATENT ASSIGNMENT

11. The second and the receipt and sufficiency of which is hereby advantaged

|                                                  | For good and valuable consideration, the receipt and sufficiency of which is nelectly define wiedged,                            |
|--------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|
| Name(s)                                          | Robert Merrion                                                                                                                   |
| of Inventor(s)                                   | Brett Caldwell                                                                                                                   |
|                                                  | John Kresse, and                                                                                                                 |
|                                                  | maker(s) of an invention which is the subject of an application for Letters Patent of the United States ("Application") entitled |
| Title of                                         | SYSTEM FOR CONTROLLING TORQUE CONVERTER TEMPERATURE DURING                                                                       |
| Application                                      | TORQUE CONVERTER STALL CONDITIONS                                                                                                |
| Application                                      | 101001 0011 2011                                                                                                                 |
| Serial No.:                                      | 61/111,061                                                                                                                       |
| Filing Date:                                     | November 4, 2008                                                                                                                 |
|                                                  |                                                                                                                                  |
| Serial No.:                                      | 12/612,610                                                                                                                       |
| Filing Date:                                     | November 4, 2009                                                                                                                 |
|                                                  | the undersigned hereby sell(s), assign(s), and set(s) over to                                                                    |
| Name of                                          | Allison Transmission, Inc.                                                                                                       |
| Assignee                                         |                                                                                                                                  |
| Address of                                       | 4700 West 10 <sup>th</sup> Street                                                                                                |
| principal                                        |                                                                                                                                  |
| place of                                         | Indianapolis, IN 46222                                                                                                           |
| business                                         |                                                                                                                                  |
| Insert State of Incorporation (if applicable) or | a corporation of <u>Delaware</u>                                                                                                 |
| "Not Applicable"                                 |                                                                                                                                  |

(hereinafter designated as the Assignee) their entire right, title, and interest in, to, and under the Application, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries, including Canada, which may be granted for such inventions, or any of them, all such inventions and all rights in such Application and Letters Patent to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by them had this assignment and sale not been made.

The undersigned agree(s) to execute all papers necessary in connection with the application(s) in the United States and foreign countries and any continuing, divisional, or reissue applications thereof, and any reexamination of any of such applications, and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared or itigation concerning the application(s) or continuation, division, reissue, or reexamination thereof, and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or litigation.

The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

#### ASSIGNMENT TO BUSINESS CONCERN

| )                                                                                                                                                                                                                                                                                                                          | Attorney Docket No.: 46582-205545 & 46582-210160 Client Reference No.: ATP-0029-USP & ATP-0029-USU                                                                                               |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| The undersigned agree(s) to do all other acts which, in the grant of Letters Patent to Assignee or its nominees, in the United Statuch inventions, or any of them, patented, with specifications and cland confirm in Assignee or its nominees the full and complete legal                                                 | aims in such form as shall be approved by Assignee and to vest                                                                                                                                   |
| The undersigned hereby authorize(s) and request(s) the Cor<br>United States resulting from said application(s) or any division or di<br>reexamination of any of such applications, to the said Assignee, as A<br>undersigned has full right to convey the interest herein assigned, and<br>agreement in conflict herewith. | visions or continuing or reissue applications thereof, and any Assignee of the entire interest, and hereby covenants that the I that the undersigned has not executed, and will not execute, any |
| The undersigned hereby grant(s) the attorney of record the may be necessary or desirable in order to comply with the rules of the this document.                                                                                                                                                                           | power to insert on this assignment any further identification which<br>ne United States Patent and Trademark Office for recordation of                                                           |
| IN WITNESS WHEREOF, I have executed this assignmen                                                                                                                                                                                                                                                                         | t at INDIANAPOLIS, IN                                                                                                                                                                            |
| this 12 <sup>M</sup> day of MANCH,                                                                                                                                                                                                                                                                                         | (city and state or country if outside USA)                                                                                                                                                       |
|                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                  |
| Outside the USA: Witnesses are required when acknowledgment oefore a Notary Public is not Witness Witness                                                                                                                                                                                                                  | Inventor (Signature)  Robert Merrion Inventor (Printed Name)                                                                                                                                     |
| ACKNOWL                                                                                                                                                                                                                                                                                                                    | EDGMENT                                                                                                                                                                                          |
| STATE OF INDIANA } COUNTY OF MARION }                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                  |
| Acknowledged before me, a Notary Public, within and for this day of MALLY.  Notary Public Seal State of Indiana Keith R. Donnelly Resident of Hamilton Co. My Commission Expires July 31, 2016                                                                                                                             | Said County and State. Witness my hand and Notarial Seal 2010  Notary Public  KEITH R. DONNELLY Printed Name                                                                                     |

Provisional Patent Application No.: 61/111,061 Filing Date: November 4, 2008

My Commission Expires: 31 JULY 2016

Resident of HAMILTON

|   | IN WITNESS WHEREOF, I have executed this assignment at/                                                               | udlanapolis, IN                                              |
|---|-----------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| ) | this 10 day of Manch, 2                                                                                               | (city and state or country if outside USA)                   |
|   | Outside the USA: Witnesses are required when acknowledgment before a Notary Public is not feasible.  Witness  Witness | Inventor (Signature)  Brett Caldwell Inventor (Printed Name) |
|   | ACKNOWLE                                                                                                              | DGMENT                                                       |
|   | STATE OF } COUNTY OF } SS:                                                                                            |                                                              |
|   | Acknowledged before me, a Notary Public, within and for sa this \( \O \) day of \( \Max_{\chi} \) \( \Lambda \)       |                                                              |
|   | CHERISE VANCE Marion County SEAL My Commission Expires June 26, 2017                                                  | Charme Vane O. Notary Public                                 |
| ) | •                                                                                                                     | Chease Vance Printed Name                                    |
|   | My Commission Expires: June 26, 7017                                                                                  | Resident of HARION County                                    |
|   |                                                                                                                       |                                                              |
|   | Patent Application No.: 12/612,610 Filing Date: November 4, 2009 INDS02 JMM 1085979                                   |                                                              |

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# ASSIGNMENT TO BUSINESS CONCERN

| Attorney Docket No.: | 46582-205545 & 46582-210160 |
|----------------------|-----------------------------|
|                      | ATP-0029-USP & ATP-0029-USU |
|                      |                             |
|                      |                             |

| IN WIT                                             | NESS WHEREOF, I                                                            | have executed this assig | nment at                   | INDIANAPOLI              |                     |
|----------------------------------------------------|----------------------------------------------------------------------------|--------------------------|----------------------------|--------------------------|---------------------|
| this 577 day                                       | of MAN                                                                     | W.,                      | 2010                       | (city and state or count | ry if outside USA)  |
| Outside the USA:                                   |                                                                            |                          | At                         | of Kresse                |                     |
| Outside the USA:<br>Witnesses are<br>required when | Witness                                                                    |                          | $Q_{n}$                    | ventor (Signature)       |                     |
| acknowledgment before a Notary                     |                                                                            | 1                        |                            | hn Kresse                |                     |
| Public is not feasible.                            | Witness                                                                    |                          | In                         | ventor (Printed Name)    |                     |
|                                                    |                                                                            | ACKNOW                   | LEDGMENT                   |                          |                     |
|                                                    | DIANA }                                                                    | SS:                      | Ĭ.                         |                          |                     |
|                                                    | RION }                                                                     | on Dublic within and for | eaid County and            | l State. Witness my han  | d and Notarial Seal |
| this 57 day                                        | of MAN                                                                     | CH,                      | 2010                       |                          | $\sim$              |
|                                                    | Notary Public                                                              | Seal Seal                |                            |                          | ly                  |
|                                                    | State of Indi<br>Keith R. Doni<br>Resident of Ham<br>My Commission Expires | nelly<br>liton Co.       | Notary Pub  KI Printed Nat | EITH R. DONNELLY         |                     |
| N. C. Windows Francisco                            | 21 MM X 2016                                                               |                          | Resident of                | HAMILTON                 | County              |
| My Commission Exp                                  | res: 31 JOL 1 2010                                                         |                          | Rosidoni Ol                |                          |                     |
|                                                    |                                                                            |                          |                            | •                        |                     |

Provisional Patent Application No.: 61/111,061 Filing Date: November 4, 2008

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