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PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
GUORONG CHEN	08/29/2013

RECEIVING PARTY DATA

Name:	NANOTEK INSTRUMENTS, INC
Street Address:	1240 MCCOOK AVE
City:	DAYTON
State/Country:	OHIO
Postal Code:	45404

PROPERTY NUMBERS Total: 2

Property Type	Number
Application Number:	12804413
Application Number:	12807471

CORRESPONDENCE DATA

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using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Address Line 4: DAYTON, OHIO 45404

NAME OF SUBMITTER:	CLAIRE A. RUTISER	
SIGNATURE:	/Claire A. Rutiser/	
DATE SIGNED: 04/25/2016		
	This document serves as an Oath/Declaration (37 CFR 1.63).	

Total Attachments: 6

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> PATENT REEL: 038371 FRAME: 0771

ASSIGNMENT

This Assignment Agreement is made and entered by and between <u>Guorong Chen</u>, a citizen of the Peoples Republic of China, residing at <u>NOS Cambridge Station (A Dayton OH</u> (the "Assignor") and Nanotek Instruments, Inc., an Ohio corporation whose address is 1240 McCook Avenue, Dayton, OH 45404 (the "Assignee").

WHEREAS, Assignor is an inventor or co-inventor of certain new and useful inventions related to new materials, including nano-scaled graphene plates, processes, energy technologies, and other technologies as more fully described herein (the "Inventions") and

WHEREAS, Assignee desires to acquire the entire right, title and interest in and to the Inventions.

NOW, THEREFORE, the parties agree as follows:

- Exhibit "A" attached hereto and incorporated herein by reference and all corresponding rights to claim priority, (2) the patent applications listed in Exhibit "A" and any and all improvements which are disclosed in any of the aforesaid patent applications, (3) all Letters Patent to be obtained for said Inventions by the above applications or any continuation, divisional, renewal, or substitute thereof and, as to Letters Patent, any reissue or re-examination thereof, (4) all knowhow, trade secrets, discoveries, concepts, ideas, and technologies related to the same, (5) any and all copyrights, copyright registrations and copyrightable subject matter related to the same; and (6) any trademarks related to such patents and patent applications.
- 2. In consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt of which is acknowledged, the Assignor hereby assigns, transfers and conveys to Assignee all of Assignor's right, title and interest in and to (a) the Inventions, (b) any U.S. or foreign Letters Patent which may issue from the Inventions, and (c) all divisions, continuations, reissues, re-examinations and extensions of the patents and applications listed on Exhibit A.
- 3. Assignor further covenants that said Assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said Inventions and said Letters Patent and legal equivalents, as may be known and accessible to Assignor and he or she will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to said Assignee or its legal representatives any and all papers,

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PATENT REEL: 038371 FRAME: 0772 instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said Inventions and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purpose thereof.

<u>August</u> , 20	he undersigned has executed this document as of the 29th day of
INVENTOR	
Guorong Chen	(Signature) (Print Name)
State of Ohio County of Polycop Before me personally appe foregoing instrument to be his	

EXHIBIT "A"

<u>Assigned Patents</u>

Invention Patents and Applications

Name of Assigned Patent	Patent Registration No. or Application No.	Status: Registered (R), Applied for Registration (A), Abandoned Application (AA) and Status Not Available (NA)
A. Zhamu, Jinjun Shi, Guorong Chen, Qing Fang, M. C. Wang, and B. Z. Jang, "Graphite and Carbon Particulates for the Lithium Ion Battery"	US Patent Application No. 12/804,413 (07/22/2010)	A
Aruna Zhamu, Jinjun Shi, Guorong Chen, M. C. Wang, and Bor Z. Jang, "Graphene-Enhanced Cathode Particulates for Lithium Batteries"	US Patent Application No. 12/807,471 (09/07/2010)	A
Aruna Zhamu, Jinjun Shi, Guorong Chen, Qing Fang, and Bor Z. Jang, "Graphene-Enhanced Anode Particulates for Lithium Batteries"	US Patent Application No. 12/807,635 (09/10/2010)	A
Guorong Chen, Aruna Zhamu, Zhenning Yu, and B. Z. Jang, "Graphene-Enabled Vanadium Oxide Cathode and Lithium Cells Containing Same"	US Patent Application No. 13/134,782 (06/17/2011)	A
Aruna Zhamu, Guorong Chen, X. Q. Wang, Yanbo Wang, and B. Z. Jang, "Stacks of Internally Connected Surface-Mediated Cells and Methods of Operating Same,"	US Patent Application No. 13/374,321 (12/21/2011).	A
Aruna Zhamu, Guorong Chen, X. Q. Wang, Yanbo Wang, and B. Z. Jang, "Hybrid Electrode and Surface-Mediated Cell-based Super-Hybrid Energy Storage Device Containing Same,"	US Patent Application No. 13/374,408 (12/29/2011).	A
Aruna Zhamu, Guorong Chen, Qing Fang, Xiqing Wang, Yanbo Wang, and Bor Z. Jang, "Surface-Mediated Cell-Powered Vehicles and Methods of Operating Same,"	US Patent App. No. 13/374,894 (01/23/2012).	A
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Aruna Zhamu, Guorong Chen, Qing Fang, Xiqing Wang, Yanbo Wang, and Bor Z. Jang, "Surface- Mediated Cell-Powered Portable Computing Devices and Methods of Operating Same,"	US Patent Application No. 13/385,245 (02/10/2012).	A
Aruna Zhamu, Guorong Chen, Qing Fang, Xiqing Wang, Yanbo Wang, and Bor Z. Jang, "Surface- Mediated Cell-Driven Power Tools and Methods of	US Patent Application No. 13/385,350 (02/16/2012).	A

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Yanbo Wang, Zhenning Yu, Aruna Zhamu, Guorong Chen, and Bor Z. Jang, "Inorganic Nano Sheet-Enabled Lithium-Exchanging Surface- Mediated Cells,"	US Patent Application No. 13/385,366 (02/ 16/2012)	A
Guorong Chen, Yanbo Wang, Qing Fang, Xiqing Wang, Aruna Zhamu, and Bor Z. Jang, "Lithiumion Cell Having a High-Capacity Anode and a High-Capacity Cathode"	US Patent Application No. 13/385,561 (2/27/2012).	A
Guorong Chen, Yanbo Wang, Qing Fang, Xiqing Wang, Aruna Zhamu, and Bor Z. Jang, "Lithiumion Cell Having a High Energy Density and High Power Density"	US Patent Application No. 13/506,168 (04/02/2012).	A
Guorong Chen, Yanbo Wang, Qing Fang, Xiqing Wang, Aruna Zhamu, and Bor Z. Jang, "Method of Operating a Lithium-ion Cell Having a High- Capacity Cathode"	US Patent Application No. 13/506,324 (04/12/2012).	A
Guorong Chen, Yanbo Wang, Qing Fang, Aruna Zhamu, and Bor Z. Jang, "Dual Electroplating Cell,"	US Patent Appl. No. 13/507,057 (06/01/2012).	A
Guorong Chen, Yanbo Wang, Aruna Zhamu, and Bor Z. Jang, "Rechargeable Lithium Cell Having a Phthalocyanine-Based High-Capacity Cathode,"	US Patent Appl. No. 13/506,778 (05/17/2012).	A .
Guorong Chen, Yanbo Wang, Aruna Zhamu, and Bor Z. Jang, "Rechargeable Lithium Cell Having a Meso-Porous Conductive Material Structure- Supported Phthalocyanine Compound Cathode,"	US Patent Appl. No. 13/507,168 (06/11/2012).	Ā
C. G. Liu, Guorong Chen, Aruna Zhamu, and Bor Z. Jang, "Supercapacitor Having a Porous Carbon/Graphite Material-Supported Phthalocyanine Compound Electrode,"	US Patent Appl. No. (05/15/2013).	A.
Mingchao Wang, Guorong Chen, Aruna Zhamu, and Bor Z. Jang, "Solvent-Free Process Based Graphene Electrode for Energy Storage Devices,"	US Patent Application No. 13/507,739 (07/25/2012).	A
Guorong Chen, Zhenning Yu, Chen-guang Liu, Aruna Zhamu, and Bor Z. Jang, "Rechargeable Lithium Cell Having a Chemically Bonded Phthalocyanine Compound Cathode,"	US Patent Application No. 13/573,275 (09/07/2012).	A
Guorong Chen, Aruna Zhamu, and Bor Z. Jang, "Encapsulated Phthalocyanine Particles, High- Capacity Cathode Containing These Particles, and Rechargeable Lithium Cell Containing Such a Cathode,"	US Patent Application No. 13/573,298 (09/10/2012)	A

List of Patents:

- 1. A. Zhamu, Jinjun Shi, Guorong Chen, Qing Fang, M. C. Wang, and B. Z. Jang, "Graphite and Carbon Particulates for the Lithium Ion Battery," US Patent Application No. 12/804,413 (07/22/2010).
- 2. Aruna Zhamu, Jinjun Shi, Guorong Chen, M. C. Wang, and Bor Z. Jang, "Graphene-Enhanced Cathode Particulates for Lithium Batteries," US Patent Application No. 12/807,471 (09/07/2010).
- Aruna Zhamu, Jinjun Shi, Guorong Chen, Qing Fang, and Bor Z. Jang, "Graphene-Enhanced Anode Particulates for Lithium Batteries," US Patent Application No. 12/807,635 (09/10/2010).
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- Aruna Zhamu, Guorong Chen, X. Q. Wang, Yanbo Wang, and B. Z. Jang, "Stacks of Internally Connected Surface-Mediated Cells and Methods of Operating Same," US Patent Application No. 13/374,321 (12/21/2011).
- Aruna Zhamu, Guorong Chen, X. Q. Wang, Yanbo Wang, and B. Z. Jang, "Hybrid Electrode and Surface-Mediated Cell-based Super-Hybrid Energy Storage Device Containing Same," US Patent Application No. 13/374,408 (12/29/2011).
- 7. Aruna Zhamu, Guorong Chen, Qing Fang, Xiqing Wang, Yanbo Wang, and Bor Z. Jang, "Surface-Mediated Cell-Powered Vehicles and Methods of Operating Same," US Patent App. No. 13/374,894 (01/23/2012).
- 8. Aruna Zhamu, Guorong Chen, Qing Fang, Xiqing Wang, Yanbo Wang, and Bor Z. Jang, "Surface-Mediated Cells with High Power Density and High energy Density," US Patent App. No. 13/385,105 (02/03/2012).
- Aruna Zhamu, Guorong Chen, Qing Fang, Xiqing Wang, Yanbo Wang, and Bor Z. Jang, "Surface-Mediated Cell-Powered Portable Computing Devices and Methods of Operating Same," US Patent Application No. 13/385,245 (02/10/2012).
- 10. Aruna Zhamu, Guorong Chen, Qing Fang, Xiqing Wang, Yanbo Wang, and Bor Z. Jang, "Surface-Mediated Cell-Driven Power Tools and Methods of Operating Same," US Patent Application No. 13/385,350 (02/16/2012).
- 11. Yanbo Wang, Zhenning Yu, Aruna Zhamu, Guorong Chen, and Bor Z. Jang, "Inorganic Nano Sheet-Enabled Lithium-Exchanging Surface-Mediated Cells," US Patent Application No. 13/385,366 (02/16/2012).
- Guorong Chen, Yanbo Wang, Qing Fang, Xiqing Wang, Aruna Zhamu, and Bor Z. Jang, "Lithium-ion Cell Having a High-Capacity Anode and a High-Capacity Cathode" US Patent Application No. 13/385,561 (2/27/2012).
- 13. Georong Chen, Yanbo Wang, Qing Fang, Xiqing Wang, Aruna Zhamu, and Bor Z. Jang, "Lithium-ion Cell Having a High Energy Density and High Power Density" US Patent Application No. 13/506,168 (04/02/2012).
- 14. Guorong Chen, Yanbo Wang, Qing Fang, Xiqing Wang, Aruna Zhamu, and Bor Z. Jang, "Method of Operating a Lithium-ion Cell Having a High-Capacity Cathode" US Patent Application No. 13/506,324 (04/12/2012).
- 15. Georong Chen, Yanbo Wang, Qing Fang, Aruna Zhamu, and Bor Z. Jang, "Dual Electroplating Cell," US Patent Appl. No. 13/507,057 (06/01/2012).

- Guorong Chen, Yanbo Wang, Aruna Zhamu, and Bor Z. Jang, "Rechargeable Lithium Cell Having a Phthalocyanine-Based High-Capacity Cathode," US Patent Appl. No. 13/506,778 (05/17/2012).
- Guorong Chen, Yanbo Wang, Aruna Zhamu, and Bor Z. Jang, "Rechargeable Lithium Cell Having a Meso-Porous Conductive Material Structure-Supported Phthalocyanine Compound Cathode," US Patent Appl. No. 13/507,168 (06/11/2012).
- 18. C. G. Liu, Guorong Chen, Aruna Zhamu, and Bor Z. Jang, "Supercapacitor Having a Porous Carbon/Graphite Material-Supported Phthalocyanine Compound Electrode," US Patent Appl. No. (05/15/2013).
- Mingchao Wang, Guorong Chen, Aruna Zhamu, and Bor Z. Jang, "Solvent-Free Process Based Graphene Electrode for Energy Storage Devices," US Patent Application No. 13/507,739 (07/25/2012).
- 20. Guorong Chen, Zhenning Yu, Chen-guang Liu, Aruna Zhamu, and Bor Z. Jang, "Rechargeable Lithium Cell Having a Chemically Bonded Phthalocyanine Compound Cathode," US Patent Application No. 13/573,275 (09/07/2012).
- Guorong Chen, Aruna Zhamu, and Bor Z. Jang, "Encapsulated Phthalocyanine Particles, High-Capacity Cathode Containing These Particles, and Rechargeable Lithium Cell Containing Such a Cathode," US Patent Application No. 13/573,298 (09/10/2012).