

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3852002

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	BRIAN VIK	04/28/2016
	ROBERT KOST	04/27/2016
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	CNH INDUSTRIAL AMERICA LLC.	
<b>Street Address:</b>	500 DILLER AVENUE	
<b>City:</b>	NEW HOLLAND	
<b>State/Country:</b>	PENNSYLVANIA	
<b>Postal Code:</b>	17557	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	15142006
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
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<b>Correspondent Name:</b>	CNH INDUSTRIAL AMERICA LLC AND DORITY &	
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<b>ATTORNEY DOCKET NUMBER:</b>	53440/CNH-123	
<b>NAME OF SUBMITTER:</b>	JASON A. JENNINGS	
<b>SIGNATURE:</b>	/jason a. jennings reg 64,391/	
<b>DATE SIGNED:</b>	04/29/2016	
<b>Total Attachments: 4</b>		
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source=53440_CNH_123_Assignment_29APR2016#page2.tif		
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STATEMENT UNDER 37 CFR 3.73(c)

Applicant/Patent Owner: CNH Industrial America LLC

Application No./Patent No. 15/142,006

Filed/Issue Date: 04/29/2016

7. Title: SYSTEM AND METHOD FOR PROCESSING ELASTOMERIC LOAD RINGS OF FACE

CNH Industrial America LLC, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):

1. ☒ The assignee of the entire right, title, and interest.
2. ☐ An assignee of less than the entire right, title, and interest (check applicable box):
- ☐ The extent (by percentage) of its ownership interest is \_\_\_\_\_. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
- ☐ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are: \_\_\_\_\_

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3. ☐ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. ☐ The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose **one** of options A or B below):

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: ..... To: .....

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**PATENT**  
**REEL: 038417 FRAME: 0408**

**STATEMENT UNDER 37 CFR 3.73(c)**

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
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4. From: \_\_\_\_\_ To: \_\_\_\_\_

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5. From: \_\_\_\_\_ To: \_\_\_\_\_

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6. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet(s).☐ As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.**[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]**

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Jason A. Jennings

April 29, 2016

Date

Printed or Typed Name

64,391

Title or Registration Number

**ASSIGNMENT**

For good and valuable consideration, I (We), **Brian Vik**, a resident of The United States, residing at 21855 162<sup>nd</sup> Avenue, South, Barnesville, MN 56514, and **Robert Kost**, a resident of The United States, residing at 4431 Third Street, South, Moorhead, MN 56560, "assignor(s)"

hereby sell, assign and transfer to **CNH Industrial America LLC**, a corporation duly organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 500 Diller Ave., New Holland, Pennsylvania 17557 ("assignee"), its successors and assigns, the entire right, title and interest, domestic and foreign, in the invention entitled

**"SYSTEM AND METHOD FOR PROCESSING ELASTOMERIC LOAD RINGS OF  
FACE SEALS TO PROVIDE IMPROVED LOAD TOLERANCE"**

invented by assignor(s) and set forth in U.S. Patent Application No. (or PCT Patent Application No.) \_\_\_\_\_, filed \_\_\_\_\_, and any and all applications for patent and patents granted therefor in any and all countries, including all divisions, reissues, continuations, reexaminations, inventor's certificates, and extensions therefor, and all right of priority resulting from the filing of said U.S. patent application,

and

authorize and request any attorney or agent associated with customer number 18528 to insert in the spaces provided above the filing date and application number of said application when known,

and

authorize and request that any and all patents for said improvements be issued to said assignee, or its successors or assigns,

and

covenant that I have full right so to do,

and

agree to communicate to said assignee, its representatives, successors or assigns all facts known to assignor(s) respecting said improvements, whenever requested, and to testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reexamination, and reissue applications, and make all rightful oaths and generally do everything to aid said assignee, its successors, assigns, and representatives to obtain and enforce proper patent protection for said improvements in all countries.

Date:

28 Apr. 7 2016

Brian Vik  
Signature of Assignor - Brian Vik

Date:

\_\_\_\_\_

\_\_\_\_\_  
Signature of Assignor - Robert Kost

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Date: \_\_\_\_\_

Signature of Assignor - Brian Vik

Date: 4/27/2016

  
Signature of Assignor - Robert Kost