# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3799525

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT	
	Corrective Assignment to correct the SERIAL NUMBER previously recorded on Reel 037273 Frame 0101. Assignor(s) hereby confirms the CONFIRMATORY LICENSE.	

# **CONVEYING PARTY DATA**

Name	Execution Date
3M COMPANY (INCLUDING 3M INNOVATIVE PROPERTIES COMPANY)	03/23/2016

#### **RECEIVING PARTY DATA**

Name:	THE DEPARTMENT OF ENERGY	
Street Address:	9800 S CASS AVE	
City:	ARGONNE	
State/Country:	ILLINOIS	
Postal Code:	60439	

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14098163

#### CORRESPONDENCE DATA

Fax Number: (630)252-2779

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 630-252-2304

Email: felisa.leisinger@science.doe.gov

FELISA L. LEISINGER **Correspondent Name:** 9800 S CASS AVE Address Line 1:

Address Line 4: ARGONNE, ILLINOIS 60439

ATTORNEY DOCKET NUMBER:	S-142,127	
NAME OF SUBMITTER:	FELISA L. LEISINGER	
SIGNATURE:	/Felisa L. Leisinger/	
DATE SIGNED:	03/24/2016	

# **Total Attachments: 3**

source=s142127\_ConfLic\_Correction#page1.tif source=s142127 ConfLic Correction#page2.tif source=s142127 ConfLic Correction#page3.tif

> **PATENT** REEL: 038249 FRAME: 0358 503752879



#### Department of Energy

Office of Science · Chicago Office · Intellectual Property Law Division 9800 South Cass Avenue Argonne, Illinois 60439 (630) 252-2171 Voice • (630) 252-2779 Fax Chicago-ip@ch.doe.gov www.ch.doe.gov/offices/OCC/

### CONFIRMATORY LICENSE

Title of Invention:

High Durability Fuel Cell Components with Cerium Salt Additives

inventor(s);

Matthew H. Frey, Daniel M. Pierpont, Steven J. Hamrock

Patent Application Serial No:

14/098,163

Filing Date 12/05/2013

Patent Number:

Not issued

Issue Date:

Name of Contractor (i.e. Contractor, Subcontractor, Grant, or Cooperative Agreement, as applicable): Name of Contractor: 3M Company (including 3M Innovative Properties Company)

DOE Contract No. (i.e. Contract, Subcontract, Grant, or Cooperative Agreement, as applicable):

DE-FC36-02AL67621

DOE Case No.: S-110.576

Contractor Docket No.: 61410US009

The Contractor has reported the above-identified invention as a Subject Invention to DOE and has elected to retain title ihereto.

Accordingly, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up, worldwide license in this subject invention, patent application and any resulting patent, including any continuation, divisional, reissue, or supplemental application thereof, to practice or to have practiced for or on behalf of the United States throughout the world; and of all other rights acquired by the Government by the patent rights clause in the above-identified contract.

It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or of any other agreement between the Government and the Contractor, or from asserting any other rights of the Government with respect to the above-identified subject invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

Contractor Name

3M Company (including 3M Innovative Properties Company)

Official's Name

Ted Ringsred

Official's Title

Secretary, 3M Innovative Properties Company

Business Address - 3M Center, 220-9E-01, Saint Paul, MN 55144

**PATENT** REEL: 038249 FRAME: 0359

Signed on: //1/4/7/123, 2016



## Department of Energy

Office of Science • Chicago Office • Intellectual Property Law Division 9800 South Cass Avenue Argonne, Illinois 60439 (630) 252-2171 Voice • (630) 252-2779 Fax Chicago-ip@ch.doe.gov www.ch.doe.gov/offices/QCC/

# CONFIRMATORY LICENSE

Title of Invention:

High Durability Fuel Cell Components with Cerium Salt Additives

Inventor(s):

Matthew H. Frey, Daniel M. Pierpont, Steven J. Hamrock

Patent Application Serial No:

14/098,168

Filing Date 12/05/2013

Patent Number:

Not issued

Issue Date:

Name of Contractor (i.e. Contractor, Subcontractor, Grant, or Cooperative Agreement, as applicable):

Name of Contractor: 3M Company (including 3M Innovative Properties Company)

**DOE Contract No.** (i.e. Contract, Subcontract, Grant, or Cooperative Agreement, as applicable):

DE-FC36-02AL67621

DOE Case No.: S-110.576

Contractor Docket No.: 61410US009

The Contractor has reported the above-identified invention as a Subject Invention to DOE and has elected to retain title thereto.

Accordingly, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up, worldwide license in this subject invention, patent application and any resulting patent, including any continuation, divisional, reissue, or supplemental application thereof, to practice or to have practiced for or on behalf of the United States throughout the world; and of all other rights acquired by the Government by the patent rights clause in the above-identified contract.

It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or of any other agreement between the Government and the Contractor, or from asserting any other rights of the Government with respect to the above-identified subject invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent

application.

Signed on: New 12017

Contractor Name

3M Company (including 3M Innovative Properties Company)

Official's Name

Robert W. Sprague

Official's Title

Assistant Chief IP Counsel/Assistant Secretary/Secretary

Business Address 3M Center, 220-9E-01, Saint Paul, MN 55144

**PATENT** REEL: 038249 FRAME: 0360

U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

MR	RECORDATION FORM COVER SHEET S-142,127 PATENTS ONLY			
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.				
1. Name of conveying party(les) 3M COMPANY (INCLUDING 3M INNOVATIVE PROPERTIES COMPANY)		Name and address of receiving party(les)     Name: <u>United States Department of Energy</u> Internal Address:		
Additional name(s) of conveying party(ies) attached? Yes No  3. Nature of conveyance/Execution Date(s):  Execution Date(s) 14/02/2011   Date(s)    Assignment Merger  Security Agreement Change of Name  Joint Research Agreement  Government Interest Assignment  Executive Order 9424, Confirmatory License  Other		Street Address: 1000 Independence Ave., S.W		
		City: <u>Washington</u>		
		State: <u>D.C.</u> Country: <u>USA</u> Zip: <u>20585</u> Additional name(s) & address(es) attached? Yes No		
A. Patent Applic 14/098,168	cation No.(s)  Additional numbers at	document is being filed together with a new application.  B. Patent No.(s)  tached? Yes No		
5. Name and address to whom correspondence concerning document should be mailed:  Name Michael J. Dobbs		6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 1.21(h) & 3.41) \$		
(44444744444444444444444444444444444444	s U. S. Dept. of Energy, IPLD	Authorized to be charged by credit card  Authorized to be charged to deposit account		
Street Address	9800 S. Cass Ave	Enclosed  None required (government interest not affecting title)		
City: Argonne	2	8. Payment information		
	Zip:60439	a. Credit Card Last 4 Numbers Expiration Date		
	630-252-2164			
Fax Number: <u>630-252-2779</u>		b. Deposit Account Number		
Email Address	Confirmatory Licanse @ch.doe.gov	Authorized User Name		
9. Signature:	Signature	12/7///////////////////////////////////		
	Michael J. Dobbs #58,093 Name of Person Signing	Total number of pages including cover sheet, attachments, and documents:		

Documents to be recorded (including cover sheet) should be fixed to (\$71) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT REEL: 038249 FRAME: 0361

RECORDED: 03/24/2016