

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3832008

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the ASSIGNEE previously recorded on Reel 038270 Frame 0797. Assignor(s) hereby confirms the FROM "MIAMI INTERNATIONAL HOLDINGS, LLC" TO --MIAMI INTERNATIONAL HOLDINGS, INC.--.

CONVEYING PARTY DATA

Name	Execution Date
MIAMI INTERNATIONAL SECURITIES EXCHANGE, LLC	02/08/2016

RECEIVING PARTY DATA

Name:	MIAMI INTERNATIONAL HOLDINGS, INC.
Street Address:	7 ROSZEL ROAD
City:	PRINCETON
State/Country:	NEW JERSEY
Postal Code:	08540

PROPERTY NUMBERS Total: 7

Property Type	Number
Application Number:	14098882
Application Number:	14099282
Application Number:	13689408
Application Number:	14046530
Application Number:	14465472
Application Number:	14502635
Application Number:	14993774

CORRESPONDENCE DATA

Fax Number: (646)588-1586
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 212-883-4991
Email: cconstantino@cozen.com
Correspondent Name: COZEN O'CONNOR
Address Line 1: 277 PARK AVENUE
Address Line 4: NEW YORK, NEW YORK 10172

ATTORNEY DOCKET NUMBER:	335954.000
NAME OF SUBMITTER:	CARL B. WISCHHUSEN

SIGNATURE:	/Carl B. Wischhusen/
DATE SIGNED:	04/15/2016
Total Attachments: 10 source=Miami-AssignCorr#page1.tif source=Miami-AssignCorr#page2.tif source=Miami-AssignCorr#page3.tif source=Miami-AssignCorr#page4.tif source=Miami-AssignCorr#page5.tif source=Miami-AssignCorr#page6.tif source=Miami-AssignCorr#page7.tif source=Miami-AssignCorr#page8.tif source=Miami-AssignCorr#page9.tif source=Miami-AssignCorr#page10.tif	

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT3828527

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MIAMI INTERNATIONAL SECURITIES EXCHANGE, LLC	02/08/2016
RECEIVING PARTY DATA	
Name:	MIAMI INTERNATIONAL HOLDINGS, LLC
Street Address:	7 ROSZEL ROAD
City:	PRINCETON
State/Country:	NEW JERSEY
Postal Code:	08540
PROPERTY NUMBERS Total: 7	
Property Type	Number
Application Number:	14098882
Application Number:	14099282
Application Number:	13689408
Application Number:	14046530
Application Number:	14465472
Application Number:	14502635
Application Number:	14993774
CORRESPONDENCE DATA	
Fax Number:	(646)588-1586
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	212-883-4991
Email:	cconstantino@cozen.com
Correspondent Name:	COZEN O'CONNOR
Address Line 1:	277 PARK AVENUE
Address Line 4:	NEW YORK, NEW YORK 10172
ATTORNEY DOCKET NUMBER:	335954.000
NAME OF SUBMITTER:	CARL B. WISCHHUSEN
SIGNATURE:	/Carl B. Wischhusen/
DATE SIGNED:	04/13/2016

ASSIGNMENT OF PATENT AND PENDING PATENT APPLICATIONS

This ASSIGNMENT (hereinafter referred to as the "Assignment") is made and entered into as of the 8th day of February, 2016 (the "Effective Date") by and between MIAMI INTERNATIONAL SECURITIES EXCHANGE, LLC, a Delaware limited liability company (the "Assignor") and MIAMI INTERNATIONAL HOLDINGS, INC., a Delaware corporation (the "Assignee").

WHEREAS, the Assignor is the sole and rightful owner of the Patents and pending patent applications (collectively referred to as the "Patents") set forth in Schedule A attached hereto; and

WHEREAS, the Assignee is desirous of acquiring the Patents, together with the goodwill of the business in connection with which the Patents are used.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is acknowledged, the Assignor does hereby sell, assign and transfer unto the Assignee, its successors and assigns, all of its right, title and interest in and to the Patents listed in Schedule A attached hereto, and the improvements and inventions disclosed in said Patents throughout the world, including all claims and causes of action for damages for past infringement, and all divisions, reexaminations, reissues, substitutions, continuations, continuations in part and extensions thereof, including the right to file applications and obtain patents, utility models, industrial models and designs for said inventions and improvements throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said inventions and all rights to register said inventions in appropriate registries.

[The remainder of this page is intentionally left blank; signature page follows.]

IN WITNESS WHEREOF, the Assignor and Assignee have executed this Assignment as of the Effective Date.

ASSIGNOR:

**MIAMI INTERNATIONAL SECURITIES
EXCHANGE, LLC**

By: 
Thomas P. Gallagher
Chairman and Chief Executive Officer

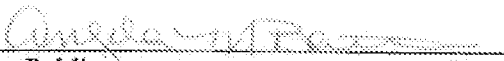
ASSIGNEE:

MIAMI INTERNATIONAL HOLDINGS, INC.

By: 
Thomas P. Gallagher
Chairman and Chief Executive Officer

United States of America)
State of New Jersey) ss:
County of Mercer)

On the 8th day of February, 2016, before me, personally appeared, **Thomas P. Gallagher**, to me known, who being by me duly sworn, did depose and say that he is the Chairman and Chief Executive Officer of **Miami International Securities Exchange, LLC**, the limited liability company described in and which executed the within instrument.




Notary Public

Notarial Seal

ANGELA M. BATTLE
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 4/3/2019

United States of America)
State of New Jersey) ss:
County of Mercer)

On the 8th day of February, 2016, before me, personally appeared, **Thomas P. Gallagher**, to me known, who being by me duly sworn, did depose and say that he is the Chairman and Chief Executive Officer of **Miami International Holdings, Inc.**, the corporation described in and which executed the within instrument.



Notary Public

Notarial Seal

ANGELA M. BATTLE
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires 4/3/2019

SCHEDULE A

PATENT APPLICATIONS AND ISSUED PATENTS

BEING TRANSFERRED TO MIH

U.S. ISSUED PATENTS										
Item	Official Name of Patent Application	Application No.	Filing Date	Patent Application Publication Date	Patent Issuance Date	Patent No.	Type	Filing Firm	Attorney Docket No.	Exp. Date
1.	MIAX Architecture	14/098,882	12/6/13	6/12/14	10/21/14	US 8,868,461	Utility	Cozen O'Connor	6122-7 343336	12/6/2033
2.	QA Tool	14/099,282	12/6/13	6/12/14	10/28/14	US 8,874,479	Utility	Cozen O'Connor	6122-5 343334	12/6/2033

U.S. UTILITY PATENT APPLICATIONS

Item	Official Name of Patent Application	Application No.	Filing Date	Patent Application Publication Date	Filing Firm	Attorney Docket No.
1. Priority Quotes	System and Method for Tracking Priority Interests in a Financial Trading System	13/689,408	11/29/12	12/26/13	Cozen O'Connor	6122-4 328694
2. Rules/ Priority Interests (Divisional)	System and Method for Tracking Priority Interests in a Financial Trading System	14/046,530	10/4/13	2/6/14	Cozen O'Connor	6122-4DIV 341837
3. Equity Rights Program	System and Method for performing an Equity Rights Transaction for Strategic Investors in a Securities Exchange	14/465,472	8/21/14	2/26/15	Cozen O'Connor	6122-9 353640
4. Architecture (Continuation of Application of 14/098,882)	Electronic Trading Platform and Method Thereof	14/502,635	9/30/14	2/5/15	Cozen O'Connor	6122-7CON/ 355285
5. Priority Interests (Continuation)	System and Method for Tracking Priority Interests in a Financial Trading System	14/993,774	1/12/16	Not Yet Published	Cozen O'Connor	6122-4DCON/ 380860