

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT3846047

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
ROBERT GERALD WHALEN	04/25/2016
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	ALTRAVAX, INC.
<b>Street Address:</b>	725 SAN ALESO AVENUE
<b>Internal Address:</b>	SUITE 2
<b>City:</b>	SUNNYVALE
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94085
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14207135
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(877)769-7945
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<b>Phone:</b>	612-335-5070
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<b>ATTORNEY DOCKET NUMBER:</b>	30699-0009001
<b>NAME OF SUBMITTER:</b>	CAMERON M. LUITJENS, REG. NO. 58674
<b>SIGNATURE:</b>	/Cameron M. Luitjens/
<b>DATE SIGNED:</b>	04/26/2016
<b>Total Attachments: 4</b>	
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ASSIGNMENT

For valuable consideration, the receipt of which we acknowledge, and intending to be bound legally, we, ROBERT GERALD WHALEN, CLAYTON W. BEARD, and LI XU, each individually assign to ALTRAVAX, INC., a corporation formed under the laws of North Dakota and having a principal place of business at 725 San Aleso Avenue, Suite 2, Sunnyvale, CA 94085, and its successors, transferees, and assignees (collectively the "Assignee"), all of our individual and joint right, title, and interest throughout the world in the subject matter (the "Subject Matter") of a patent application that names us as inventors, is titled "HEPATITIS B VIRUS VACCINES," and was filed in the United States Patent and Trademark Office on March 12, 2014, as application 14/207,135 (the "Application").

This Assignment assigns (a) the Application and all other applications that may be made for, and all patents, utility models, design registrations, and other rights of exclusion and inventors' certificates for, any of the Subject Matter (collectively the "Applications and Granted Rights") in every country or region, (b) the right to claim priority based on and the benefit of the filing date of any of the Applications and Granted Rights under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other applicable treaties or conventions, and (c) the right to pursue, collect, and retain in the Assignee's name or otherwise, damages and any other remedies arising from any past, present, or future infringement of the Subject Matter, the Applications and Granted Rights, and any other rights assigned by this Assignment.

We authorize the Assignee to apply for and pursue protection for any or all of the Subject Matter, the Applications and Granted Rights, and any other rights assigned by this Assignment in all countries, regions, and territories of the world, in our names or in the Assignee's name.

We represent and warrant that we have the right and power to make this Assignment and that we have not made and will not make any other assignment that conflicts with this Assignment.

We will communicate to the Assignee (or at the request of the Assignee to other parties) all known facts in any form relating to the Subject Matter, will execute and provide all oaths and declarations, statements, testimony, assignments, powers of attorney, applications, and documents, and will perform all other lawful acts necessary or desirable to secure fully to the Assignee the rights, titles, and interests assigned by this Assignment.

Robert Gerald Whalen  
Robert Gerald Whalen

Date: April 25, 2016

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California  
County of San Mateo

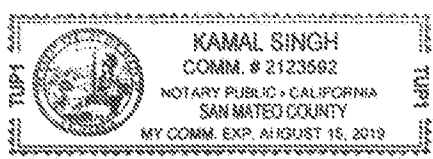
On April 25<sup>th</sup>, 2016 before me, Kamal Singh, Notary Public  
(insert name and title of the officer)

personally appeared Robert Gerald Whalen  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Kamal Singh



Date: \_\_\_\_\_

\_\_\_\_\_  
Clayton W. Beard

\_\_\_\_\_  
County, North Carolina

I certify that the following person personally appeared before me this day, each acknowledging to me that he or she signed the foregoing document:

\_\_\_\_\_  
Name(s) of principal(s)

Date: \_\_\_\_\_

\_\_\_\_\_  
*Official Signature of Notary*

\_\_\_\_\_, Notary Public  
*Notary's printed or typed name*

My commission expires: \_\_\_\_\_

Date: \_\_\_\_\_

Li Xu

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California  
County of \_\_\_\_\_)

On \_\_\_\_\_ before me, \_\_\_\_\_  
(insert name and title of the officer)

personally appeared \_\_\_\_\_  
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the same in  
his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the  
person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing  
paragraph is true and correct.

WITNESS my hand and official seal.

Signature \_\_\_\_\_

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