

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3831294

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
BUELENT KOCER	04/30/2014
RECEIVING PARTY DATA	
Name:	ROCHE R&D CENTER (CHINA) LTD.
Street Address:	BUILDING 5, NO. 720 CAILUN ROAD
City:	SHANGHAI
State/Country:	CHINA
Postal Code:	201203
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14279716
CORRESPONDENCE DATA	
Fax Number:	(650)952-9881
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	650-866-2007
Email:	pgolden@gene.com
Correspondent Name:	JONATHAN DUFFIELD
Address Line 1:	1 DNA WAY
Address Line 2:	MS-49
Address Line 4:	SOUTH SAN FRANCISCO, CALIFORNIA 94080
ATTORNEY DOCKET NUMBER:	P31600-US
NAME OF SUBMITTER:	JONATHAN DUFFIELD
SIGNATURE:	/Jonathan Duffield/
DATE SIGNED:	04/14/2016
Total Attachments: 7	
source=Assignment 2b#page1.tif	
source=Assignment 2b#page2.tif	
source=Assignment 2b#page3.tif	
source=Assignment 2b#page4.tif	
source=Assignment 2b#page5.tif	

source=Assignment 2b#page6.tif

source=Assignment 2b#page7.tif

ASSIGNMENT AGREEMENT

I/We, the undersigned

Inventor(s)

Lel GUO, scientist, a Chinese citizen,
c/o Roche R&D Center (China) Ltd.
Building No. 5, Lane 720 Cailun Road,
Shanghai 201203, China

Taishan HU, scientist, a Chinese citizen,
c/o Roche R&D Center (China) Ltd.
Building No. 5, Lane 720 Cailun Road,
Shanghai 201203, China

Yimin HU, scientist, a Chinese citizen,
c/o Roche R&D Center (China) Ltd.
Building No. 5, Lane 720 Cailun Road,
Shanghai 201203, China

Buelent KOCER, scientist, a German citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, CH-4070 Basel, Switzerland

Buyu KOU, scientist, a Chinese citizen,
c/o Roche R&D Center (China) Ltd.
Building No. 5, Lane 720 Cailun Road,
Shanghai 201203, China

Gangqin LI, scientist, a Chinese citizen
c/o Roche R&D Center (China) Ltd.
Building No. 5, Lane 720 Cailun Road,
Shanghai 201203, China

Xianfeng LIN, scientist, a Chinese citizen,
c/o Roche R&D Center (China) Ltd.
Building No. 5, Lane 720 Cailun Road,
Shanghai 201203, China

Haixia LIU, scientist, a Chinese citizen,
c/o Roche R&D Center (China) Ltd.
Building No. 5, Lane 720 Cailun Road,
Shanghai 201203, China

Hong SHEN, scientist, a US citizen,
c/o Roche R&D Center (China) Ltd.
Building No. 5, Lane 720 Cailun Road,
Shanghai 201203, China

Houguang SHI, Chinese citizen,
c/o Roche R&D Center (China) Ltd.
Building No. 5, Lane 720 Cailun Road,
Shanghai 201203, China

Guolong WU, scientist, a Chinese citizen,
c/o Roche R&D Center (China) Ltd.
Building No. 5, Lane 720 Cailun Road,
Shanghai 201203, China

Employed by:

Roche R&D Center (China) Ltd

Roche R&D Center (China) Ltd

Roche R&D Center (China) Ltd

Roche R&D Center (China) Ltd

Roche R&D Center (China) Ltd

Roche R&D Center (China) Ltd

Roche R&D Center (China) Ltd

Roche R&D Center (China) Ltd

Roche R&D Center (China) Ltd

Roche R&D Center (China) Ltd

Roche R&D Center (China) Ltd

Zhisen ZHANG, scientist, a Chinese citizen,
c/o Roche R&D Center (China) Ltd.
Building No. 5, Lane 720 Cailun Road,
Shanghai 201203, China

Roche R&D Center (China) Ltd

Wei ZHU, scientist, a Chinese citizen,
c/o Roche R&D Center (China) Ltd.
Building No. 5, Lane 720 Cailun Road,
Shanghai 201203, China

Roche R&D Center (China) Ltd

Mingwei ZHOU, scientist, a Chinese citizen
c/o Roche R&D Center (China) Ltd.
Building No. 5, Lane 720 Cailun Road,
Shanghai 201203, China

Roche R&D Center (China) Ltd

hereinafter ASSIGNOR(S), herewith declare that I am/we are the sole inventor(s)

of the invention entitled:

**NOVEL 6-BRIDGED HETEROARYLDIHYDROPYRIMIDINES FOR THE
TREATMENT AND PROPHYLAXIS OF HEPATITIS B VIRUS INFECTION**

for which (a) priority application(s) was (were) filed with the

Patent Office

State Intellectual Property Office of the People's Republic of China

as outlined below:

Case No.	Country	Filing Date	Serial No.
31600 WO1	WO	15. April 2014	PCTCN2014/075392

ASSIGNOR(S) acknowledge(s) the previous transfer to

Roche R&D Center (China) Ltd.
Building 5, No. 720, Cailun Road
Shanghai, 201203
China

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNOR(S)

Place, Date

Lei GUO

Place, Date

Taishan HU

Place, Date

Yimin HU

Basel, 30.4.2014

Place, Date

Bülent Kocer

Buelent KOCER

Place, Date

Buyu KOU

Place, Date

Gangqin LI

Place, Date

Xianfeng LIN

Place, Date

Haixia LIU

Place, Date

Hong SHEN

Place, Date

Houguang SHI

Place, Date

Guolong WU

Place, Date

Zhisen ZHANG

Place, Date

Wei ZHU

Place, Date

Mingwei ZHOU

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signature of

Mister **Buelent Kocer**, German citizen, residing in Steinen (Germany).

Signer and signature personally known.

B a s e l (Switzerland), this 16th (sixteenth) day of May 2014 (two thousand and fourteen).



A handwritten signature in black ink, appearing to read 'Nertz', written over the notary seal.

BV 701/2014

ASSIGNEE

ohn Nertz,

Roche R&D Center (China) Ltd.

Shanghai, 2014.5.9
Place, Date



1).

公 证 书

(2014)沪东证外字第 25073 号

申请人：NING QIN，男，一九六〇年十一月十一日出生
美国护照号码：477244637

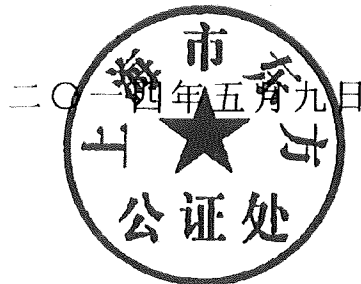
公证事项：签名

兹证明 NING QIN 于二〇一四年五月九日在上海市，
在本处公证员的面前，在前面的外文文件上签名。

中华人民共和国上海市东方公证处

公 证 员

沈宇明



49418321

Translation

NOTARIAL CERTIFICATE

(2014)H.D. Z.W.Z.No.25073

Applicant:

NING QIN, male, born on November 11, 1960
American Passport No.: 477244637

Notarized Matter: Signature

This is to certify that NING QIN affixed signature to the
foregoing foreign language document before the notary
public in Shanghai on May 9, 2014.

SHEN Yuming (Seal)
Notary Public

The People's Republic of China
Shanghai Oriental Notary Public Office
(Seal)

May 9, 2014

49423950