

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3821355

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
SHINYA KAGEI	02/26/2016
TSUKASA TAKAHASHI	02/26/2016
KYOHEI YAMAGUCHI	02/25/2016
YOSHIMI HATA	02/25/2016
RECEIVING PARTY DATA	
Name:	MITSUI MINING & SMELTING CO., LTD.
Street Address:	1-11-1, OSAKI, SHINAGAWA-KU
City:	TOKYO
State/Country:	JAPAN
Postal Code:	141-8584
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15028036
CORRESPONDENCE DATA	
Fax Number:	(412)471-4094
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	412-471-8815
Email:	assignments@webblaw.com
Correspondent Name:	THE WEBB LAW FIRM, P.C.
Address Line 1:	ONE GATEWAY CENTER
Address Line 2:	420 FT. DUQUESNE BLVD, SUITE 1200
Address Line 4:	PITTSBURGH, PENNSYLVANIA 15222
ATTORNEY DOCKET NUMBER:	5734-1601447
NAME OF SUBMITTER:	KENT E. BALDAUF
SIGNATURE:	/Kent E. Baldauf/
DATE SIGNED:	04/08/2016
Total Attachments: 4	
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RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)
Shinya KAGEI
Tsukasa TAKAHASHI
Kyohei YAMAGUCHI
Yoshimi HATA
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)
Name: Mitsui Mining & Smelting Co., Ltd.
Internal Address: _____
Street Address: 1-11-1, Osaki, Shinagawa-ku
City: Tokyo
State: _____
Country: JAPAN Zip: 141-8584
Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):
Execution Date(s) February 26, 2016 & February 25, 2016
 Assignment Merger
 Security Agreement Change of Name
 Joint Research Agreement
 Government Interest Assignment
 Executive Order 9424, Confirmatory License
 Other _____

4. Application or patent number(s): This document serves as an Oath/Declaration (37 CFR 1.63).
A. Patent Application No.(s)
15/028,036
Additional numbers attached? Yes No

B. Patent No.(s)

5. Name and address to whom correspondence concerning document should be mailed:
Name: Kent E. Baldauf
Internal Address: The Webb Law Firm
Street Address: One Gateway Center
420 Ft. Duquesne Blvd., Ste 1200
City: Pittsburgh
State: PA Zip: 15222
Phone Number: 412-471-8815
Docket Number: 5734 - 1601447
Email Address: assignments@webblaw.com

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 1.21(h) & 3.41) \$ 0
 Authorized to be charged to deposit account
 Enclosed
 None required (government interest not affecting title)

8. Payment Information
Deposit Account Number _____
Authorized User Name _____

9. Signature: Kent E. Baldauf April 8, 2016
Signature Date

Kent E. Baldauf Total number of pages including cover sheet, attachments, and documents: 4
Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

INTERNATIONAL

Application No. _____

Attorney Docket No. _____

ASSIGNMENT

WHEREAS, as a below named inventor, I have invented certain new and useful improvements in

METHOD FOR MANUFACTURING OVER-LITHIATED LAYERED LITHIUM
METAL COMPOSITE OXIDE

(Invention Title)

for which I have this day executed an application for a United States Patent (hereinafter "said application").

AND/OR

for which an application for a United States Patent was filed on _____ (mm/dd/yyyy) and bears Application Number _____ (hereinafter "said application").

AND/OR

for which an international patent application was filed under the Patent Cooperation Treaty on 10/09/2014 (mm/dd/yyyy), bearing Application No. PCT/JP2014/077046 (hereinafter "said application").

AND, WHEREAS, [Mitsui Mining & Smelting Co.,Ltd.], a corporation of [JAPAN], having a place of business at [1-11-1, Osaki, Shinagawa-ku, Tokyo 141-8584], hereinafter called the "assignee", is desirous of acquiring the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed.

NOW, THEREFORE, for good and valuable consideration paid to me by said assignee, the receipt of which is hereby acknowledged, I as the inventor or as one of the inventors, hereinafter the assignor(s), do hereby assign, sell and transfer unto said assignee the full and exclusive right, title and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, continuations-in-part, renewals and/or extensions thereof. Such assignment extends to the full ends of the terms of these applications and patents as fully and entirely as the same would have been held and enjoyed by me had this Assignment not been made.

I hereby authorize and request any attorney associated with The Webb Law Firm, Customer No. 28289, to insert here in parentheses (Application No. 15/028,036 filed 10/09/2014) the filing date and application number of said application when known.

I covenant that I am the lawful owner(s) of said application, inventions and improvements, that the same are unencumbered, that no license has been granted to make, use or vend the said inventions or improvements or any of them, and that I have the full right to make this Assignment.

And for the consideration aforesaid, I agree individually and, if applicable, jointly that I will communicate to said assignee or the representatives thereof any facts known to me respecting said inventions and improvements, and will, upon request but without expense to me,

INTERNATIONAL

Application No. _____

Attorney Docket No. _____

testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, continuation-in-part, renewal and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts deemed necessary or expedient by said assignee or by counsel for said assignee to assist or enable said assignee to obtain and enforce full benefits from the rights and interests herein assigned. This Assignment shall be binding upon my heirs, executors, administrators and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors and/or assigns, as the case may be, of said assignee.

1. FULL NAME OF ASSIGNOR:
Shinya KAGEI

RESIDENCE:
Takehara-shi, Japan

EXECUTED this 26 day of Feb., 2016

Shinya Kagei
SIGNATURE

WITNESS:

Shigeru Kuwahara

2. FULL NAME OF ASSIGNOR:
Tsukasa TAKAHASHI

RESIDENCE:
Takehara-shi, Japan

EXECUTED this 26 day of Feb., 2016

Tsukasa Takahashi
SIGNATURE

WITNESS:

Shigeru Kuwahara

Checked Box indicates 1 additional page(s) for inventor signatures.

INTERNATIONAL
Application No. _____
Attorney Docket No. _____

3. FULL NAME OF ASSIGNOR:
Kyohei YAMAGUCHI

RESIDENCE:
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EXECUTED this 25 day of February, 2016

Kyohei Yamaguchi
SIGNATURE

WITNESS:

Shigeru Kuwahara

4. FULL NAME OF ASSIGNOR:
Yoshimi HATA

RESIDENCE:
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EXECUTED this 25 day of February 2016

Yoshimi Hata
SIGNATURE

WITNESS:

Shigeru Kuwahara