

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3831540

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JOSEPH P. GIUFFRIDA	04/14/2016
DUSTIN A. HELDMAN	04/14/2016
THOMAS O. MERA	03/18/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	GREAT LAKES NEUROTECHNOLOGIES INC.
<b>Street Address:</b>	10055 SWEET VALLEY DR.
<b>City:</b>	VALLEY VIEW
<b>State/Country:</b>	OHIO
<b>Postal Code:</b>	44125
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15016928
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	2166490376
<b>Email:</b>	bkolowski@glneurotech.com
<b>Correspondent Name:</b>	BRIAN KOLKOWSKI
<b>Address Line 1:</b>	4415 EUCLID AVE., SUITE 500
<b>Address Line 4:</b>	CLEVELAND, OHIO 44103
<b>ATTORNEY DOCKET NUMBER:</b>	GLN-044
<b>NAME OF SUBMITTER:</b>	MARK PENNINGTON
<b>SIGNATURE:</b>	/Mark Pennington/
<b>DATE SIGNED:</b>	04/15/2016
<b>Total Attachments: 4</b>	
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source=GLN-044_2016-04-15_assignment#page4.tif	

**RECORDATION FORM COVER SHEET**  
**PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Joseph P. Giuffrida, Dustin A. Heldman,  
Thomas O. Mera

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 3/18/16, 4/14/16

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Great Lakes NeuroTechnologies Inc.

Internal Address: \_\_\_\_\_

Street Address: 10055 Sweet Valley Dr.

City: Cleveland

State: OH

Country: US Zip: 44125

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

15/016,928

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Brian Kolkowski

Internal Address: \_\_\_\_\_

Street Address: 4415 Euclid Ave. Suite 500

City: Cleveland

State: OH Zip: 44103

Phone Number: (216) 649-0376

Docket Number: GLN-044

Email Address: bkolkowski@glneurotech.com

**6. Total number of applications and patents involved: <sup>1</sup>**

**7. Total fee (37 CFR 1.21(h) & 3.41)** \$ 0.00

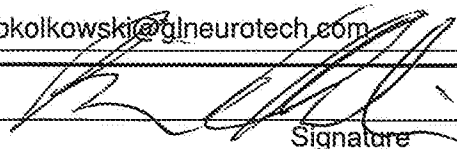
- ☐ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

  
Signature

4/15/2016  
Date

Brian Kolkowski

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**Assignment of Rights, Title and Interest in Invention**  
(Multiple inventors; single assignee)

Docket No.  
GLN-044

*This is an Assignment of the following rights, title and interest: (check all that apply):*

- ☒ *United States of America rights, title and interest in the invention*
- ☒ *Foreign rights, title and interest in the invention*
- ☒ *United States Patent Application Serial No.* 15/016,928
- Date of Execution:* 3/18/16, 4/14/16 *Date of Filing:* 2016-02-05
- ☐ *United States Provisional Patent Application Serial No.* \_\_\_\_\_
- ☐ *United States Patent No(s).* \_\_\_\_\_
- ☐ *International (PCT) Patent Application Serial No.* \_\_\_\_\_
- ☐ *Other (specify)* \_\_\_\_\_

*Title of the Invention*

Movement disorder therapy system, devices and methods, and methods of remotely tuning

*Inventors (assignors)*

<i>Name</i>	<i>Address</i>
Joseph P. Giuffrida	1247 River Woods Dr. Hinckley, OH 44233
Dustin A. Heldman	23299 Shaker Blvd. Shaker Heights, OH 44122
Thomas O. Mera	334 Wetmore Rd. Columbus, OH 43214

*Assignee*

<i>Name</i>	<i>Address</i>
Great Lakes NeuroTechnologies Inc.	10055 Sweet Valley Dr. Cleveland OH 44125

Docket No.  
GLN-044

Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)

Docket No.  
GLN-044

Notarization

(Although notarization is not necessary, it will be considered prima facie evidence of execution pursuant to 35 U.S.C. 261.)

Executed this 14 day of April, in the year 2016

State of OH

County of Cuyahoga

Before me personally appeared Joseph P. Giuffrida

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 14<sup>th</sup> day of April, in the year 2016



MARK PENNINGTON  
ATTORNEY AT LAW  
NOTARY PUBLIC  
STATE OF OHIO  
My Comm. Has No  
Expiration Date  
Section 147.03 R.C.

*(Signature of Notary Public)*  
(Notary Public)

Executed this 14<sup>th</sup> day of April, in the year 2016

State of OH

County of Cuyahoga

Before me personally appeared Dustin A. Heldman

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 14<sup>th</sup> day of April, in the year 2016



MARK PENNINGTON  
ATTORNEY AT LAW  
NOTARY PUBLIC  
STATE OF OHIO  
My Comm. Has No  
Expiration Date  
Section 147.03 R.C.

*(Signature of Notary Public)*  
(Notary Public)

Executed this 18<sup>th</sup> day of March, in the year 2016

State of OH

County of Cuyahoga

Before me personally appeared Thomas O. Mera

who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this day of , in the year

*(Signature of Inventor)*  
(Signature of Inventor)

(Notary Public)