

## PATENT ASSIGNMENT COVER SHEET

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
BONGKEUN KIM	02/23/2016
GLENN H. FREDRICKSON	02/26/2016
<b>RECEIVING PARTY DATA</b>	
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<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94607-5200
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	15052922
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<b>ATTORNEY DOCKET NUMBER:</b>	77493-US-NP (DOW0040US2)
<b>NAME OF SUBMITTER:</b>	DAVID E. RODRIGUES
<b>SIGNATURE:</b>	/David E. Rodrigues/
<b>DATE SIGNED:</b>	03/29/2016
<b>Total Attachments: 2</b>	
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## ASSIGNMENT

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For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Bongkeun Kim
2. Glenn H. Fredrickson

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Fl., Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the UCB Case No. 2016-596-1 entitled:

COPOLYMER FORMULATION FOR DIRECTED SELF-ASSEMBLY, METHODS OF MANUFACTURE THEREOF AND ARTICLES COMPRISING THE SAME

and which is found in

- (a) \_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b)  X  U.S. patent application filed herewith and listing the above named persons as inventors, which claims priority to 62/121253, filed on February 26, 2015.
- (c) \_\_\_ U.S. application serial no. \_\_\_, filed on \_\_\_.
- (d) \_\_\_ U.S. Patent No.: , issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which

2016-596-1 (77493-US-NP; DOW0040US2)

may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.


executed this

Signature of Inventor(s)

2/23/16  
1. DATE

  
Name: Bongkeun Kim

2/26/16  
1. DATE

  
Name: Glenn H. Fredrickson