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PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3840070

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	

Name	Execution Date
COLM MCLAUGHLIN	03/31/2016
NOAH HANSELL	04/05/2016
DANIEL DAVENPORT	04/15/2016
ROBERT W. TROUT III	05/26/2006
ROBERT RHOADS	03/31/2016
GEORGE HOWARD	04/01/2016

RECEIVING PARTY DATA

Name:	GLOBUS MEDICAL, INC.	
Street Address:	s: 2560 GENERAL ARMISTEAD AVENUE	
Internal Address:	VALLEY FORGE BUSINESS CENTER	
City:	AUDUBON	
State/Country:	PENNSYLVANIA	
Postal Code:	19403	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	15086179

CORRESPONDENCE DATA

Fax Number:	(610)	930-2042			
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.					
Phone:	6109	301800			
Email:	skyttle@globusmedical.com				
Correspondent Name:	GLOBUS MEDICAL, INC.				
Address Line 1:	2560	GENERAL ARMISTEAD AVENUE			
Address Line 4:	AUD	JBON, PENNSYLVANIA 19403			
ATTORNEY DOCKET NUMBER:		0218.337.0009			
NAME OF CURMITTED.					

NAME OF SUBMITTER:	SUSAN KYTTLE
SIGNATURE:	/Susan Kyttle/
DATE SIGNED:	04/21/2016

Total Attachments: 12
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ASSIGNMENT

WHEREAS, We, Colm McLaughlin residing at 302 Roslyn Avenue, Glenside, PA 19038; Noah Hansell residing at 164 Anderson Road, King of Prussia, PA 19406; Daniel Davenport residing at 73 College Avenue, Collegeville, PA 19426; Robert W. Trout III, residing at 14 Moses Lane, Schwenksville, PA 19473; Robert Rhoads residing at 430 W. Walnut Street, North Wales, PA 19454; and George Howard residing at 2131 Old Woods Road, Green Lane, PA 18054, made certain new and useful inventions and improvements for which we executed an application for Letters Patent of the United States on March 31, 2016, which application was assigned U.S. patent application serial number 15/086,179 and is entitled EXPANDABLE INTERVERTEBRAL IMPLANT;

AND WHEREAS, Globus Medical, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 2560 General Armistead Avenue, Audubon, PA 19403 (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefore;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted there from, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted there from; and we do hereby authorize and request the Commissioner of

Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to me relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

We further authorize and direct the ASSIGNEE and its attorneys to insert above the application number of said application now identified as Docket No. 0218.337.0009 as soon as the same shall have been made known to them by the U.S. Patent and Trademark Office.

IN TESTIMONY WHEREOF, I have hereunto set my hand this <u>S</u> day of

MARCH , 2016.

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COLM MCLAUGHLIN

IN TESTIMONY WHEREOF, I have hereunto set my hand this _____day of

ARIC , 2016.

NOAH HANSELL

Docket No: 0218.337.0009 Serial Number : 15/086,179 Filing Date : March 31, 2016 Page 5 of 8

IN TESTIMONY WHEREOF, I have hereunto set my hand this $\frac{15^{44}}{15^{16}}$ day of April _____, 2016.

Jame

DANIEL DAVENPORT

GLOBUS MEDICAL, INC. NO COMPETITION AND NON-DISCLOSURE AGREEMENT

This No Competition and Non-Disclosure Agreement ("NCND Agreement") is made and entered into between Globus Medical, Inc. (the "Company") and ("<u>Robert Trout</u>") effective <u>May 26, 2006</u> (Effective Date).

ACKNOWLEDGEMENTS & DEFINITIONS

- A. The Company is engaged in the design, development, production, distribution and sale of products and services for spine surgery ("Products").
- B. Employee performs services for and on behalf of the Company, either as a direct employee or through an independent service contract, for which Company compensates Employee. For purposes of this NCND Agreement the Employee's performance of services and receipt of compensation from the Company will be defined as the Employment Agreement (the "Employment Agreement") between the Employee and the Company, whether or not a written employment agreement exists between the Employee and the Company governing said services and compensation.
- C. For purposes of this NCND Agreement, the No Competition Territory ("No Competition Territory") shall be defined as the geographic area assigned to the Employee within the most recent 12 months of their employment. In the event that the Employee has been assigned certain accounts and not a geographic area, the No Competition Territory shall be defined as the geographic area within a 10-mile radius of each assigned account. In the event the Employee has not been assigned specific accounts or a specific geographic region, the No Competition Territory shall be defined as the United States of America.
- D. Employee has received good and sufficient consideration from Company, including one or more of the following: an offer of employment; continued employment; salary; health insurance benefits; bonuses; sales commissions; and stock options.
- E. For purposes of this NCND Agreement, Medical Personnel ("Medical Personnel") shall be defined as orthopedic surgeons, neuro-surgeons, physicians, nurses and other medical personnel involved in the implantation and other handling and usage of the Products.
- F. For purposes of this NCND Agreement, Hospitals ("Hospitals") shall be defined as hospitals, surgery centers, medical centers and other health care facilities that purchase Products and the location at which Medical Personnel perform services related to the implantation and other handling and usage of the Products.
- G. Employee will have access to confidential, proprietary and trade secret information ("Confidential Information") belonging to the Company, including Confidential

Globus Medical, Inc.

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Confidential and Proprietary

PATENT REEL: 038340 FRAME: 0942 Information developed by the Employee (see Section 2.2 below). Such Confidential Information includes, but is not limited to: customer lists; product specifications and attributes; pricing information; technology development plans; forecasts; financial information; sales strategies and techniques; business records; models; prototypes; schematics; manuals; handbooks; literature; vendors; business terms between Company and suppliers; business terms between Company and Hospitals; business terms between Company and distributors; business terms between Company and Medical Personnel. Employee acknowledges that Company owns such Confidential Information. Furthermore, Employee acknowledges that the disclosure of such Confidential Information to unauthorized third parties, including Competitive Companies (as defined below) would cause great and irreparable harm to the Company. Furthermore, Employee acknowledges that Company has a legitimate business interest in the protection of the Confidential Information.

H. Employee will receive information and be trained in the highly technical, competitive and specialized business of spine surgery and spinal implants and instrumentation.

NO COMPETITION & NO SOLICITATION COVENANT

- 1.1 <u>Competitive Activity</u>. For purposes of this NCND Agreement, Competitive Activity ("Competitive Activity") shall be defined as participation in, performance of services for, employment by, ownership of any interest in, or assistance, promotion or organization of, any person, partnership, corporation, firm, limited liability company, association or other business entity that manufactures, sells, markets or distributes products or services used in spine surgery ("Competing Company"); provided that the purchase for investment of not more than five (5%) percent of the total capital stock of such Competing Company whose stock is publicly traded shall not constitute a Competitive Activity.
- 1.2 <u>No Competition Period</u>. For purposes of this NCND Agreement, the No Competition Period ("No Competition Period") shall be during the term of the Employment Agreement and the 12-month period immediately following the termination of the Employment Agreement.
- 1.3 <u>No Competition or Solicitation Covenant</u>. Employee agrees not to engage in any Competitive Activity with any Competing Company during the No Competition Period in the No Competition Territory. Furthermore, during the No Competition Period, Employee agrees not to directly or indirectly, either for the Employee's benefit or the benefit of another entity, solicit, call on, interfere with, or attempt to divert, entice away, sell to or market to any customer, Hospital or Medical Personnel in the No Competition Territory.
- 1.4 <u>No Solicitation of Company's Employees or Employees</u>. During the No Competition Period, Employee agrees not to directly or indirectly, either for the Employee's benefit or the benefit of another entity, employ or offer to employ in any capacity; contact or

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PATENT REEL: 038340 FRAME: 0943

recommend for employment with a Competitive Company; contact or recommend for the purposes of entering into a contractual relationship with a Competitive Company; solicit, call on, interfere with, or attempt to divert, or entice away; any individuals who were employees, independent contractors, representatives or employees of the Company or of any of the Company's distributors on the date that the Employment Agreement was terminated or for the 12-month period immediately preceding the termination of the Employment Agreement.

NON-DISCLOSURE COVENANT

- 2.1 <u>Use of Confidential Information</u>. Both during the term of the Employment Agreement and after the termination of the Employment Agreement, Employee agrees not to use any Confidential Information except as required to perform its obligations as an Employee of the Company, or disclose to any individual, corporation, partnership or other entity any Confidential Information belonging to the Company, unless Employee is required to make such disclosure pursuant to judicial process. Notwithstanding the foregoing, immediately upon receipt of subpoena or other judicial process requiring disclosure of Confidential Information belonging to Company, Employee shall deliver written notice and a complete copy of such process to the Company and before responding to such process, allow the Company to take such action as they may deem appropriate under the circumstances to protect their interests in the Confidential Information requested for disclosure.
- 2.2 Development of Intellectual Property. Employee may make, discover or develop inventions, ideas, trade secrets, financial materials, computer programs, discoveries, developmental improvements, know-how, processes and devices related to or used in the conduct of Employee's performance of services for and on behalf of the Company ("Developments"). The Employee agrees to disclose fully and promptly to the Company any said Developments. Furthermore, Employee agrees that the Company is the sole and exclusive owner of said Developments; the Employee retains no ownership in said Developments; and said Developments become part of the Company's Confidential Information for purposes of this NCND Agreement. Company and Employee agree that if the Developments or any portion thereof are copyrightable, it shall be deemed "work for hire" as such term is defined in the U.S. Copyright Act. The Employee shall execute and deliver to the Company any and all licenses, applications, assignments and other documents and take any and all actions that the Company may deem necessary or desirable to protect Company's ownership rights in said Developments.
- 2.3 <u>Handling and Return of Confidential Information</u>. Employee shall not physically or electronically remove or make copies of any Confidential Information owned by the Company, except as required by the Employee to properly fulfill their responsibilities as an Employee of the Company. Upon the termination of the Employment Agreement, Employee shall immediately return to the Company any and all Confidential Information in their possession, including any and all copies of said Confidential Information.

Globus Medical, Inc.

Confidential and Proprietary

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- 2.4 <u>Fiduciary Duties</u>. Employee agrees that Employee shall treat all Confidential Information entrusted to Employee by Company as a fiduciary, and Employee accepts and undertakes all the obligations of a fiduciary, including good faith, trust, confidence and candor, to maintain, protect and develop Confidential Information for the benefit of Company.
- 2.5 <u>Confidential Information of Others</u>. Employee hereby represents and warrants to the Company that Employee is not bound by any agreement, understanding or restriction, (including, but not limited to any covenant restricting competition or agreement related to the confidential and proprietary information and trade secrets of any third party), that is inconsistent with or prevents or limits the Employee's ability to fulfill their obligations under the Employment Agreement. Furthermore, Employee hereby represents and warrants to the Company that the execution and performance of the Employment Agreement will not result in or constitute a breach of any term or condition of any other agreement the Employee is bound by. In their performance of their duties and obligations under the Employment Agreement, Employee agrees not to disclose the confidential and proprietary information or trade secrets of any third party to the Company.

REMEDIES

3.1 <u>Right to Specific Relief</u>. Company and Employee recognize and acknowledge that the limitations set forth in this NCND Agreement are properly required for the adequate protection of the business of the Company, and that violation of any of the provisions of this NCND Agreement will cause irreparable injury for which money damages are neither adequate nor ascertainable. Accordingly, Company shall have the right to have the provisions of this NCND Agreement specifically enforced by a court of competent jurisdiction, in addition to any other remedies which Company may have, and Employee hereby consents to the entry of an injunction or other similar relief without the necessity of posting a bond or other financial insurance. Furthermore, Company shall be entitled to recover its costs and expenses (including reasonable attorneys' fees) incurred in enforcing its rights under this NCND Agreement.

OTHER MATTERS

- 4.1 <u>Entire Agreement</u>. This NCND Agreement constitutes the entire agreement between the parties relating to the specific matters covered by this NCND Agreement and supersedes all prior agreements, whether written or oral. No modifications or waiver of any part of this NCND Agreement shall be binding upon either party unless in writing.
- 4.2 <u>Waiver</u>. The waiver of a breach of any provision of this NCND Agreement by any party shall not operate or be construed as a waiver of any provision of this NCND Agreement or consent of any subsequent breach.

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- Severability. If any term or provision of this NCND Agreement shall be determined 4.3 invalid or unenforceable to any extent or in any application, then the remainder of this NCND Agreement shall not be affected thereby, and such term or provision shall be deemed modified to the minimum extent necessary to make it consistent with applicable law, except to such extent or in such application, shall not be affected thereby, every term and provision of this NCND Agreement as so modified if necessary, shall be enforced to the fullest extent and in the broadest application permitted by law.
- 4.4 Governing Law. In order to maintain uniformity in the interpretation of this NCND Agreement the parties have expressly agreed that this NCND Agreement, the parties' performance hereunder and the relationship between them shall be governed by, construed and enforced in accordance with the laws of the Commonwealth of Pennsylvania, without regard to the principles thereof regarding conflicts of laws.
- Binding Nature. This Agreement shall be binding upon and inure to the benefit of the 4.5 parties hereto and their respective successors, representatives and permitted assigns.

IN WITNESS WHEREOF, the undersigned have executed this NCND AGREEMENT. intending to be bound under their seals, effective as of the day and year set forth below.

COMPANY: Globus Medical, Inc.

Stablat

DATE:

EMPLOYEE:

Globus Medical, Inc.

Confidential and Proprietary

PATENT REEL: 038340 FRAME: 0946

IN TESTIMONY WHEREOF, I have hereunto set my hand this 31 day of _______, 2016.

Polect Rhoved

ROBERT RHOADS

IN TESTIMONY WHEREOF, I have hereunto set my hand this 2^{37} day of , 2016,

GEORGE HOWARD