

## PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT3815607

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
MICHAEL VINCE HICKS	03/30/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	CATERPILLAR GLOBAL MINING AMERICA LLC
<b>Street Address:</b>	2045 WEST PIKE STREET
<b>City:</b>	HOUSTON
<b>State/Country:</b>	PENNSYLVANIA
<b>Postal Code:</b>	15324
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15090849
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(309)675-1236
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<b>ATTORNEY DOCKET NUMBER:</b>	15-2037
<b>NAME OF SUBMITTER:</b>	KELLY A UMHOLTZ
<b>SIGNATURE:</b>	/kelly a umholtz/
<b>DATE SIGNED:</b>	04/05/2016
<b>Total Attachments: 2</b>	
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source=15-2037-US-Assignment#page2.tif	

## ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

### STOPPER ASSEMBLY FOR AN IMPLEMENT OF A MACHINE

for which I/We will or have filed a patent application; and

WHEREAS, **Caterpillar Global Mining America LLC**, a corporation of Delaware, whose post office address is **2045 West Pike Street, Houston, PA 15324, USA** (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, and in and to the patent application for this invention and any and all patents to be issued upon this application throughout the world;

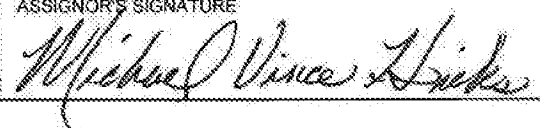
NOW THEREFORE, be it known that for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all patents which may be granted thereon, and all reissues thereof, as well as all rights to claim priority in any country or jurisdiction or patent office on the basis of this application, and all applications for patents which may hereafter be filed for this invention in any country or jurisdiction or patent office and all patents which may be granted on this invention in any country or jurisdiction or patent office, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request any official of any country or jurisdiction or patent office whose duty it is to issue patents on applications as described above, to issue all patents for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

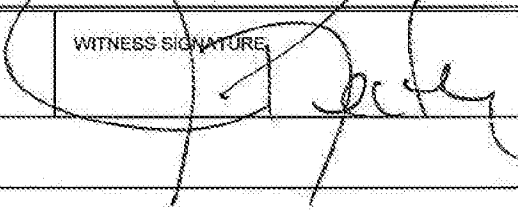
AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in any country or jurisdiction or patent office, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns;


AND, I/WE HEREBY authorize the attorneys/representatives that I/WE have empowered to prosecute this application to insert here in parentheses the country, application number, and official filing date ( \_\_\_\_\_  
Application No. \_\_\_\_\_, filed \_\_\_\_\_).

IN TESTIMONY WHEREOF, I/We have hereunto set our hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR <b>Michael Vince Hicks</b>	ASSIGNOR'S SIGNATURE 	DATE <b>3/30/2016</b>
ADDRESS : <b>48 Kinder Avenue Charleroi, PA 15022</b>		CITIZENSHIP <b>US</b>

SOLE/JOINT INVENTION  
(Worldwide Rights)  
Attorney Docket No. \_\_\_\_\_  
Client Ref. No. 15-2037

1. WITNESS NAME Jeff Rector	WITNESS SIGNATURE 	DATE 3/30/2016
ADDRESS 1 DEERFIELD DR Valley Grove, WI 53060		CITIZENSHIP USA

2. WITNESS NAME Ken Matvey	WITNESS SIGNATURE 	DATE MAR. 30, 2016
ADDRESS 1640 Forelmount St. Christiansburg, VA 24073		CITIZENSHIP USA