

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ZHAOXIN WU	09/26/2013
YANG DAI	09/26/2013
BO JIAO	09/26/2013
XUN HOU	09/26/2013
RECEIVING PARTY DATA	
Name:	XI'AN JIAOTONG UNIVERSITY
Street Address:	NO. 28 XIANNING WEST ROAD
City:	XI'AN, SHANXI
State/Country:	CHINA
Postal Code:	710049
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15030037
CORRESPONDENCE DATA	
Fax Number:	(435)252-1360
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	4352521360
Email:	cmurdock@mabr.com
Correspondent Name:	R. BURNS ISRAELSEN
Address Line 1:	1389 CENTER DRIVE
Address Line 2:	SUITE 300
Address Line 4:	PARK CITY, UTAH 84098
ATTORNEY DOCKET NUMBER:	I1013.10188US01
NAME OF SUBMITTER:	R. BURNS ISRAELSEN
SIGNATURE:	/R. Burns Israelsen/ Reg. #42,685
DATE SIGNED:	04/22/2016
Total Attachments: 8	
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ASSIGNMENT OF PATENT RIGHTS

专利权转让证明

For valuable consideration, the receipt and sufficiency of which is hereby acknowledged,

基于等价有偿，兹确认其足数并已收讫

Zhaoxin WU, having an address of No. 28, Xianning West Road, Xi'an 710049 Shanxi China, and

吴朝新，陕西省西安市咸宁西路 28 号 邮编 710049, 以及

Yang DAI, having an address of No. 28, Xianning West Road, Xi'an 710049 Shanxi China, and

戴阳，陕西省西安市咸宁西路 28 号 邮编 710049, 以及

Bo JIAO, having an address of No. 28, Xianning West Road, Xi'an 710049 Shanxi China, and

焦博，陕西省西安市咸宁西路 28 号 邮编 710049, 以及

Xun HOU, having an address of No. 28, Xianning West Road, Xi'an 710049 Shanxi China

侯洵，陕西省西安市咸宁西路 28 号 邮编 710049

([collectively,] "Assignor"),

([统称为] "转让人")

effective as of June 20th, 2011 hereby sells, assigns, transfers, and conveys unto Xi'an Jiaotong University, No. 28 Xianning West Road, Xi'an 710049 Shanxi China ("Assignee"), all right, title, and interest that exist today and may exist in the future in and to any and all of the following (collectively, the "Rights"):

自 2011 年 6 月 20 日起生效，将现在存在而且将来可能存在于以下任何或所有项目中的所有权利，所有权，以及收益权（统称为该“权利”）出售，转让和转移给西安交通大学，陕西省西安市咸宁西路 28 号 邮编 710049（“受让人”）：

1. the inventions disclosed in the solution report/invention disclosure titled Quasi-periodic structure for light extraction from OLED with metallic electrodes and all inventions claimed and/or described in the Application (collectively, the "Invention");

在方案报告/发明披露书中揭示的标题为基于准周期微纳结构聚合物薄膜制作的有机电致发光器件的发明以及以下申请中请求保护以及/或者描述的所有发明（统称为该“发明”）；

2. the patent applications listed in the table below (the “**Application**”);

下表中所列的专利申请（该“申请”）；

Patent Application No. 专利申请号码	Country 国家	Filing Date 申请日	Title 标题
PCT/CN2013/085419	PCT	October 17, 2013	LIGHT-EMITTING DEVICES AND METHODS OF MAKING AND USING THE SAME
15/030,037	US	October 17, 2013	LIGHT-EMITTING DEVICES AND METHODS FOR MAKING AND USING THE SAME

3. all rights with respect to the Invention, including all UNITED STATES patents or other governmental grants or issuances that may be granted with respect to the Invention or from any direct or indirect divisionals, continuations, continuations-in-part, or other patent applications claiming priority rights from the Application (“**Potential Patents**”);

与该发明有关的所有权利，包括与该发明有关的或者来自任何直接的或间接的分案申请，继续申请，部分继续申请，或其他要求该申请优先权的专利申请的所有美国专利或其他政府授权或颁证（“潜在专利”）；

4. all reissues, reexaminations, extensions, or registrations of the Potential Patents;

该潜在专利的所有再颁，复审，续展，或者注册；

5. all non-United States patents, patent applications, and counterparts relating to any or all of the Invention, the Application, and the Potential Patents, including, without limitation, certificates of invention, utility models, industrial design protection, design patent protection, and other governmental grants or issuances (“**Foreign Rights**”), and including the right to file foreign applications directly in the name of Assignee, its successors and assigns;

与该发明、专利申请、和潜在专利相关的全部非美国专利、非美国专利申请和对应申请，包括，但不限于，发明证书、实用新型、工业品外观设计保护、外观设计专利保护，以及其他政府授权或颁证（“外国权利”），并包括直接以受让人，其继承人以及再受让人的名义提交外国申请的权利；

6. all rights to claim priority rights deriving from the Application;

要求源于该申请的优先权的所有权利；

7. all causes of action and remedies related to any or all of the Application, the Invention, the Potential Patents, and the Foreign Rights (including, without limitation, the right to sue for past, present, or future infringement, misappropriation or violation of rights related to any of the foregoing and the right to collect royalties and other payments under or on account of any of the foregoing); and

与任何或所有该申请，该发明，该潜在专利，以及该外国权利相关的所有诉由和救济（包括，但不限于，对过去，现在，或将来的对与任何前述相关的权利的侵害，滥用或侵犯行为提起诉讼的权利以及领取任何前述下或由其产生的使用费和其他报酬的权利）；以及

8. any and all other rights and interests arising out of, in connection with, or in relation to the Application, the Invention, Potential Patents, and the Foreign Rights.

由该申请, 该发明, 潜在专利, 以及该外外权利产生的, 与之关联的或涉及它们的任何和所有其他权利和利益。

Assignor will not sign any document or do any act conflicting with this Assignment, and, without further compensation, will sign all documents and do such additional acts as Assignee, its successors, legal representatives, and assigns deem necessary or desirable to perfect enjoyment of the Rights, conduct proceedings regarding the Rights (including any litigation or interference proceedings), or perfect or defend title to the Rights. Assignor requests the respective patent office or governmental agency in each jurisdiction to issue any and all patents, certificates of invention, utility models, or other governmental grants or issuances that may be granted upon any of the Rights in the name of the Assignee, as the assignee to the entire interest therein.

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Assignor hereby authorizes and requests authorized representative to insert on this Assignment the filing date and Patent Application Numbers in the table above when known.

转让人特此授权并要求授权代表人在得知申请日以及专利申请号码之后将其填入本转让证明上面的表格中。

The terms and conditions of this Assignment will inure to the benefit of Assignee, its successors, legal representatives, and assigns and will be binding upon Assignor, their successors, legal representatives and assigns.

本转让证明的条款将符合受让人及其继承人, 法律代表以及再受让人的利益并将对转让人, 其继承人, 法律代表以及再受让人具有约束力。

Assignor and Assignee acknowledge and agree that any version of this Assignment in a language other than English will be non-binding and shall exist only for the convenience of both Assignors and Assignee.

转让人与受让人承认并同意本转让书的非英文版本不具有约束力, 非英文版本仅为转让人与受让人的便利而存在。

[Signature Page(s) to Follow]

[下面是签名页]

By:
签名:

Zhaoxin Wu 吴朝新

Print name:

打印名称: Zhaoxin WU 吴朝新

ATTESTATION

证词

The undersigned witnessed the signature of Zhaoxin WU to this document and makes the following statements:

下面签署人见证了吴朝新签署此文件，特作以下陈述：

1. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.

我年满 18 周岁，若被要求，有能力对本证词的事实予以证实。

2. Zhaoxin WU is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on Sep. 26, 2013, to execute this document.

吴朝新 本人，为我所知（或有充分证据证明其身份），并于 2013 年 9 月 26 日在我面前签署此文件。

3. Zhaoxin WU subscribed to this document.

吴朝新 同意本文件。

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that the foregoing is true and correct.

根据美利坚合众国法律有关伪证处罚以及本文件可能面临的任何其他司法管辖区的处罚法的规定，本人声明上述信息是真实的，正确的。

By:

签名:

于跃 Yue Yu

Print name:

打印名称:

于跃 Yue Yu

By:

签名:

马琳 Lin Ma

Print name:

打印名称:

马琳 Lin Ma

By:
签名:

Yang DAI 戴阳

Print name:

打印名称: Yang DAI 戴阳

ATTESTATION

证词

The undersigned witnessed the signature of Yang DAI to this document and makes the following statements:

下面签署人见证了戴阳签署此文件，特作以下陈述：

1. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.

我年满 18 周岁，若被要求，有能力对本证词的事实予以证实。

2. Yang DAI is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on sep. 26, 2013 to execute this document.

戴阳 本人，为我所知（或有充分证据证明其身份），并于 2013年9月26日在我面前签署此文件。

3. Yang DAI subscribed to this document.

戴阳 同意本文件。

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that the foregoing is true and correct.

根据美利坚合众国法律有关伪证处罚以及本文件可能面临的任何其他司法管辖区的处罚法的规定，本人声明上述信息是真实的，正确的。

By:

签名:

于跃 Yue Yu

Print name:

打印名称:

于跃 Yue Yu

By:

签名:

马琳 Lin Ma

Print name:

打印名称:

马琳 Lin Ma

By:
签名:

Bo Jiao 焦博

Print name:

打印名称: Bo JIAO 焦博

ATTESTATION

证词

The undersigned witnessed the signature of Bo JIAO to this document and makes the following statements:

下面签署人见证了焦博签署此文件，特作以下陈述：

1. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.

我年满 18 周岁，若被要求，有能力对本证词的事实予以证实。

2. Bo JIAO is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on Sep. 26, 2013 to execute this document.

焦博 本人，为我所知（或有充分证据证明其身份），并于 2013年9月26日在我面前签署此文件。

3. Bo JIAO subscribed to this document.

焦博 同意本文件。

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that the foregoing is true and correct.

根据美利坚合众国法律有关伪证处罚以及本文件可能面临的任何其他司法管辖区的处罚法的规定，本人声明上述信息是真实的，正确的。

By:
签名:

于跃 Yue Yu

Print name:

打印名称:

于跃 Yue Yu

By:
签名:

马琳 Lin Ma

Print name:

打印名称:

马琳 Lin Ma

By:
签名:

Xun HOU 侯洵

Print name:

打印名称: Xun HOU 侯洵

ATTESTATION

证词

The undersigned witnessed the signature of Xun HOU to this document and makes the following statements:

下面签署人见证了侯洵签署此文件，特作以下陈述：

1. I am over the age of 18 and competent to testify as to the facts in this Attestation block if called upon to do so.

我年满 18 周岁，若被要求，有能力对本证词的事实予以证实。

2. Xun HOU is personally known to me (or proved to me on the basis of satisfactory evidence) and appeared before me on Sep. 26, 2012, to execute this document.

侯洵 本人，为我所知（或有充分证据证明其身份），并于 2012年9月26日在我面前签署此文件。

3. Xun HOU subscribed to this document.

侯洵 同意本文件。

I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that the foregoing is true and correct.

根据美利坚合众国法律有关伪证处罚以及本文件可能面临的任何其他司法管辖区的处罚法的规定，本人声明上述信息是真实的，正确的。

By:
签名:

侯洵 Xun HOU 于跃 Yue Yu

Print name:
打印名称:

侯洵 HOU XUN 于跃 Yue Yu

By:
签名:

马琳 Lin Ma

Print name:
打印名称:

马琳 Lin Ma

ACCEPTANCE BY ASSIGNEE

受让人接受书

In connection with an assignment in any jurisdiction in which an acceptance by ASSIGNEE is required, I hereby accept this assignment on behalf of Xi'an Jiaotong University. I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that (check all that apply):

在任何要求需要受让人为转让提供接受书的管辖权, 我兹代表西安交通大学接受本转让书。我以美利坚合众国法律和本文件可能被提交的任何其他管辖区域的法律保证(请检查所有适用选项),

☒ I am an officer of the above-identified ASSIGNEE,
我是上述确认的受让人的官员,

☐ I have signed this document on behalf of ASSIGNEE with the full authority of its board of directors,
我接受受让人董事会的全权委托, 代表受让人签署本文件,

and that all the foregoing is true and correct.

上述文件真实无误。

Dated: 10.15.
日期:

By: 高阳
签名人:
Name: 高阳
姓名:
Title: 专利主管
职务: