04/15/2016 503786510

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3833156

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
ROBIN POLT	03/03/2016
TORSTEN A E FALK	03/01/2016

RECEIVING PARTY DATA

Name:	THE ARIZONA BOARD OF REGENTS ON BEHALF OF THE UNIVERSITY OF ARIZONA
Street Address:	THE UNIVERSITY OF ARIZONA, TECH TRANSFER ARIZONA
Internal Address:	220 WEST SIXTH STREET, 4TH FLOOR
City:	TUCSON
State/Country:	ARIZONA
Postal Code:	85701

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15044924

CORRESPONDENCE DATA

Fax Number: (520)882-7643

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 5208827623

Email: cgee@hayes-soloway.com **Correspondent Name:** NORMAN P. SOLOWAY Address Line 1: 4640 E. SKYLINE DRIVE Address Line 4: TUCSON, ARIZONA 85718

ATTORNEY DOCKET NUMBER: UA 13-119 CIP	
NAME OF SUBMITTER: NORMAN P. SOLOWAY	
SIGNATURE:	/norman p. soloway/
DATE SIGNED:	04/15/2016

Total Attachments: 3

source=assignment#page1.tif source=assignment#page2.tif source=assignment#page3.tif

> **PATENT** REEL: 038296 FRAME: 0908 503786510

ASSIGNMENT OF PATENT RIGHTS

Assignor:	Robin Polt
	1306 E. University Blvd. Room 221
	Tucson, AZ 85721-0041
	Torsten A E Falk
	PO Box 54023
	Tucson AZ 85724

Assignee:

The Arizona Board of Regents on Behalf of the University of Arizona, a body corporate duly formed in accordance with Title 15 of the Arizona Revised Statutes, with an address as follows: The University of Arizona, Tech Transfer Arizona, University Services Annex, 4th Floor, P.O. Box 210300A, Tucson, AZ 85721 (physically located at The University of Arizona, Tech Transfer Arizona, 220 West Sixth Street, 4th Floor, Tucson, AZ 85721).

For good and valuable consideration, the receipt of which is hereby acknowledged, Assignor hereby sells, assigns, transfers, and conveys unto Assignee all right, title, and interest that exist today and may exist in the future in and to any and all of the following (collectively, the "Patent Rights"):

(a) the provisional patent applications, patent applications and patents listed in the table below (the "Patents");

UA Ref. No.	Patent or Application No.	Country	Filing Date	Title of Patent and First Named Inventor
UA16-144 (related to UA13-119)	15/044,924	บร	2/16/16	GLYCOSYLATED PACAP/VIP ANALOGUES WITH ENHANCED CNS PENETRATION FOR TREATMENT OF NEURODEGENERATIVE DISEASES; -Robin Polt

- (b) all patents and patent applications (i) to which any of the Patents directly or indirectly claims priority, (ii) for which any of the Patents directly or indirectly forms a basis for priority, and/or (iii) that were co-owned applications that directly or indirectly incorporate by reference the Patents;
- (c) all reissues, reexaminations, extensions, continuations, continuations in part, continuing prosecution applications, requests for continuing examinations, divisions, registrations of any item in any of the foregoing categories (a) and (b);
- (d) all foreign patents, patent applications, and counterparts relating to any item in any of the foregoing categories (a) through (c), including, without limitation, certificates of invention, utility models, industrial design protection, design patent protection, and other governmental grants or issuances, including, without limitation, all rights under the Paris Convention for the Protection of Industrial Property, the International Patent Cooperation Treaty, or any other convention, treaty, agreement, or understanding; and
- (e) all inventions, invention disclosures, and discoveries described in any item in any of the foregoing categories and all other rights arising out of such inventions, invention disclosures, and discoveries, and all causes of action (whether known or unknown or whether currently pending, filed, or otherwise) and other enforcement rights under, or on account of, any of the Patents and/or any item in any of the foregoing categories, including, for past, current, and future infringement.

XII

1

PATENT REEL: 038296 FRAME: 0909 Assignor confirms that, at the time the invention was made, Assignor was obligated to assign, and did assign, the invention to Assignee. Assignor represents, warrants and covenants that Assignor has the full power and authority, and has obtained all third party consents, approvals and/or other authorizations required to enter into this Agreement and to carry out its obligations hereunder, including the assignment of the Patent Rights to Assignee.

Assignor hereby authorizes the respective patent office or governmental agency in each jurisdiction to issue any and all patents, certificates of invention, utility models or other governmental grants or issuances that may be granted upon any of the Patent Rights in the name of Assignee, as the assignee to the entire interest therein.

Assignor will, at the reasonable request of Assignee and without demanding any further consideration therefor, do all things necessary, proper, or advisable, including without limitation, the execution, acknowledgment, and recordation of specific assignments, oaths, declarations, and other documents on a country-by-country basis, to assist Assignee in obtaining, perfecting, sustaining, and/or enforcing the Patent Rights. Assignor has not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the firm of <u>Hayes Soloway P.C.</u>, the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

The terms and conditions of this Assignment of Patent Rights will inure to the benefit of Assignee, its successors, assigns, and other legal representatives and will be binding upon Assignor, its successors, assigns, and other legal representatives.

IN WITNESS WHEREOF this Assignment of Patent Rights is executed on the dates below.

ASSIGNOR:	
By: 1864, 1864	Date: 3 March 2016
Printed Name: Robin Polt	-
STATE OF PICTOR) SS. 1	4082e
On this 3 day of Miles, personally ap known to me (or proven to me on the basis of satisfac subscribed to the within instrument, and acknowledge	peared the above-named ASSIGNOR (Robin Polt), ctory evidence) to be the persons whose names are
KRISTINE G. POPE	NOTARY PUBLIC OF WITNESS

Pima County My Commission Expires December 05, 2017

2

ASSIGNUR:	
By: take	Date: 3/1/16
Printed Name: <u>Torsten A E Falk</u>	_
STATE OF)) ss.	
COUNTY OF)	
On this day of MARCH undersigned Notary Public or Witness, personally ap known to me (or proven to me on the basis of satisfactions subscribed to the within instrument, and acknowledged to the within instrument.	peared the above-named ASSIGNOR (Torsten A E Falk), ctory evidence) to be the persons whose names are
	Christine a Land
CHRISTINE A. GAUL Notary Public - State of Arizona PIMA COUNTY My Commission Expires January 5, 2019	NOTARY PUBLIC or WITNESS MY COMMISSION EXPIRES: <u>()5 JANUARY</u> 2019