#### 04/15/2016 503785605

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT3832251

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
SHRI PRAKASH DHAR DWIVEDI	04/11/2016
KUMAR KAMLESH SINGH	04/11/2016
JITENDRA MAGANBHAI GAJERA	04/11/2016
DINESH KUMAR RAIKWAR	04/11/2016
BRIJ KHERA	04/11/2016

#### **RECEIVING PARTY DATA**

Name:	CADILA HEALTHCARE LIMITED
Street Address:	ZYDUS TOWER
Internal Address:	SATELLITE CROSS ROADS
City:	AHMEDABAD, GUJARAT
State/Country:	INDIA
Postal Code:	380 015

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14916075

### **CORRESPONDENCE DATA**

Fax Number: (703)816-4100

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 7038164000

Email: sab@nixonvan.com

NIXON & VANDERHYE, P.C. **Correspondent Name:** Address Line 1: 901 NORTH GLEBE ROAD

Address Line 2: 11TH FLOOR

Address Line 4: ARLINGTON, VIRGINIA 22203

ATTORNEY DOCKET NUMBER:	WFG-4062-0243
NAME OF SUBMITTER: WILLEM F. GADIANO	
SIGNATURE:	/WILLEM F. GADIANO/
<b>DATE SIGNED:</b> 04/15/2016	
	This document serves as an Oath/Declaration (37 CFR 1.63).

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**REEL: 038292 FRAME: 0793** 503785605

## **Total Attachments: 5**

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PATENT REEL: 038292 FRAME: 0794

Doc code: Oath Document Description: Oath or declaration filed

Attorney Docket Number	WFG-4062-0243
First Named Inventor	DWIVEDI, Shri Prakesh Dhar

INVENTOR'S DECLARATION AND ASSIGNMENT FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) USING AN APPLICATION DATA SHEET (37 CFR 1.76)
☐ Declaration Submitted With Initial Filing
OR
□ Declaration Submitted After Initial Filing (surcharge (37 C.F.R. § 1.16(f)) required)
AN AMORPHOUS VORTIOXETINE AND SALTS THEREOF
(Title of the Invention)
As a below named inventor, I hereby declare that:
This declaration is directed to:
☐ The attached application,
☑ United States Application Number application number 14/916,075, filed on March 2, 2016, or
☐ PCT International application number filed on .
The above-identified application was made or authorized to be made by me.
I believe I am the original inventor or an original joint inventor of a claimed invention in the application.
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.
WHEREAS, the undersioned (hereinafter ASSIGNOR), has made an invention in the Application identified above.
WHEREAS, CADILA HEALTHCARE LIMITED (hereinafter ASSIGNEE), a corporation of the Country of India, having an office and place of business at Zydus Tower, Satellite Cross Roads, Ahmedabad, Gujarat, India 380 015, is desirous of acquiring an interest therein;
NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and/or other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR by these presents hereby sells, assigns, and transfers unto the said ASSIGNEE, its successors, assigns, and legal representatives, ASSIGNOR'S entire right, title and interest in the United States of America and all foreign countries, in and to the invention as described in the aforesaid application, and to the said application and to all subsequent applications based thereon including any and all continuations, divisions, reissues, and substitutes of said application, and all resulting patents, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and the ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns, and legal representatives. It is understood and agreed that ASSIGNEE'S attorneys Nixon & Vanderhye P.C. have represented only ASSIGNEE and will continue to represent only ASSIGNEE with respect to this invention;
ASSIGNOR hereby agrees to transfer a like interest upon request of said ASSIGNEE, its successors, assigns, and legal representatives, and without further remuneration, in and to any improvements, and applications for patents based thereon, growing out of or related to the said invention;  ASSIGNOR hereby agrees to execute any papers by ASSIGNEE, its successors, assigns, and legal representatives, deemed essential to ASSIGNEE'S
full protection and title in and to the invention hereby transferred; ASSIGNOR hereby irrevocably appoints the ASSIGNEE or its designee, as ASSIGNOR's attorney-in-fact with authority to execute for ASSIGNOR, and on ASSIGNOR's behalf, any and all assignments, applications, or other instruments and documents required to be executed by ASSIGNOR, if ASSIGNOR is unwilling or unable to execute same for any reason. This appointment shall be deemed to be a power coupled with an interest, and as such, is irrevocable; and
ASSIGNOR hereby agrees, upon request of ASSIGNEE, and without further remuneration, to execute any and all papers desired by ASSIGNEE, for the filing and granting of foreign applications and the perfecting of title thereto in ASSIGNEE.
AGREED and executed as noted below:
LEGAL NAME OF SOLE OR FIRST INVENTOR: (E.g., Given Name (first and middle (if any)) and Family Name or Surname)
Shri Prakash Dhar DWIVEDI
Inventor's Signature: S.P.D. Dwivedi Date: 11.04.2016
Witnessed by:
Name:
Signature of witness: Date:

I/We acknowledge the duty to disclose information which is material to the patentability of this application PATENT 37 CFR §1.56.

REEL: 038292 FRAME: 0795

Doc code: Oath

Document Description: Oath or declaration filed

Attorney Docket Number WFG-4062-0243

First Named Inventor DWIVEDI, Shri Prakesh Dhar

THE PERIOD AND ACCIONMENT FOR LITTLITY OF DESIGN PATENT
INVENTOR'S DECLARATION AND ASSIGNMENT FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) USING AN APPLICATION DATA SHEET (37 CFR 1.76)  Declaration Submitted With Initial Filing
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AN AMORPHOUS VORTIOXETINE AND SALTS THEREOF
(Title of the Invention)
As a below named inventor, I hereby declare that:
This declaration is directed to:
☐ The attached application.
☑ United States Application Number application number 14/916,075, filed on March 2, 2016, or
☐ PCT International application number_filed on
The above-identified application was made or authorized to be made by me.  I believe I am the original inventor or an original joint inventor of a claimed invention in the application.  I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.  WHEREAS, the undersigned (hereinafter ASSIGNOR), has made an invention in the Application identified above.
WHEREAS, CADILA HEALTHCARE LIMITED (hereinafter ASSIGNEE), a corporation of the Country of India, having an office and place of business at Zydus Tower, Satellite Cross Roads, Ahmedabad, Gujarat, India 380 015, is desirous of acquiring an interest therein;  NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and/or other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR by these presents hereby sells, assigns, and transfers unto the said ASSIGNEE. Its successors, assigns, and legal representatives. ASSIGNOR'S entire right, title and interest in the United States of America and all foreign countries, in and to the invention as described in the aforesaid application, and to the said application and to all subsequent applications based thereon including any and all continuations, divisions, reissues, and substitutes of said application, and all resulting patents, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and the ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns, and legal representatives. It is understood and agreed that ASSIGNEE'S attorneys Nixon & Vanderhye P.C. have represented only ASSIGNEE and will continue to represent only ASSIGNEE with respect to this invention.  ASSIGNOR hereby agrees to transfer a like interest upon request of said ASSIGNEE, its successors, assigns, and legal representatives, and without further remuneration, in and to any improvements, and applications for patents based thereon, growing out of or related to the said invention;  ASSIGNOR hereby agrees to execute any papers by ASSIGNEE, its successors, assigns, and legal representatives deemed essential to ASSIGNO
AGREED and executed as noted below:
LEGAL NAME OF INVENTOR/JOINT INVENTOR:
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)
Kumar Kamlesh SINGH
Inventor's Signature Date: 11.04.2016
Witnessed by:
Name
Signature of witness Date

REEL: 038292 FRAME: 0796

Doc code: Oath

Document Description: Oath or declaration filed

Attorney Docket Number	WFG-4062-0243
First Named Inventor	DWIVEDI, Shri Prakesh

INVENTOR'S DECLARATION AND ASSIGNMENT FOR UTILITY OR DESIGN PATENT
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ASSIGNOR hereby agrees to execute any papers by ASSIGNEE, its successors, assigns, and legal representatives, deemed essential to ASSIGNEE'S full protection and title in and to the invention hereby transferred; ASSIGNOR hereby irrevocably appoints the ASSIGNEE or its designee, as ASSIGNOR's attorney-in-fact with authority to execute for ASSIGNOR, and
on ASSIGNOR's behalf, any and all assignments, applications, or other instruments and documents required to be executed by ASSIGNOR, if ASSIGNOR is unwilling or unable to execute same for any reason. This appointment shall be deemed to be a power coupled with an interest, and as such, is irrevocable; and
ASSIGNOR hereby agrees, upon request of ASSIGNEE, and without further remuneration, to execute any and all papers desired by ASSIGNEE, for the filing and granting of foreign applications and the perfecting of title thereto in ASSIGNEE.
AGREED and executed as noted below:
LEGAL NAME OF INVENTOR/JOINT INVENTOR: (E.g., Given Name (first and middle (if any)) and Family Name or Surname)
Jitendra معرر Maganbhai GAJERA
Inventor's Signature: Date: 11.04.2016
Witnessed by
Name .
Signature of witness: Date

I/We acknowledge the duty to disclose information which is material to the patentability of this application and the patentability of t

Doc code: Oath Document Description: Oath or declaration filed WFG-4062-0243 Attorney Docket Number DWIVEDI, Shri Prakesh First Named Inventor INVENTOR'S DECLARATION AND ASSIGNMENT FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) USING AN APPLICATION DATA SHEET (37 CFR 1.76) ☐ Declaration Submitted With Initial Filing OR □ Declaration Submitted After Initial Filing (surcharge (37 C.F.R. § 1.16(f)) required) AN AMORPHOUS VORTIOXETINE AND SALTS THEREOF (Title of the Invention) As a below named inventor, I hereby declare that: This declaration is directed to: ☐ The attached application, United States Application Number application number 14/916,075, filed on March 2, 2016, or PCT International application number filed on The above-identified application was made or authorized to be made by me. I believe I am the original inventor or an original joint inventor of a claimed invention in the application. I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both. WHEREAS, the undersigned (hereinafter ASSIGNOR), has made an invention in the Application identified above. WHEREAS, CADILA HEALTHCARE LIMITED (hereinafter ASSIGNEE), a corporation of the Country of India, having an office and place of business at Zydus Tower, Satellite Cross Roads, Ahmedabad, Gujarat, India 380 015, is desirous of acquiring an interest therein; NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and/or other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR by these presents hereby sells, assigns, and transfers unto the said ASSIGNEE, its successors, assigns, and legal representatives, ASSIGNOR'S entire right, title and interest in the United States of America and all foreign countries, in and to the invention as described in the aforesaid application, and to the said application and to all subsequent applications based thereon including any and all continuations, divisions, reissues, and substitutes of said application, and all resulting patents, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and the ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns, and legal representatives. It is understood and agreed that ASSIGNEE'S attorneys Nixon & Vanderhye P.C. have represented only ASSIGNEE and will continue to represent only ASSIGNEE with ASSIGNOR hereby agrees to transfer a like interest upon request of said ASSIGNEE, its successors, assigns, and legal representatives, and without further remuneration, in and to any improvements, and applications for patents based thereon, growing out of or related to the said invention; ASSIGNOR hereby agrees to execute any papers by ASSIGNEE, its successors, assigns, and legal representatives, deemed essential to ASSIGNEE'S full protection and title in and to the invention hereby transferred; ASSIGNOR hereby irrevocably appoints the ASSIGNEE or its designee, as ASSIGNOR's attorney-in-fact with authority to execute for ASSIGNOR, and on ASSIGNOR's behalf, any and all assignments, applications, or other instruments and documents required to be executed by ASSIGNOR, if ASSIGNOR is unwilling or unable to execute same for any reason. This appointment shall be deemed to be a power coupled with an interest, and as ASSIGNOR hereby agrees, upon request of ASSIGNEE, and without further remuneration, to execute any and all papers desired by ASSIGNEE, for the filing and granting of foreign applications and the perfecting of title thereto in ASSIGNEE. AGREED and executed as noted below: LEGAL NAME OF INVENTOR/JOINT INVENTOR: (E.g., Given Name (first and middle (if any)) and Family Name or Surname) RAIKWAR

Dinesh

Inventor's Signature:

Signature of witness:

Witnessed by: Name: Dinesh

Date: 11.04.2016

Doc code: Oath

Document Description: Oath or declaration filed

Attorney Docket Number	WFG-4062-0243
First Named Inventor	DWIVEDI, Shri Prakesh Dhar
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real representatives. ASSIGNOR'S entire right, title and interest in the Shines of the send thereon including any and all continuations.
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and agreed that ASSIGNEE'S attorneys Nixon & varidetries P.C. have represented only reserved.
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AGREED and executed as noted below:
FOAL MARKE OF INVENTOR/ JOINT INVENTOR:
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)
Brij KHERA
Inventor's Signature: Date: 11.04.2016
Inventor's Signature: Brijthers. 11.04.2016
Witnessed by:
Name:
Date:
Signature of witness:

I/We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR §1.56. 2598665

**PATENT** 

**RECORDED: 04/15/2016 REEL: 038292 FRAME: 0799**