# PATENT ASSIGNMENT COVER SHEET

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| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | CHANGE OF NAME |

# **CONVEYING PARTY DATA**

| Name                       | Execution Date |
|----------------------------|----------------|
| NOVOZYMES BIOPHARMA DK A/S | 12/17/2015     |

# **RECEIVING PARTY DATA**

| Name:           | ALBUMEDIX A/S    |
|-----------------|------------------|
| Street Address: | LOTTENBORGVEJ 26 |
| City:           | KGS. LYNGBY      |
| State/Country:  | DENMARK          |
| Postal Code:    | DK-2800          |

# **PROPERTY NUMBERS Total: 24**

| Property Type       | Number   |
|---------------------|----------|
| Patent Number:      | 8481080  |
| Application Number: | 13912894 |
| Application Number: | 14591522 |
| Patent Number:      | 6379924  |
| Patent Number:      | 5965386  |
| Application Number: | 09357901 |
| Patent Number:      | 8258102  |
| Patent Number:      | 9133265  |
| Patent Number:      | 6150133  |
| Application Number: | 09890297 |
| Patent Number:      | 7993877  |
| Patent Number:      | 9029102  |
| Application Number: | 14330703 |
| Patent Number:      | 8252551  |
| Application Number: | 10584424 |
| Application Number: | 13474317 |
| Patent Number:      | 9057061  |
| Patent Number:      | 8969064  |
| Application Number: | 14605314 |
| Application Number: | 13784095 |
|                     |          |

PATENT REEL: 038377 FRAME: 0742

503772811

| Property Type       | Number   |
|---------------------|----------|
| Patent Number:      | 9139611  |
| Application Number: | 13319027 |
| Application Number: | 14130639 |
| Application Number: | 14418019 |

### **CORRESPONDENCE DATA**

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| ATTORNEY DOCKET NUMBER: | 127042-00001                       |  |
|-------------------------|------------------------------------|--|
| NAME OF SUBMITTER:      | YU LU, PH.D, J.D., REG. NO. 50,306 |  |
| SIGNATURE:              | /Yu Lu/                            |  |
| DATE SIGNED:            | 04/07/2016                         |  |

### **Total Attachments: 8**

source=2016-02-19 Albumedix Change of Name as Recorded 127042-00001#page1.tif source=2016-02-19 Albumedix Change of Name as Recorded 127042-00001#page2.tif source=2016-02-19 Albumedix Change of Name as Recorded 127042-00001#page3.tif source=2016-02-19 Albumedix Change of Name as Recorded 127042-00001#page4.tif source=2016-02-19 Albumedix Change of Name as Recorded 127042-00001#page5.tif source=2016-02-19 Albumedix Change of Name as Recorded 127042-00001#page6.tif source=Albumedix A-S Business register - English version (partial) - 07-APR-2016#page1.tif source=Albumedix A-S Business register - English version (partial) - 07-APR-2016#page2.tif



Date: 18.02.2016

### NOVOZYMES BIOPHARMA DK A/S

**CVR number** 29603537

Address Krogshøjvej 36

Postal code and city 2880 Bagsværd

**Start date** 29,05.2006

Company type Aktieselskab

Advertising protection No

Status Normal

# Expanded business information

**Telephone** 44460343

Email ivad@novozymes.com

Municipality Gladsaxe

Sector code 464610 Engroshandel med medicinalvarer og sygeplejeartikler

Objects Selskabets formål er drift af og investering i forskning, udvikling, produktion

og handel med biologiske løsninger, herunder bioteknologiske produkter og processer samt at drive virksomhed, der efter bestyrelsens nærmere bestemmelse står i forbindelse hermed. Selskabet tilstræber at drive sin virksomhed på bæredygtig og ansvarlig vis, herunder i økonomisk.

miljømæssig og social henseende.

Secondary names Albumedix A/S

NOVOZYMES ADENIUM BIOTECH A/S

Erhvervsstyrelsen, Langelinie Allé 17, 2100 København Ø

The information in this dicorment is from The Central Business Register (OVR). The data may not be used in any way which suggests that the Danish Business Authority encorses, supports, recommends or markets the user of the data, or the services or products of the data. The Denich Business Authority is not liebbe for the content and origin of the data, nor is it liebbe for any errors and commences in the data, including for any loss or damage caused by its use.

### NOVOZYMES BIOPOLYMER A/S

Registered for VAT Look it up at skat.dk

Financial year From 01.01 to 31.12

Latest articles of association 17.12.2015

Registered capital 612,000,00 DKK

First accounting period 29.05.2006 - 31.12.2006

### **Attestation for Translated Material**

Title of Translated Document:

Erhvervsstyrelsen/NOVOZYMES BIOPHARMA DK A/S [Danish Business Authority Business Information Document for Novozymes Biopharma DK A/S]

Language(s):

Danish

I, Daniel K. Yarbrough, have prepared the attached translation. To the best of my knowledge, I declare that the translation of the document listed above is a true, accurate and a complete translation of the original text.

Signature

February 18, 2016 Date

22716346 021716 Machine Translation of Danish Business Authority Business Information Document for Novozymes Biopharma DK A/S

**Business Authority** 

Date: 18.02.2016

NOVOZYMES BIOPHARMA DK A/S
CVR number 29603537
Address Krogshøjvej 36
Postal code and city 2880 Bagsværd
Start date 29.05.2006
Company type Aktieselskab

Advertising protection No Status Normal

Expanded business information

Telephone 44460343

Email ivad@novozymes.com

Municipality Gladsaxe

Sector code 464610 Wholesale of pharmaceuticals and medical supplies

Objects The company's objects are the operation of and investment in research,

development, production and trade in biological solutions, including biotechnology products and processes, and to operate according to the Board provision related thereto. The company strives to operate in a sustainable and responsible way, including in economic, environmental,

and social terms.

Secondary names Albumedix A/S

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Latest articles of association 17.12.2015 Registered capital 612.000,00 DKK

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### Novozymes separates its biopharma activities to form independent company

The new stand-alone company Albumedix will continue to develop albumin-based products and technologies for the pharmaceutical industry.

19. January 2016

#### CORPORATE NEWS

Today, Novozymes announced it has separated its biopharma activities into an independent company called Albumedix. The new company will be fully owned by Novozymes.

"Over the past nine years, our work in albumin has resulted in a series of new discoveries and technologies, which have led to agreements with major pharmaceutical and biotech companies," says Thomas Videbæk, Executive Vice President for Business Development at Novozymes. "The business is in good shape with great prospects to make a real difference for patients all over the world. We believe it is now ready to be developed further outside Novozymes, where it will have the best possible growth conditions."

# Albumedix to accelerate Veltis® for half-life extension

Albumedix will continue to develop its market leading position within recombinant albumin-based products and technologies. Albumin is a naturally occurring protein that can be found in human blood.

Named Recombumin<sup>®</sup>, Albumedix's recombinant albumins are used in the pharmaceutical industry to stabilize drugs and vaccines. For example, since 2006, Merck has used Albumedix's solutions to produce two vaccines used to treat millions of children worldwide against measles, mumps, rubella and chicken pox.

Albumin can also be used to extend the half-life of pharmaceuticals to prolong the drugs' effect and Albumedix offers a first and second generation version of this drug delivery technology under the name Veltis. In 2014, Veltis got its commercial breakthrough when <u>GlaxoSmithKline</u> launched a diabetes drug that utilizes the technology, allowing patients to dose weekly instead of daily. Today, Albumedix has a growing pipeline of partner-driven drug candidates evaluating Veltis.

In addition to providing albumin-based solutions to the pharmaceutical industry, Albumedix will initiate the exploration of early stage clinical drug development based on its Veltis technology and relevant drug candidates.

### Experienced management team and board

Albumedix will be led by CEO Peter Rosholm, who previously headed Novozymes' Biopharma business. The leadership team will report to an independent board consisting of three directors from the pharmaceutical industry and two representatives from Novozymes.

The Chairman of the Board, Don deBethizy, brings more than 15 years of experience in managing and financing life science related technologies, and has played a key role in building and advising several life science companies.

"I see a promising future for Albumedix, and together with Don deBethizy and the rest of the team I look forward to expanding our role in the pharmaceutical industry. With our heritage, expertise in albumin, and skilled employees, we have a strong foundation for developing superior biotherapeutics for patients," says Peter Rosholm.

http://www.novozymes.com/en/news/news-archive/Pages/Novozymes-separates-its-biopharma-activities-to-form-independent-company.aspx **REEL: 038377 FRAME: 0748**  Albumedix will be headquartered in Lyngby, Denmark, with R&D and production facilities in Nottingham, UK. The company will employ approximately 100 people.

Novozymes retains full ownership of Albumedix and the newly created company's financial results will continue to be consolidated into Novozymes' reporting. The formation of Albumedix is not expected to impact Novozymes' financial results for 2016.

#### Albumedix CEO and Chairman of the Board

#### Peter Rosholm, CEO

Peter Rosholm has extensive international experience in business management with several expatriations to Asia and Europe. He joined Novo Nordisk's enzyme division in 1990 and has since then held a number of managerial positions within marketing and sales, before Peter Rosholm in 2006 was appointed Vice President of Novozymes' Biopharma division, now Albumedix. Peter Rosholm holds a M.Sc. and an EMBA.

Besides Peter Rosholm, Albumedix's management team will consist of Svend Erik Licht, Chief Commercial Officer, Klavs Kirk, Chief Operations Officer and Darrell Sleep, Chief Scientific Officer. All three have worked at Novozymes a number of years.

#### Don deBethizy, Chairman

Dr. Don deBethizy has many years of experience from R&D intensive industries, including over 15 years in executive positions in the biopharmaceutical industry. In those positions, Dr. deBethizy has managed large private as well as public biotech financing. He currently serves on a number of international biotech boards. Don deBethizy holds M.Sc. and Ph.D. degrees in toxicology from Utah State University.

#### About Albumedix:

Albumedix helps biopharmaceutical companies improve patient outcomes with a range of albumin-based products and technologies that facilitate the development of superior biotherapeutics.

Albumedix develops albumin-based products and technologies for advanced drug and vaccine formulation, extended drug half-life and improved drug delivery. Our products and technologies are used in clinical and marketed drugs and out-licensed to pharmaceutical and biotech companies worldwide. With over 25 years' experience in the biopharmaceutical industry, we have the scientific, technical and regulatory expertise needed to support companies in moving faster to market with superior biotherapeutics. We also use this expertise to strengthen our own drug pipeline. Albumedix is a global company and fully owned subsidiary of Novozymes.

http://www.novozymes.com/en/news/news-archive/Pages/Novozymes-separates-its-biopharma-activities-to-form-independent-company.aspx **REEL: 038377 FRAME: 0749** 



Date: 07.04.2016

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Address Lottenborgvej 26

Postal code and city 2800 Kgs. Lyngby

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Financial year From 01.01 to 31.12

Latest articles of association 15.01.2016

**Registered capital** 612.000,00 DKK

First accounting period 29.05.2006 - 31.12.2006

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