#### 503776559 04/11/2016

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT3823205

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
GIUSEPPE CIARAMELLA	07/01/2015
AXEL BOUCHON	10/06/2015
ERIC YI-CHUN HUANG	06/29/2015

## **RECEIVING PARTY DATA**

Name:	MODERNA THERAPEUTICS, INC.
Street Address:	320 BENT STREET
City:	CAMBRIDGE
State/Country:	MASSACHUSETTS
Postal Code:	02141

# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15091123

## CORRESPONDENCE DATA

Fax Number: (617)646-8646

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 617-646-8000

Email: Patents HelenL@WolfGreenfield.com WOLF, GREENFIELD & SACKS, P.C. **Correspondent Name:** 

Address Line 1: **600 ATLANTIC AVENUE** 

Address Line 4: BOSTON, MASSACHUSETTS 02210

ATTORNEY DOCKET NUMBER:	M1378.70002US04
NAME OF SUBMITTER:	HELEN C. LOCKHART
SIGNATURE:	/Helen C. Lockhart/
DATE SIGNED:	04/11/2016

# **Total Attachments: 7**

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**PATENT** REEL: 038238 FRAME: 0852 503776559

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> PATENT REEL: 038238 FRAME: 0853

### **ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned Assignor, hereby:

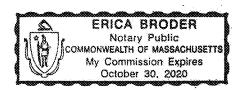
- Sell, assign and transfer to Moderna Therapeutics, Inc., having a place of business at 320 Bent Street; Cambridge, Massachusetts 02141, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the international PCT application filed in the Receiving Office at the United States Patent and Trademark Office on April 23, 2015 under No. PCT/US2015/027400, bearing Attorney Docket No. M1378.70002WO00, and entitled NUCLEIC ACID VACCINES, and in and to the application and all corresponding provisional, non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including but not limited to China, Japan and Korea, on any of the inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions, including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan and Korea; as well as the right to sue in its own name and recover damages for past infringement of any United States Letters patent and foreign patent;
- 2. Agree that the Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for the inventions in its own name; and when requested, without charge to but at the expense of the Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all the inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to the Assignee all facts known to me relating to the inventions and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and for vesting title to the inventions and all applications for patents and all patents on the inventions, in the Assignee;
- 3. Request the Director of the United States Patent and Trademark Office to issue the Letters Patent to the Assignee;
- 4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification information provided above, whether discovered prior to or after recordation;
- 5. Covenant with the Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and
- 6. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

This instrument is executed under seal and signed under the pains and penalties of perjury under the laws of the United States of America.

Attorney Docket No. M1378,70002WO00

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7/1/2019	- 7 	maring	Jamesh
Date	Inventor:	Giuseppe Ciaramella	
	Address:	1 Hawes Road	
		Sudbury, Massachus	etts 01776
	Citizenship:	Italy	
	TH OF MA <u>Ve Ve Ve</u> lay of ゴベリ ッパ Giuseppe Ciaramella	the same of the sa	ndersigned notary public, ph satisfactory evidence of
identification, which were	Monre		· · · · · · · · · · · · · · · · · · ·
to me that the contents of	ed the preceding or attached of the document are truthful and ged to me that (he) (she) signe	accurate to the best o	f (his) (her) knowledge
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	Notary Public	) \ \	
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Attorney Docket No. M1378.70002WO00

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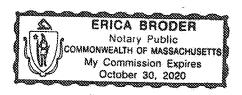
6/29/15 Inventor: Eric Yi-Chun Huang Address: 90 Wareham Street Apartment 302 Boston, Massachusetts 02118 UNITED STATES OF AMERICA Citizenship: STATE/COMMONWEALTH OF WAR COUNTY OF MINABLES ( ):

day of Jwc , Zo) , before me, the undersigned notary public, red Eric Yi-Chun Huang , proved to me through satisfactory evidence of personally appeared identification, which were to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

SEAL

My commission expires:

Notary Public
10/30/2020



### ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned Assignor, hereby:

- Sell, assign and transfer to Moderna Therapeutics, Inc., having a place of business at 320 Bent Street; Cambridge, Massachusetts 02141, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the International PCT application filed in the Receiving Office at the United States Patent and Trademark Office on April 23, 2015 under No. PCT/US2015/027400, bearing Attorney Docket No. M1378.70002WO00, and entitled NUCLEIC ACID VACCINES, and in and to the application and all corresponding provisional, non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including but not limited to China. Japan and Korea, on any of the inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions, including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan and Korea; as well as the right to sue in its own name and recover damages for past infringement of any United States Letters patent and foreign patent;
- 2. Agree that the Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for the inventions in its own name; and when requested, without charge to but at the expense of the Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all the inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to the Assignee all facts known to me relating to the inventions and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and for vesting title to the inventions and all applications for patents and all patents on the inventions, in the Assignee;
- 3. Request the Director of the United States Patent and Trademark Office to issue the Letters Patent to the Assignee;
- 4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification information provided above, whether discovered prior to or after recordation;
- Covenant with the Assignee that no assignment, grant, mortgage, license or other
  agreement affecting the rights and properly herein conveyed has been made to others by me
  and that full right to convey the same as herein expressed is possessed by me; and
- 6. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

This instrument is executed under seal and signed under the pains and penalties of perjury under the laws of the United States of America.

Attorney Docket No. M1378.70002WC00

Page 1 of 4

4102783.1

Date	· · · · · · · · · · · · · · · · · · ·	Inventor	Giuseppe Claramella
		Address:	1 Hawes Road
			Sudbury, Massachusetts 01776
		Citizenship:	Italy
STATE/COMMONWE	ALTH OF		
COUNTY OF	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	*** **	
On this	day of	<b>s</b> .	, before me, the undersigned notary public,
personally appeared	Giuseppe	Ciaramella	, proved to me through satisfactory evidence of
identification, which we	re		
to be the person who s	igned the preced	ing or attached o	locument in my presence and swore or affirmed
to me that the contents	of the document	are truthful and	accurate to the best of (his) (her) knowledge
and belief and acknowl	edged to me that	(he) (she) signe	d it voluntarily for its stated purpose.
SEAL			
SCAL	Not	ary Public	<u>-</u>
		may t mono	
My commission exp	olres:		

Attorney Docket No. M1378.70002WO00

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4102783.1

<u>0070868 6 , 2075</u> Date	Inventor:	Axel Bouchen	· · · · · ·
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		Apartment 702	14532 Klewmachdog
		Cambridge, Massachusetts 02142	CERNANY
		UNITED STATES OF AMERICA	•
	Citizenship:	GERMAN	
Witness:	in film		
Obbox 6, 2015  Date	Signature	sara rapataor	
	Name:	Dospina Papazoglo	<u> </u>
	Address:	Stadtwaldgickel	<u> </u>
		50831 <u>150</u>	······································
Witness:	7	in the second	
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Date '	Signature	). in inin	
	Name:	Sensa Perrone	······
	Address:	WORWER SE	
		42651 SOCINGEN	<del></del>
		GERMANY	

Attorney Docket No. M1378,70002WO00

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4102783.1

Date	Inventor:	Eric Yi-Chun Huang
		90 Wareham Street
		Apartment 302
		Boston, Massachusetts 02118
		UNITED STATES OF AMERICA
	Citizenship:	
STATE/COMMONWE	EALTH OF	
COUNTY OF		
On this	day of ,	, before me, the undersigned notary public,
personally appeared	Eric Yi-Chun Huang	, proved to me through satisfactory evidence of
identification, which w	/ere	
	The second secon	locument in my presence and swore or affirmed
		accurate to the best of (his) (her) knowledge of it voluntarily for its stated purpose,
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**RECORDED: 04/11/2016** 

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Attorney Docket No. M1378.70002WO00