

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT3832157

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JOHANNES AEBI	06/24/2014
KURT AMREIN	06/24/2014
BENOIT HORNSPERGER	06/24/2014
BERND KUHN	06/24/2014
RAINER E. MARTIN	06/24/2014
HANS P. MAERKI	06/24/2014
ALEXANDER V. MAYWEG	06/24/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	F. Hoffmann-La Roche AG
<b>Street Address:</b>	Grenzacherstrasse 124
<b>City:</b>	Basel
<b>State/Country:</b>	SWITZERLAND
<b>Postal Code:</b>	4070
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15098738
<b>CORRESPONDENCE DATA</b>	
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<b>ATTORNEY DOCKET NUMBER:</b>	P31702-US
<b>NAME OF SUBMITTER:</b>	ROBERT C. HALL
<b>SIGNATURE:</b>	/Robert C. Hall/
<b>DATE SIGNED:</b>	04/15/2016

**Total Attachments: 4**

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# ASSIGNMENT AGREEMENT

I/We, the undersigned

**Inventor(s)**

**Employed by:**

Johannes AEBI, chemist, a Swiss citizen,  
Im Kugelfang 27, CH-4102 Binningen,  
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F. Hoffmann-La Roche AG

Kurt AMREIN, biochemist, a Swiss citizen,  
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-

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Switzerland

F. Hoffmann-La Roche AG

Alexander V. MAYWEG, chemist,  
a German citizen, Roemergasse 27,  
CH-4058 Basel, Switzerland

F. Hoffmann-La Roche AG

hereinafter ASSIGNOR(S), herewith declare that we are the joint inventor(s) together with

**Inventor(s)**

**Employed by:**

Xuefei TAN, scientist, a US citizen,  
c/o Roche R&D Center (China) Ltd.  
Building 5, No. 720, Cailun Road  
Shanghai, 201203 China

Roche R&D Center (China) Ltd.

of the invention entitled:

## NEW PHENYL-DIHYDROPYRIDINE DERIVATIVES

for which (a) priority application(s) was (were) filed on behalf of

**Applicant**

F. Hoffmann-La Roche AG

with the

**Patent Office**

State Intellectual Property Office of  
the People's Republic of China

as outlined below:

Case No.	Country	Filing Date	Serial No.
31702 WO	PCT	17 October 2013	PCT/CN2013/085415

ASSIGNOR(S) acknowledge(s) the previous transfer to

F. Hoffmann -- La Roche AG  
Grenzacherstrasse 124  
4070 Basel  
Switzerland

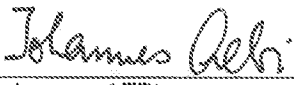
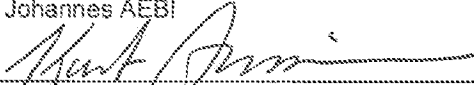

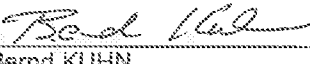
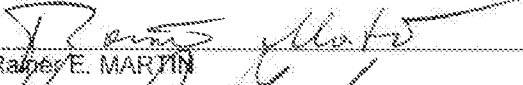
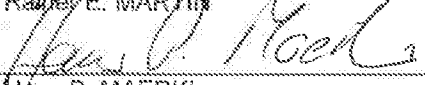

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland, shall have exclusive jurisdiction.

ASSIGNOR(S)

Basel, June 24, 2014	 Johannes AEBI
Basel, June 24, 2014	 Kurt AMREIN
Basel, June 24, 2014	 Benoit HORNSPERGER
Basel, June 24, 2014	 Bernd KUHN
Basel, June 24, 2014	 Rainer E. MARTIN
Basel, June 24, 2014	 Hans P. MAERKI
Basel, June 24, 2014	 Alexander V. MAYWEG
Place, date	Robert BRITTON

Case No. 31702

ASSIGNEE

F. Hoffmann-La Roche AG

*July 14, 2014*

Basel, Date

*Andreas Diener* *Petra Querfeld*

Andreas Diener

**Petra Querfeld**

Mandatory

Holder of procuration

TO BE NOTARIZED

## Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the foregoing signatures of

Mister Johannes Aebi, citizen of Murten FR (Switzerland), residing in Binningen (Switzerland),

Mister Kurt Amrein, citizen of Luzern, residing in Itingen (Switzerland),

Mister Benoit Hornsperger, French citizen, residing in Altkirch (France),

Mister Bernd Kuhn, citizen of Merenschwand AG (Switzerland), residing in Reinach/BL (Switzerland),

Mister Rainer E. Martin, Austrian citizen, residing in Basel (Switzerland).

Mister Hans P. Maerki, citizen of Schlieren ZH, residing in Basel (Switzerland), and

Mister Alexander V. Mayweg, German citizen, residing in Basel (Switzerland).

He certifies that the named persons are in the employ of F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland).

Legalization based on comparison with authentic signatures.

He also legalizes the foregoing signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Andreas Diener, German citizen, residing in Rheinfelden (Germany), and

Miss Petra Querfeld, German citizen, residing in Steinen (Germany),

both duly entitled also to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

B a s e l (Switzerland), this 16<sup>th</sup> (sixteenth) day of July 2014 (two thousand and fourteen).



BV 956/2014