### 503799208 04/26/2016

## PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ALAN J. RUSSELL	04/04/2016
RICHARD R. KOEPSEL	03/29/2016
CHAD CUMMINGS	03/29/2016
HIRONOBU MURATA	03/23/2016

#### **RECEIVING PARTY DATA**

Name:	CARNEGIE MELLON UNIVERSITY	
Street Address:	5000 FORBES AVENUE	
City:	PITTSBURGH	
State/Country:	PENNSYLVANIA	
Postal Code:	15213	
Name:	UNIVERSITY OF PITTSBURGH	
Street Address: 200 GARDNER STEEL CONFERENCE CENTER		
Internal Address: THACKERY & O'HARA STREETS		
City: PITTSBURGH		
State/Country: PENNSYLVANIA		
Postal Code: 15260		

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14785868

#### **CORRESPONDENCE DATA**

**Fax Number:** (412)355-6501

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 412-355-6338

**Email:** piuspatents@klgates.com

Correspondent Name: K&L GATES LLP - JAIMIE R. ANDERSON

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Address Line 4: PITTSBURGH, PENNSYLVANIA 15222-2613

PATENT 503799208 REEL: 038380 FRAME: 0850

ATTORNEY DOCKET NUMBER: 140104US		
NAME OF SUBMITTER:	JAIMIE R. ANDERSON	
SIGNATURE:	/Jaimie R. Anderson/	
DATE SIGNED:	04/26/2016	
Total Attachments: 9		
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PATENT REEL: 038380 FRAME: 0851

Docket No. 140104US

#### ASSIGNMENT OF APPLICATION FOR PATENT

#### WHEREAS:

Alan J. Russell, of Gibsonia, PA, and Richard R. Koepsel, Chad Cummings, and Hironobu Murata, of Pittsburgh, PA (hereinafter referred to as ASSIGNORS) have made an invention or discovery entitled:

# POLYMER-BASED PROTEIN ENGINEERING METHODS TO RATIONALLY TUNE ENZYME ACTIVITY, pH-DEPENDENCE AND STABILITY

	for which application for Letters Patent of the United States has been executed on even date herewith, or
$\boxtimes$	for which application for Letters Patent of the United States has been filed on October 21, 2015 under Serial No. 14/785,868, and
WI-	HEREAS:

- (1) Carnegie Mellon University, a non-profit Pennsylvania organization having an office at 5000 Forbes Avenue, Pittsburgh, PA 15213, United States and
- (2) University of Pittsburgh of the Commonwealth System of Higher Education, a non-profit Pennsylvania organization having an office at 200 Gardner Steel Conference Center, Thackery & O'Hara Streets, Pittsburgh, PA 15260, United States

(hereinafter referred to as the JOINT ASSIGNEES), are desirous of acquiring, jointly, the entire right, title and interest in, to and under said invention or discovery, and in, to and under said United States application, and in, to and under Letters Patent or similar legal protection to be granted thereon in the United States and in any and all foreign countries, and of confirming the same or any part thereof heretofore acquired by the JOINT ASSIGNEES.

#### NOW, THEREFORE:

In consideration of the payment by the JOINT ASSIGNEES to ASSIGNORS of the sum of One Dollar (\$1.00) and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer to and confirm in the JOINT ASSIGNEES, its successors, legal representatives and assigns, the full and exclusive right, title and interest to said invention or discovery in the United States and its territorial possessions and in all foreign countries, with each JOINT ASSIGNEE having an undivided joint interest in said invention or discovery; and to said United States application and any and all other applications on said invention or discovery in whatsoever countries, including any continuations, divisions, substitutes or renewals; and to all Letters Patent, and all reissues, re-examinations, and extensions thereof, or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention or discovery or upon said applications or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted; and every priority right that is or may be predicated upon or arise from said invention or discovery, said applications and said Letters Patent,

WE, SAID ASSIGNORS, hereby authorize and request the Director of the United States Patent and Trademark Office, the Commissioner for Patents of the United States of America, any other United States Official, and/or any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue or transfer all such Letters Patent for said invention or discovery to the JOINT ASSIGNEES, as joint assignees of the entire right, title and interest in, to and under the same, for the sole use and behalf of the JOINT ASSIGNEES, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

WE, SAID ASSIGNORS, hereby covenant that we have full right to convey the entire right, title and interest herein sold, assigned, transferred, set over and confirmed, and that we have not executed and will not execute any agreement in conflict herewith;

AND WE, SAID ASSIGNORS, hereby further covenant and agree that the JOINT ASSIGNEES, its successors, legal representatives, or assigns, may apply for Letters Patent in any or all countries on said invention or discovery in our names or in the name of the JOINT ASSIGNEES or otherwise as the JOINT ASSIGNEES may deem advisable, and may claim the benefits of the International Convention or otherwise claim priority rights;

AND WE, SAID ASSIGNORS, hereby further covenant that we will, at any time when called upon to do so by the JOINT ASSIGNEES, its successors, legal representatives, or assigns: communicate to the JOINT ASSIGNEES, its successors, legal representatives, or assigns, as the case may be, any facts known to us respecting said invention or discovery or the history thereof,

Page 1 of 3

including without limitation the furnishing of any and all documents, photographs, models, samples and other physical exhibits in our control which may be useful for establishing the facts of our conception, disclosures, and reduction to practice of said invention or discovery; execute and deliver to the JOINT ASSIGNEES, its successors, legal representatives, or assigns, as the case may be, any and all lawful papers that may be necessary or desirable to perfect the title to the said invention or discovery, the said applications and the said Letters Patent in the JOINT ASSIGNEES, its successors, legal representatives and assigns; if reissues, re-examinations, or other like proceedings involving the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the JOINT ASSIGNEES, its successors, legal representatives, or assigns, we will sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation, re-examination and reissue applications so desired, and do all lawful acts requisite for the application for such reissues, re-examinations and the procuring thereof and for the filing of such disclaimers and such applications; and generally do everything possible, including testifying in any legal proceedings, signing all lawful papers and making all rightful oaths, to aid the JOINT ASSIGNEES, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, and without further compensation but at the expense of the JOINT ASSIGNEES, its successors, legal representatives and assigns.

IN WITNESS WHEREOF, We have hereunto affixed our signatures on the date and year opposite our signatures.

1.1 1

Date:	ALAN J. RUS	SELL	11	
COUNTY OF ALLEGHENY	Ву:	A KL	(signature)	
STATE OF PENNSYLVANIA				
BE IT KNOWN, that on this to me known to be the person mentioned acknowledged to me that he executed the same COMMONWEALTH OF PENNSYLVANIA  NOTARIAL SEAL CHRISTINA COWAN NOTARIAL SCOWAN NOTARIAL SEAL CHRISTINA COWAN NOTARY PUBLIC PITTSBURGH CITY, ALLEGHENY COUNTY My Commission Expires Mar 18, 2017	e as his frée act and d	, 2016, before ed the foregoing Assign eed for the use and purporty Public ix notary stamp and notar	eses therein mentioned.	JSSELL, and he
Date:, 2016	RICHARD R.	KOEPSEL		
COUNTY OF ALLEGHENY	Ву:		(signature)	
STATE OF PENNSYLVANIA				
BE IT KNOWN, that on thisKOEPSEL, to me known to be the person mer acknowledged to me that he executed the same	day of ntioned in and who ex e as his free act and d	, 2016, be secuted the foregoing As eed for the use and purpo	efore me personally came RICH signment of Application for Paten uses therein mentioned.	ARD R.
	Nota	ary Public		
	[Aff	ix notary stamp and nota	ry seal]	

Page 2 of 3

Date:	, 2016	CHAD	CUMMINGS
		Ву:	(signature)
COUNTY OF ALLEGI	HENY		
STATE OF PENNSYL	VANIA		
BE IT KNOW to me known to be the acknowledged to me that	N, that on this ne person mentioned at he executed the san	day ofd in and who end as his free act	, 2016, before me personally came CHAD CUMMINGS executed the foregoing Assignment of Application for Patent, and he and deed for the use and purposes therein mentioned.
			Notary Public
			[Affix notary stamp and notary seal]
Date:	, 2016	HIRON	OBU MURATA
		Ву:	(signature)
COUNTY OF ALLEGE	HENY		
STATE OF PENNSYL	VANIA		
MUKATA, to me know	n to be the person m	entioned in and	, 2016, before me personally came HIRONOBU who executed the foregoing Assignment of Application for Patent, and he and deed for the use and purposes therein mentioned.
			Notary Public
			[Affix notary stamp and notary seal]

#### ASSIGNMENT OF APPLICATION FOR PATENT

#### WHEREAS:

Alan J. Russell, of Gibsonia, PA, and Richard R. Koepsel, Chad Cummings, and Hironobu Murata, of Pittsburgh, PA (hereinafter referred to as ASSIGNORS) have made an invention or discovery entitled:

# POLYMER-BASED PROTEIN ENGINEERING METHODS TO RATIONALLY TUNE ENZYME ACTIVITY, pH-DEPENDENCE AND STABILITY

	for which application for Letters Patent of the United States has been executed on even date herewith, or
Ø	for which application for Letters Patent of the United States has been filed on October 21, 2015 under Serial No. 14/785,868, and
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- (1) Carnegie Mellon University, a non-profit Pennsylvania organization having an office at 5000 Forbes Avenue, Pittsburgh, PA 15213, United States and
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(hereinafter referred to as the JOINT ASSIGNEES), are desirous of acquiring, jointly, the entire right, title and interest in, to and under said invention or discovery, and in, to and under said United States application, and in, to and under Letters Patent or similar legal protection to be granted thereon in the United States and in any and all foreign countries, and of confirming the same or any part thereof heretofore acquired by the JOINT ASSIGNEES.

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WE, SAID ASSIGNORS, hereby authorize and request the Director of the United States Patent and Trademark Office, the Commissioner for Patents of the United States of America, any other United States Official, and/or any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue or transfer all such Letters Patent for said invention or discovery to the JOINT ASSIGNEES, as joint assignees of the entire right, title and interest in, to and under the same, for the sole use and behalf of the JOINT ASSIGNEES, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

WE, SAID ASSIGNORS, hereby covenant that we have full right to convey the entire right, title and interest herein sold, assigned, transferred, set over and confirmed, and that we have not executed and will not execute any agreement in conflict herewith;

AND WE, SAID ASSIGNORS, hereby further covenant and agree that the JOINT ASSIGNEES, its successors, legal representatives, or assigns, may apply for Letters Patent in any or all countries on said invention or discovery in our names or in the name of the JOINT ASSIGNEES or otherwise as the JOINT ASSIGNEES may deem advisable, and may claim the benefits of the International Convention or otherwise claim priority rights;

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IN WITNESS WHEREOF, We have hereunto affixed our signatures on the date and year opposite our signatures. Date: \_\_\_\_\_\_, 2016 ALAN J. RUSSELL \_\_\_\_\_(signature) COUNTY OF ALLEGHENY STATE OF PENNSYLVANIA BE IT KNOWN, that on this \_\_\_\_\_\_day of \_\_\_\_\_\_, 2016, before me personally came ALAN J. RUSSELL, to me known to be the person mentioned in and who executed the foregoing Assignment of Application for Patent, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned. Notary Public [Affix notary stamp and notary seal] Date: March 29,2016 COUNTY OF ALLEGHENY STATE OF PENNSYLVANIA BE IT KNOWN, that on this 29 day of March, 2016, before me personally came RICHARD R. KOEPSEL, to me known to be the person mentioned in and who executed the foregoing Assignment of Application for Patent, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned. COMMONWEALTH OF PENNSYLVANIA NOTARIAL SEAL Jennifer H. Bett, Notary Public [Affix notary stamp and notary seal] City of Pittsburgh, Allegheny County My Commission Expires April 9, 2018 MEMBER, PENNSYLVANIA ASSOCIATION OF HOTARIES

Page 2 of 3

Date: March 29, 2016	CHAD CUMMINGS  By:	(signature)
COUNTY OF ALLEGHENY		
STATE OF PENNSYLVANIA  BE IT KNOWN, that on this 29 to me known to be the person mentioned acknowledged to me that he executed the same	day of March, in and who executed the fore as his free act and deed for the	2016, before me personally came CHAD CUMMINGS, going Assignment of Application for Patent, and he use and purposes therein mentioned.
COMMONWEALTH OF PENNSYLVANI  NOTARIAL SEAL  Jennifer H. Bett, Notary Public  City of Pittsburgh, Allegheny County  My Commission Expires April 9, 2018  MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARI	[Affix notary st	ye Well amp and notary seal]
Date:, 2016	HIRONOBU MURATA	
	Ву:	(signature)
COUNTY OF ALLEGHENY		
STATE OF PENNSYLVANIA		
BE IT KNOWN, that on this	ntionea in ana who executed the	, 2016, before me personally came HIRONOBU foregoing Assignment of Application for Patent, and he use and purposes therein mentioned.
	-	
	Notary Public	
	[Affix notary s	tamp and notary seal

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including without limitation the furnishing of any and all documents, photographs, models, samples and other physical exhibits in our control which may be useful for establishing the facts of our conception, disclosures, and reduction to practice of said invention or discovery; execute and deliver to the JOINT ASSIGNEES, its successors, legal representatives, or assigns, as the case may be, any and all lawful papers that may be necessary or desirable to perfect the title to the said invention or discovery, the said applications and the said Letters Patent in the JOINT ASSIGNEES, its successors, legal representatives and assigns; if reissues, re-examinations, or other like proceedings involving the said Letters Patent or disclaimers relating thereto, or divisions, continuations, or refilings of the said applications, or any thereof, shall hereafter be desired by the JOINT ASSIGNEES, its successors, legal representatives, or assigns, we will sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation, re-examination and reissue applications so desired, and do all lawful acts requisite for the application for such reissues, re-examinations and the procuring thereof and for the filing of such disclaimers and such applications; and generally do everything possible, including testifying in any legal proceedings, signing all lawful papers and making all rightful oaths, to aid the JOINT ASSIGNEES, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said invention or discovery in all countries, and without further compensation but at the expense of the JOINT ASSIGNEES, its successors, legal representatives and assigns.

IN WITNESS WHEREOF, We have hereunto affixed our signatures on the date and year opposite our signatures.

Date: \_\_\_\_\_\_, 2016 ALAN J. RUSSELL By: \_\_\_\_\_ (signature) COUNTY OF ALLEGHENY STATE OF PENNSYLVANIA BE IT KNOWN, that on this \_\_\_\_\_day of \_\_\_\_\_\_, 2016, before me personally came ALAN J. RUSSELL, to me known to be the person mentioned in and who executed the foregoing Assignment of Application for Patent, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned. Notary Public [Affix notary stamp and notary seal] Date: \_\_\_\_\_, 2016 RICHARD R. KOEPSEL COUNTY OF ALLEGHENY STATE OF PENNSYLVANIA BE IT KNOWN, that on this \_\_\_\_\_day of \_\_\_\_\_\_, 2016, before me personally came RICHARD R. KOEPSEL, to me known to be the person mentioned in and who executed the foregoing Assignment of Application for Patent, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned. Notary Public [Affix notary stamp and notary seal]

Page 2 of 3

Date:	, 2016	CHAD CUMMINGS	8
		Ву:	(signature)
COUNTY OF ALLEC	GHENY		
STATE OF PENNSY	LVANIA		
BE IT KNOW to me known to be acknowledged to me the	VN, that on this the person mentioned that he executed the same	day ofd in and who executed the ne as his free act and deed for	, 2016, before me personally came CHAD CUMMINGS, foregoing Assignment of Application for Patent, and he the use and purposes therein mentioned.
		Notary Pub	lic
		[Affix nota	ry stamp and notary seal]
Date: 3/23	, 2016	HIRONOBU MURA By: A. Maa	TA (signature)
COUNTY OF ALLEG	HENY		
STATE OF PENNSYI  BE IT KNO'  MURATA, to me know  acknowledged to me th	WN, that on this	23 day of March entioned in and who executed the as his free act and deed for	, 2016, before me personally came HIRONOBU the foregoing Assignment of Application for Patent, and he the use and purposes therein mentioned.
COMMON	NOTARIAL SEAL  NOTARIAL SEAL  Bennifer H. Bett, Notary Public  of Pittsburgh, Allegheny Co-  commission Expires April 9,	LVANIA Notary Public Afrix notar	mile HBett

Page 3 of 3