

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT3836122

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	DAVID CHANG	04/15/2008
RECEIVING PARTY DATA		
Name:	SILK ROAD MEDICAL, INC.	
Street Address:	735 N. PASTORIA AVENUE	
City:	SUNNYVALE	
State/Country:	CALIFORNIA	
Postal Code:	94085	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	15082257	
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Email:	TBARNETT@MINTZ.COM	
Correspondent Name:	MINTZ LEVIN	
Address Line 1:	3580 CARMEL MOUNTAIN ROAD, SUITE 300	
Address Line 4:	SAN DIEGO, CALIFORNIA 92130	
ATTORNEY DOCKET NUMBER:	38287-502C03US	
NAME OF SUBMITTER:	FRED C. HERNANDEZ	
SIGNATURE:	/fch/	
DATE SIGNED:	04/19/2016	
Total Attachments: 3		
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source=38287_502C03US_Assignment#page2.tif		
source=38287_502C03US_Assignment#page3.tif		

PATENT ASSIGNMENT AGREEMENT

This Patent Assignment Agreement ("Agreement") is entered into as of April 15, 2008, by and between David Chang, an individual residing at Kaplan, CA ("Assignor") and Silk Road Medical, Inc., a Delaware corporation, having offices at Palo Alto, California ("Assignee").

1 For good and valuable consideration, the receipt of which is hereby acknowledged Assignor does hereby sell, assign, transfer and convey unto Assignee or its designees, all of Assignor's right, title and interest in and to the patent applications listed below, any patents issuing on any patent applications listed below, the inventions disclosed in any of the foregoing, any and all counterpart United States, international and foreign patents, applications and certificates of invention based upon or covering any portion of the foregoing and all reissues, divisionals, renewals, extensions, provisionals, continuations and continuations-in-part of any of the foregoing (collectively, the "Patent Rights"):

2 Assignor represents, warrants and covenants that: (i) it is the sole owner, assignee and holder of record title to the Patent Rights identified above, (ii) it has obtained and properly recorded previously executed assignments for all patent applications and patents identified above as necessary to fully perfect its rights and title therein in accordance with governing law and regulations in the jurisdiction noted below, and

Patent Application Number	Country	Filing Date	Title
10/996,301	United States	May 10, 2004	Method and Apparatus for Treating a Carotid Artery
11/282,222	United States	November 18, 2005	Endoluminal Delivery of Anesthesia

(iii) it has legal full power with respect to and authority capacity to make the present assignment. Assignor shall indemnify and hold harmless Assignee for any breach of the foregoing.

3. Assignor further agrees to and hereby does sell, assign, transfer and convey unto Assignee all rights:

(i) in and to causes of action and enforcement rights for the Patent Rights including all rights to pursue damages, injunctive relief and other remedies for past and future infringement of the Patent Rights, and (ii) to apply in any or all countries of the world for patents, certificates of invention or other governmental grants for the Patent Rights, including without limitation under the Paris Convention for the Protection of Industrial Property, the International Patent Cooperation Treaty, or any other convention, treaty, agreement or understanding. Assignor also hereby authorizes the respective patent office or governmental agency in each jurisdiction to issue any and all patents or certificates of invention which may be granted upon any of the Patent Rights in the name of Assignee, as the assignee to the entire interest therein.

4. Assignor will, at the reasonable request of Assignee and without demanding any further consideration therefor (but at the Assignee's cost), do all things necessary, proper, or advisable, including without limitation the execution, acknowledgment and recordation of specific assignments, oaths, declarations and other documents on a country-by-country basis, to assist Assignee in obtaining, perfecting, sustaining, and/or enforcing the Patent Rights. Such assistance shall include providing, and obtaining from the respective inventors, prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, powers of attorney, specifications, declarations or other papers and other assistance reasonably necessary for filing patent applications, complying with any duty of disclosure, and conducting prosecution, reexamination, reissue,

interference or other priority proceedings, opposition proceedings, cancellation proceedings, public use proceedings, infringement or other court actions and the like with respect to the Patent Rights.

5. It is hereby expressly agreed that as of the date hereof, Assignee shall have full responsibility for maintaining and filing all Patent Rights, and paying any and all costs and fees associated therewith.

6. The terms and conditions of this Assignment shall inure to the benefit of Assignee, its successors, assigns and other legal representatives, and shall be binding upon Assignor, its successor, assigns and other legal representatives.

IN WITNESS WHEREOF this Assignment of Patent Rights is executed at ^{Palo Alto, CA} on ⁷April 15, 2008

ASSIGNOR



By:

Name: DAVID CHANG

Title: MD

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Santa Clara

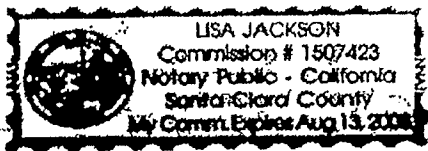
On April 15, 2008 before me, Lisa Jackson (Notary Public)

Date

Here Insert Name and Title of the Officer

personally appeared David Chang

Name(s) of Signer(s)



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within Instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Patent Assignment Agreement

Document Date: April 15, 2008

Number of Pages: 2

Signer(s) Other Than Named Above: N/A

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney In Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney In Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

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