#### 503762919 03/31/2016

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3809566

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
FEI LI	04/07/2015
PENGCHENG ZHU	04/07/2015
PENGJU KANG	04/07/2015

#### **RECEIVING PARTY DATA**

Name:	GENERAL ELECTRIC COMPANY	
Street Address:	1 RIVER ROAD	
City:	SCHENECTADY	
State/Country:	NEW YORK	
Postal Code:	12345	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15086744

#### CORRESPONDENCE DATA

**Fax Number:** (203)373-7153

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 203-366-7474

Email: Claire.Murray@ge.com

Correspondent Name: GENERAL ELECTRIC COMPANY GE GLOBAL PATEN

Address Line 1: 3135 EASTON TURNPIKE

Address Line 4: FAIRFIELD, CONNECTICUT 06828

ATTORNEY DOCKET NUMBER: 280467_2	
NAME OF SUBMITTER:	PETER T. DIMAURO
SIGNATURE:	/ptd47323/
DATE SIGNED:	03/31/2016

#### **Total Attachments: 6**

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PATENT 503762919 REEL: 038160 FRAME: 0313

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# COMBINED DECLARATION AND GLOBAL ASSIGNMENT FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

## MULTI-SOURCE ENERGY STORAGE SYSTEM AND ENERGY MANAGEMENT AND

#### CONTROL METHOD

$\boxtimes$	the specification of which is attached hereto OR
	United States application or PCT international application number
	filed on, as
	amended on [date] (if applicable).  (We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application when known)

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between General Electric Company, a New York corporation, and our employers), and/or for other good and valuable consideration of which we acknowledge receipt, I/we.

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
Fei		Li	of	Shanghai	Shanghai	CN
Pengcheno		ZHU	of	ShangHai	ShangHai	CN
Pengiu		KANG	of	Shanghai	NY	CN

hereby sell and assign to:

Name of Company: GENERAL ELECTRIC COMPANY

Entity Type (optional):

Address: 1 River Road

Schenectady, New York 12345

United States of America

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made or conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title
CN	PRI-Priority Application	201510148732.X	March 31, 2015	MULTI-SOURCE ENERGY STORAGE SYSTEM AND ENERGY MANAGEMENT AND CONTROL METHOD

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

#### GE Dockel No. 280467

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

GE Docket No. 280467-1	
INVENTOR 1	
Signature: 4 Fei	Date: 2015-4-7
Witnessed by: Tao Vo	<u>ル</u> Date: <u> </u>
Printed Name of Witness	
Witnessed by:	
Printed Name of Witness	<u>in</u>
SECTION BELOW IS FOR US	E ONLY IF SIGNING IN PRESENCE OF A NOTARY
	Dotor
Fei Li	Date:
STATE OF	
COUNTY OF	
This day of	before me personally came the above-named the individual who executed the foregoing assignment, who executed the same of his/her own free will for the purposes
Seal	
	(Notary Public)

·	
INVENTOR 2	· // ·
Signature: <u>Zhu l'Ekyckevk</u>	- Date: 205.4/
Pengcheng Zhu	Date: 2015. 4.7
Cs V.	2016 10 7
Witnessed by: <u> </u>	Date: <u> </u>
Fei Xu	
「というは Printed Name of Witness	
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som Godine slag	
Witnessed by: <u>5 M M M00</u> Signature	Date:
Sat/w/ Mo/0 Printed Name of Witness	
( )))(Cod ) \$6())	
SECTION BELOW IS FOR USE ONLY IF SIG	NING IN DESERVE OF A NOTARY
	MING IN FREDENCE OF A NOTART
r	Pate:
Pengcheng Zhu	
STATE OF	
COUNTY OF	
COUNTROP	
This day of <u>Pengcheng Zhu,</u> to me personally known a	before me personally came the above-name
assignment, who acknowledged to me that he/sh	s the thorotonal who executed the foregoing te executed the same of his/her own free will fo
he purposes therein set forth.	
Seal	
	(Madami Dishila)
	(Notary Public)

GE Docket No. 280467-1

INVENTOR 3	- April 2 205
Signature: Pengju Kang	Date: April 7, 2015
Witnessed by:	in Date: <u>April 7. 2015</u>
Changin Liu	•
Printed Name of Withess	
Witnessed by: Li jamlin	Date:
Signature -	
L: Jinalin	
Printed Name of Witness	
SECTION BELOW IS FOR USE ON	LY IF SIGNING IN PRESENCE OF A NOTARY
	Pata.
Pengju Kang	_ Date:
STATE OF	
COUNTY OF	
This day of	_, before me personally came the above-named as the individual who executed the foregoing assignment
wno acknowledged to me that he/she e	as the individual who executed the foregoing assignment xecuted the same of his/her own free will for the purpose:
therein set forth.	
Canl	
Seal	
	(Notary Public)

PATENT REEL: 038160 FRAME: 0320

RECORDED: 03/31/2016

GE Docket No. 280467-1