

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT3845453

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
R. SCOTT MCIVOR	03/09/2016
LALITHA R. BELUR	03/30/2016
CAROLYN FAIRBANKS	03/02/2016
RECEIVING PARTY DATA	
Name:	REGENTS OF THE UNIVERSITY OF MINNESOTA
Street Address:	SUITE 600 MCNAMARA ALUMNI CTR.
Internal Address:	200 OAK STREET SE
City:	MINNEAPOLIS
State/Country:	MINNESOTA
Postal Code:	55455
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14889750
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	request@slwip.com
Correspondent Name:	SCHWEGMAN LUNDBERG & WOESSNER, P.A.
Address Line 1:	P.O. BOX 2938
Address Line 4:	MINNEAPOLIS, MINNESOTA 55402
ATTORNEY DOCKET NUMBER:	600.915US1
NAME OF SUBMITTER:	DIANA JOHNSON
SIGNATURE:	/Diana Johnson/
DATE SIGNED:	04/26/2016
Total Attachments: 10	
source=600 915US1 Assignment Belur Mclvor and Fairbanks signed#page1.tif	
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source=600 915US1 Assignment Belur Mclvor and Fairbanks signed#page10.tif

RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 600.915US1

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
R. Scott McIvor, Lalitha R. Belur, Carolyn Fairbanks
Additional name(s) of conveying party(ies) attached?

Yes No

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other

Execution Date: March 9, 2016, March 30, 2016, March 2, 2016

2. Name and address of receiving party(ies):

Name: Regents of the University of Minnesota
Street Address: Suite 600 McNamara Alumni Ctr.
200 Oak Street SE

City: Minneapolis State: MN Zip: 55455
Country: United States of America

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
Serial No. 14/889,750

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Janet E. Embretson

Address:

Swegman Lundberg & Woessner, P.A.
P.O. Box 2938
Minneapolis, MN 55402--0938

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

Enclosed

Authorized to be charged to deposit account 19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Janet E. Embretson/Reg. No. 39,665

Name of Person Signing

/Janet E. Embretson/

Signature

April 14, 2016

Date

Total number of pages including cover sheet: 10

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Mail Stop Assignment Recordation Services
P.O. Box 1450
Alexandria, VA 22313-1450

PATENT
REEL: 038377 FRAME: 0774



UNIVERSITY OF MINNESOTA
ASSIGNMENT

WHEREAS I, R. Scott McIvor, have invented certain new and useful improvements in ADENO-ASSOCIATED VIRUS MEDIATED GENE TRANSFER TO THE CENTRAL NERVOUS SYSTEM, for which application for Letters Patent of the United States has been made, said application being filed as follows: Utility application no. 14/889,750 on November 6, 2015 ("Application(s)");

Whereas, REGENTS OF THE UNIVERSITY OF MINNESOTA ("Assignee"), having offices at Suite 600 McNamara Alumni Ctr., 200 Oak Street SE, Minneapolis, MN 55455, is desirous of documenting the acquisition of the entire right, title and interest in and to said invention, said Application(s) and in, to and under any and all Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, and to the extent that I have not done so already via a prior agreement with the Assignee, or if I have already done so via a prior agreement with the Assignee then in confirmation of said prior agreement, I have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto Assignee, its successors and assigns, the entire right, title and interest in and to the inventions set forth hereinabove and the entire right, title and interest in and to any and all Letters Patent of the United States and any foreign countries which may be granted therefor on the Application(s) including my rights under the Paris Convention for the Protection of Industrial Property, and in and to any and all extensions, divisions, continuations, continuations-in-part or reissues of said Application(s) and Letters Patent that may be granted, including all rights to claim priority therefrom, and specifically including the right to enforce such Letters Patent in the name of Assignee and to recover damages for past infringement of the rights conveyed herein, the same to be held and enjoyed by Assignee for its own use and benefit and use and benefit of its successors and assigns to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.

I hereby authorize said Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign countries, and additionally to claim the filing date of any the Application(s) and/or otherwise take advantage of the provisions of the Paris Convention.

Attorney Docket No. 600.915US1
UM Case No. 20130291

IN WITNESS WHEREOF, I have hereunto set my hand on this 11th day of March, 2016 on behalf of Assignee Regents of the University of Minnesota accepting this assignment.

REGENTS OF THE UNIVERSITY OF MINNESOTA

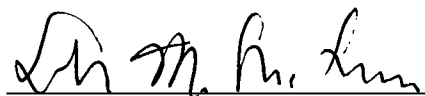


Paul B. Saveride
Senior Associate General Counsel
per Presidential Delegation DA_070027

STATE OF MINNESOTA)
)
COUNTY OF HENNEPIN)

On this 11th day of March, 2016, before me personally appeared Paul B. Saveride, to me known to be the person described in and who executed the foregoing instrument and acknowledged that s/he executed the same as his/her free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.



Notary Public

Attorney Docket No. 600.915US1
UM Case No. 20130291



UNIVERSITY OF MINNESOTA
ASSIGNMENT

WHEREAS I, Lalitha R. Belur, have invented certain new and useful improvements in ADENO-ASSOCIATED VIRUS MEDIATED GENE TRANSFER TO THE CENTRAL NERVOUS SYSTEM, for which application for Letters Patent of the United States has been made, said application being filed as follows: Utility application no. 14/889,750 on November 6, 2015 ("Application(s)");

Whereas, REGENTS OF THE UNIVERSITY OF MINNESOTA ("Assignee"), having offices at Suite 600 McNamara Alumni Ctr., 200 Oak Street SE, Minneapolis, MN 55455, is desirous of documenting the acquisition of the entire right, title and interest in and to said invention, said Application(s) and in, to and under any and all Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, and to the extent that I have not done so already via a prior agreement with the Assignee, or if I have already done so via a prior agreement with the Assignee then in confirmation of said prior agreement, I have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto Assignee, its successors and assigns, the entire right, title and interest in and to the inventions set forth hereinabove and the entire right, title and interest in and to any and all Letters Patent of the United States and any foreign countries which may be granted therefor on the Application(s) including my rights under the Paris Convention for the Protection of Industrial Property, and in and to any and all extensions, divisions, continuations, continuations-in-part or reissues of said Application(s) and Letters Patent that may be granted, including all rights to claim priority therefrom, and specifically including the right to enforce such Letters Patent in the name of Assignee and to recover damages for past infringement of the rights conveyed herein, the same to be held and enjoyed by Assignee for its own use and benefit and use and benefit of its successors and assigns to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.

I hereby authorize said Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign countries, and additionally to claim the filing date of any the Application(s) and/or otherwise take advantage of the provisions of the Paris Convention.

Attorney Docket No. 600.915US1
UM Case No. 20130291



UNIVERSITY OF MINNESOTA
ASSIGNMENT

WHEREAS I, Carolyn Fairbanks, have invented certain new and useful improvements in ADENO-ASSOCIATED VIRUS MEDIATED GENE TRANSFER TO THE CENTRAL NERVOUS SYSTEM, for which application for Letters Patent of the United States has been made, said application being filed as follows: Utility application no. 14/889,750 on November 6, 2015 ("Application(s)");

Whereas, REGENTS OF THE UNIVERSITY OF MINNESOTA ("Assignee"), having offices at Suite 600 McNamara Alumni Ctr., 200 Oak Street SE, Minneapolis, MN 55455, is desirous of documenting the acquisition of the entire right, title and interest in and to said invention, said Application(s) and in, to and under any and all Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, and to the extent that I have not done so already via a prior agreement with the Assignee, or if I have already done so via a prior agreement with the Assignee then in confirmation of said prior agreement, I have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto Assignee, its successors and assigns, the entire right, title and interest in and to the inventions set forth hereinabove and the entire right, title and interest in and to any and all Letters Patent of the United States and any foreign countries which may be granted therefor on the Application(s) including my rights under the Paris Convention for the Protection of Industrial Property, and in and to any and all extensions, divisions, continuations, continuations-in-part or reissues of said Application(s) and Letters Patent that may be granted, including all rights to claim priority therefrom, and specifically including the right to enforce such Letters Patent in the name of Assignee and to recover damages for past infringement of the rights conveyed herein, the same to be held and enjoyed by Assignee for its own use and benefit and use and benefit of its successors and assigns to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.


I hereby authorize said Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign countries, and additionally to claim the filing date of any the Application(s) and/or otherwise take advantage of the provisions of the Paris Convention.

Attorney Docket No. 600.915US1
UM Case No. 20130291

Upon said consideration I do hereby covenant and agree with said Assignee, its successors and assigns, that I will not execute in writing or do any act whatsoever conflicting with these presents, and that I or my executors or administrators will at any time upon request, without further or additional consideration, but at the expense of said Assignee, its successors and assigns, execute such additional writings and do such additional acts as said Assignee, its successors and assigns, may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reissues or extended Letters Patent of the United States, or of any and all foreign countries on said invention, and in enforcing any rights occurring as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents.

I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Assignee in accordance with this instrument.

IN WITNESS WHEREOF, I have hereunto set my hand on this 2 day of March, 2016.

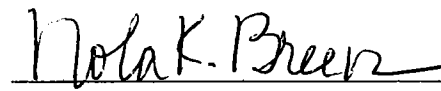


Carolyn Fairbanks

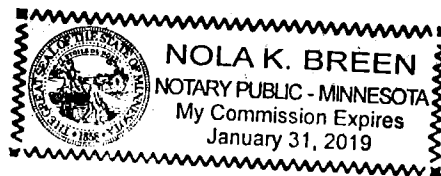
STATE OF Minnesota)
COUNTY OF Hennepin)

On this 2nd day of March, 2016, before me personally appeared Carolyn Fairbanks, to me known to be the person described in and who executed the foregoing instrument and acknowledged that s/he executed the same as his/her free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.



Notary Public



Attorney Docket No. 600.915US1
UM Case No. 20130291

