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| SUBMISSION TYPE: | | NEW ASSIGNMENT | | |
|--------------------------|-----------------------------|---------------------------|----------------|--|
| NATURE OF CONVE | YANCE: | ASSIGNMENT | | |
| CONVEYING PARTY | Υ DATA | | | |
| | | Name | Execution Date | |
| RHONDA L. CHILDR | ESS | | 04/15/2005 | |
| EDMOND CHOW | DMOND CHOW | | | |
| DAVID BRUCE KUMHYR 04/13 | | | 04/13/2005 | |
| STEPHEN JAMES W | TEPHEN JAMES WATT04/13/2005 | | | |
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| City: | | ARMONK | | |
| State/Country: | NEW YC | NEW YORK | | |
| | Postal Code: 10504 | | | |

PROPERTY NUMBERS Total: 3

| Property Type | Number |
|---------------------|----------|
| Patent Number: | 8230042 |
| Patent Number: | 7769807 |
| Application Number: | 13478951 |

CORRESPONDENCE DATA

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| ATTORNEY DOCKET NUMBE | R: | SRV-426-A/B/C | | |
| NAME OF SUBMITTER: | | RYAN T. MCCLEARY | | |
| SIGNATURE: | | /RYAN T. MCCLEARY/ | | |
| DATE SIGNED: | | 04/26/2016 | | |

Total Attachments: 6

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ASSIGNMENT

Whereas, we,

(1) Rhonda L. Childress County of Williamson

and (2) Edmond Chow County of Travis

and (3) David Bruce Kumhyr County of Travis

City of Austin and State of Texas

and State of Texas

City of Austin

City of Austin

and State of Texas

and (4) Stephen James Watt County of Williamson

City of Leander and State of Texas

have invented certain improvement in

Policy Based Auditing of Workflows

| and executed a United States | patent application therefor | e on | |
|------------------------------|-----------------------------|-----------------|---------|
| (Date Inventor Signed Decla | ration) (1) Apr. 1 15 | , 2005; and (2) | , 2005; |
| and (3) | , 2005; and (4) <u>ou</u> | / 13, | 2005. |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed at <u>Austin, TK</u> on <u>April 15</u>, 2005. <u>Rhonau R Chulduen</u> (1)

Page 1

PATENT REEL: 038379 FRAME: 0074

| (2) | Signed at | on | , 2005. |
|-----|------------------|------------------|----------------|
| | | Edmond Chow | |
| (3) | Signed at | on | , 2005. |
| | | David Bruce Kur | nhyr |
| (4) | Signed at AUSTIN | on <u>Ou</u> /13 | , 2005. |
| | | Stephen James W | /att |

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ASSIGNMENT

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| (Date Inventor Signed L | eclaration) (1) | , 2005; and (2) April 12 . | , 2005; |
| and (3) | , 2005; and (4) | , 2005. | |

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Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at ______ on _____, 2005.

Rhonda L. Childress

Page 1

PATENT REEL: 038379 FRAME: 0076

| (2) | Signed at | Dallas, | TX | _ on | April 12 | , 20 05. |
|-----|-------------|---------|----|--------|--------------------|------------------|
| | | | | | Edmond Chow | hoer |
| (3) | Signed at _ | | | _ on _ | | _,2005. |
| | | | | | David Bruce Kumhyr | |
| (4) | Signed at _ | | | on | | _ , 2005. |

7

Stephen James Watt

PATENT REEL: 038379 FRAME: 0077

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ASSIGNMENT

Whereas, we,

(1) Rhonda L. Childress County of Williamson

and (2) Edmond Chow County of Travis

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City of Austin

and State of Texas

and (3) David Bruce Kumhyr County of Travis

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| and executed a Un | nited States patent | application therefore | on | |
|--------------------|---------------------|-----------------------|-------------------|---------|
| (Date Inventor Sig | gned Declaration) |)(1) | _ , 2005; and (2) | , 2005; |
| and (3) /3 | DORIL . | 2005; and (4) | , 2005. | |

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

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(1) Signed at ______ on _____, 2005.

Rhonda L. Childress

| (2) | Signed at | on | _, 2005. |
|-----|-------------------------|--------------------|---|
| | | Edmond Chow | |
| (3) | Signed at No.ne 1 fally | onSA | _,2005. |
| | | David Bruce Kumhyr | The second se |
| (4) | Signed at | on | _,2005. |

Stephen James Watt

PATENT REEL: 038379 FRAME: 0079

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RECORDED: 04/26/2016