

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3857341

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
STEFAN HILLEBRAND	01/26/2016
MATTHIAS RIEDRICH	02/18/2016
SEBASTIAN HOFFMANN	01/28/2016
MARK JAMES FORD	01/27/2016
JOACHIM TELSER	01/25/2016
MAZEN ES-SAYED	02/10/2016
GUENTER HOEMBERGER	01/27/2016
PIERRE WASNAIRE	03/18/2016
ULRIKE WACHENDORFF-NEUMANN	02/23/2016
TOMOKI TSUCHIYA	02/01/2016
VALERIE TOQUIN	02/03/2016
RECEIVING PARTY DATA	
Name:	BAYER CROPSCIENCE AKTIENGESELLSCHAFT
Street Address:	ALFRED-NOBEL-STR. 50
City:	MONHEIM AM RHEIN
State/Country:	GERMANY
Postal Code:	40789
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14914230
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(240) 446-9510
Email:	hmcbee@mmwvlaw.com, docketing@mmwvlaw.com
Correspondent Name:	MMWV IP, LLC.
Address Line 1:	10 NORTH JEFFERSON STREET
Address Line 2:	SUITE 100
Address Line 4:	FREDERICK, MARYLAND 21701

PATENT

ATTORNEY DOCKET NUMBER:	2903925-505000
NAME OF SUBMITTER:	HANNAH MCBEE
SIGNATURE:	/Hannah McBee/
DATE SIGNED:	05/03/2016
	This document serves as an Oath/Declaration (37 CFR 1.63).
Total Attachments: 15 source=2903925-505000_ASSIGNMENT#page1.tif source=2903925-505000_ASSIGNMENT#page2.tif source=2903925-505000_ASSIGNMENT#page3.tif source=2903925-505000_ASSIGNMENT#page4.tif source=2903925-505000_ASSIGNMENT#page5.tif source=2903925-505000_ASSIGNMENT#page6.tif source=2903925-505000_ASSIGNMENT#page7.tif source=2903925-505000_ASSIGNMENT#page8.tif source=2903925-505000_ASSIGNMENT#page9.tif source=2903925-505000_ASSIGNMENT#page10.tif source=2903925-505000_ASSIGNMENT#page11.tif source=2903925-505000_ASSIGNMENT#page12.tif source=2903925-505000_ASSIGNMENT#page13.tif source=2903925-505000_ASSIGNMENT#page14.tif source=2903925-505000_ASSIGNMENT#page15.tif	

ASSIGNMENT WITH DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)**ASSIGNMENT**

Whereas, I/We, the undersigned inventor(s) hereinafter called assignor(s), have invented certain improvements described in the application identified below; and

Whereas, 1) BAYER CROPSCIENCE AKTIENGESELLSCHAFT of 1) Alfred-Nobel-Str. 50, 40789 Monheim am Rhein, DE, (assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors, legal representatives, and assigns, my/our entire right, title and interest, if any, in the invention and the application for the United States of America, including all direct and indirect divisions, continuations, and continuations-in-part thereof, and all original, extended, reissued, reviewed, and reexamined Letters Patent of the United States, and all countries foreign thereto, that may be granted thereon, including rights of priority under the International Convention of Paris (1883) as amended, including the right to claim priority under 35 U.S.C. §119, and I/we request the Director of the U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we hereby agree that the assignee may apply for foreign Letters Patent on the invention and I/we will without further consideration, communicate all facts know to me/us and execute without further consideration all papers deemed necessary by the assignee in connection with the United States and foreign applications when called upon to do so by the assignee, its successors, legal representatives, or assigns. I/We further represent and warrant that I/We have the full right to convey the interest assigned by this assignment, and that I/We have not granted any rights inconsistent with the rights granted herein. I/We further acknowledge an obligation of assignment of this invention to assignee at the time the invention was made.

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

DECLARATION

As a below named inventor, I hereby declare that this assignment with declaration is directed to:

☐ The attached application, OR ☒ United States Application or PCT International Application
Number PCT/EP2014/068053 filed on 2014-08-26 (Confirmation No. _____).

PRE-AIA

My residence, mailing address, and citizenship are as stated below next to my name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention titled:

MALONIC ESTER DERIVATIVES OF HETEROARYLPIPERIDINES AND -PIPERAZINES AS FUNGICIDES

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POST-AIA

The above identified application was made or was authorized to be made by me.

I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this assignment with declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

Authorization To Permit Access To Application by Participating Office

☐ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified patent application-as-filed, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application, and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Office.

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

1. Dr. Stefan HILLEBRAND
41462 Neuss, DE
Lothringer Str. 22, 41462 Neuss, DE
DE

[Signature] 2016-01-26

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

2. Dr. Matthias RIEDRICH
50735 Köln, DE
Boltensernstr. 73, 50735 Köln, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

3. Dr. Sebastian HOFFMANN
41470 Neuss, DE
Zypressenweg 12, 41470 Neuss, DE
DE

[Signature] 2016-01-28

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

4. Dr. Mark James FORD
61389 Schmitten, DE
Feldbergstr. 96b, 61389 Schmitten, DE
GB

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

5. Dr. Joachim TELSER
42115 Wuppertal, DE
Krummacherstraße 127, 42115 Wuppertal, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

6. Dr. Mazen ES-SAYED
40764 Langenfeld, DE
Ricarda-Huch-Straße 36, 40764 Langenfeld, DE
DE

[Signature] 2016-02-10

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

7. Dr. Günter HÖMBERGER
65817 Eppstein, DE
Gräfliche Straße 30, 65817 Eppstein, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

8. Dr. Pierre WASNAIRE
40225 Düsseldorf, DE
Am Botanischen Garten 41, 40225 Düsseldorf, DE
BE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

9. Dr. Ulrike WACHENDORFF-NEUMANN
56566 Neuwied, DE
Oberer Markenweg 85, 56566 Neuwied, DE
DE

[Signature] 2016-02-23

ASSIGNMENT WITH DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)**ASSIGNMENT**

Whereas, I/We, the undersigned inventor(s) hereinafter called assignor(s), have invented certain improvements described in the application identified below; and

Whereas, 1) BAYER CROPSCIENCE AKTIENGESELLSCHAFT of 1) Alfred-Nobel-Str. 50, 40789 Monheim am Rhein, DE, (assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors, legal representatives, and assigns, my/our entire right, title and interest, if any, in the invention and the application for the United States of America, including all direct and indirect divisions, continuations, and continuations-in-part thereof, and all original, extended, reissued, reviewed, and reexamined Letters Patent of the United States, and all countries foreign thereto, that may be granted thereon, including rights of priority under the International Convention of Paris (1883) as amended, including the right to claim priority under 35 U.S.C. §119, and I/we request the Director of the U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we hereby agree that the assignee may apply for foreign Letters Patent on the invention and I/we will without further consideration, communicate all facts know to me/us and execute without further consideration all papers deemed necessary by the assignee in connection with the United States and foreign applications when called upon to do so by the assignee, its successors, legal representatives, or assigns. I/We further represent and warrant that I/We have the full right to convey the interest assigned by this assignment, and that I/We have not granted any rights inconsistent with the rights granted herein. I/We further acknowledge an obligation of assignment of this invention to assignee at the time the invention was made.

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

DECLARATION

As a below named inventor, I hereby declare that this assignment with declaration is directed to:

☐ The attached application, OR ☒ United States Application or PCT International Application
Number PCT/EP2014/068053 filed on 2014-08-26 (Confirmation No. _____).

PRE-AIA

My residence, mailing address, and citizenship are as stated below next to my name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention titled:

MALONIC ESTER DERIVATIVES OF HETEROARYLPIPERIDINES AND -PIPERAZINES AS FUNGICIDES

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POST-AIA

The above identified application was made or was authorized to be made by me.

I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this assignment with declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

Authorization To Permit Access To Application by Participating Office

☐ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified patent application-as-filed, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application, and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Office.

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

1. Dr. Stefan HILLEBRAND
41462 Neuss, DE
Lothringer Str.22, 41462 Neuss, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

2. Dr. Matthias RIEDRICH
50735 Köln, DE
Bohlenstr. 73, 50735 Köln, DE
DE

Matthias Riedrich 2016-01-18

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

3. Dr. Sebastian HOFFMANN
41470 Neuss, DE
Zypressenweg 12, 41470 Neuss, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

4. Dr. Mark James FORD
61389 Schmitten, DE
Feldbergstr. 96b, 61389 Schmitten, DE
GB

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

5. Dr. Joachim TELSER
42115 Wuppertal, DE
Krummacherstraße 127, 42115 Wuppertal, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

6. Dr. Mazen ES-SAYED
40764 Langenfeld, DE
Ricarda-Huch-Straße 36, 40764 Langenfeld, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

7. Dr. Günter HÖMBERGER
65817 Eppstein, DE
Gräfliche Straße 30, 65817 Eppstein, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

8. Dr. Pierre WASNAIRE
40225 Düsseldorf, DE
Am Botanischen Garten 41, 40225 Düsseldorf, DE
BE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

9. Dr. Ulrike WACHENDORFF-NEUMANN
56566 Neuwied, DE
Oberer Markenweg 85, 56566 Neuwied, DE
DE

ASSIGNMENT WITH DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)**ASSIGNMENT**

Whereas, I/We, the undersigned inventor(s) hereinafter called assignor(s), have invented certain improvements described in the application identified below; and

Whereas, I) BAYER CROPSCIENCE AKTIENGESELLSCHAFT of 1) Alfred-Nobel-Str. 50, 40789 Monheim am Rhein, DE, (assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors, legal representatives, and assigns, my/our entire right, title and interest, if any, in the invention and the application for the United States of America, including all direct and indirect divisions, continuations, and continuations-in-part thereof, and all original, extended, reissued, reviewed, and reexamined Letters Patent of the United States, and all countries foreign thereto, that may be granted thereon, including rights of priority under the International Convention of Paris (1883) as amended, including the right to claim priority under 35 U.S.C. §119, and I/we request the Director of the U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we hereby agree that the assignee may apply for foreign Letters Patent on the invention and I/we will without further consideration, communicate all facts know to me/us and execute without further consideration all papers deemed necessary by the assignee in connection with the United States and foreign applications when called upon to do so by the assignee, its successors, legal representatives, or assigns. I/We further represent and warrant that I/We have the full right to convey the interest assigned by this assignment, and that I/We have not granted any rights inconsistent with the rights granted herein. I/We further acknowledge an obligation of assignment of this invention to assignee at the time the invention was made.

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

DECLARATION

As a below named inventor, I hereby declare that this assignment with declaration is directed to:

☐ The attached application, OR ☒ United States Application or PCT International Application
Number PCT/EP2014/068053 filed on 2014-08-26 (Confirmation No. _____).

PRE-AIA

My residence, mailing address, and citizenship are as stated below next to my name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention titled:

MALONIC ESTER DERIVATIVES OF HETEROARYLPIPERIDINES AND -PIPERAZINES AS FUNGICIDES

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POST-AIA

The above identified application was made or was authorized to be made by me.

I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this assignment with declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

Authorization To Permit Access To Application by Participating Office

☐ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified patent application-as-filed, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application, and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Office.

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

1. Dr. Stefan HILLEBRAND
41462 Neuss, DE
Lothringer Str.22, 41462 Neuss, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

2. Dr. Mathias RIEDRICH
50735 Köln, DE
Boltensernstr. 73, 50735 Köln, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

3. Dr. Sebastian HOFFMANN
41470 Neuss, DE
Zypressenweg 12, 41470 Neuss, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

4. Dr. Mark James FORD
61389 Schmitten, DE
Feldbergstr. 96b, 61389 Schmitten, DE
GB

[Signature] 27.01.2016

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

5. Dr. Joachim TELSER
42115 Wuppertal, DE
Krummacherstraße 127, 42115 Wuppertal, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

6. Dr. Mazen ES-SAYED
40764 Langenfeld, DE
Ricarda-Huch-Straße 36, 40764 Langenfeld, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

7. Dr. Günter HÖMBERGER
65817 Eppstein, DE
Graßliche Straße 30, 65817 Eppstein, DE
DE

[Signature] 27.01.2016

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

8. Dr. Pierre WASNAIRE
40225 Düsseldorf, DE
Am Botanischen Garten 41, 40225 Düsseldorf, DE
BE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

9. Dr. Ulrike WACHENDORFF-NEUMANN
56566 Neuwied, DE
Oberer Markweg 85, 56566 Neuwied, DE
DE

ASSIGNMENT WITH DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)**ASSIGNMENT**

Whereas, I/We, the undersigned inventor(s) hereinafter called assignor(s), have invented certain improvements described in the application identified below; and

Whereas, 1) BAYER CROPSCIENCE AKTIENGESELLSCHAFT of 1) Alfred-Nobel-Str. 50, 40789 Monheim am Rhein, DE, (assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors, legal representatives, and assigns, my/our entire right, title and interest, if any, in the invention and the application for the United States of America, including all direct and indirect divisions, continuations, and continuations-in-part thereof, and all original, extended, reissued, reviewed, and reexamined Letters Patent of the United States, and all countries foreign thereto, that may be granted thereon, including rights of priority under the International Convention of Paris (1883) as amended, including the right to claim priority under 35 U.S.C. §119, and I/we request the Director of the U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/we hereby agree that the assignee may apply for foreign Letters Patent on the invention and I/we will without further consideration, communicate all facts know to me/us and execute without further consideration all papers deemed necessary by the assignee in connection with the United States and foreign applications when called upon to do so by the assignee, its successors, legal representatives, or assigns. I/We further represent and warrant that I/We have the full right to convey the interest assigned by this assignment, and that I/We have not granted any rights inconsistent with the rights granted herein. I/We further acknowledge an obligation of assignment of this invention to assignee at the time the invention was made.

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

DECLARATION

As a below named inventor, I hereby declare that this assignment with declaration is directed to:

☐ The attached application, OR ☒ United States Application or PCT International Application
Number PCT/EP2014/068053 filed on 2014-08-26 (Confirmation No. _____).

PRE-AIA

My residence, mailing address, and citizenship are as stated below next to my name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention titled:

MALONIC ESTER DERIVATIVES OF HETEROARYLPIPERIDINES AND -PIPERAZINES AS FUNGICIDES

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POST-AIA

The above identified application was made or was authorized to be made by me.

I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this assignment with declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

Authorization To Permit Access To Application by Participating Office

☐ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified patent application-as-filed, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application, and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Office.

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

1. Dr. Stefan HILLEBRAND
41462 Neuss, DE
Lothringer Str 22, 41462 Neuss, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

2. Dr. Matthias RIEDRICH
50735 Köln, DE
Boltensernstr. 73, 50735 Köln, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

3. Dr. Sebastian HOFFMANN
41470 Neuss, DE
Zypressenweg 12, 41470 Neuss, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

4. Dr. Mark James FORD
61389 Schmitten, DE
Feldbergstr. 96b, 61389 Schmitten, DE
GB

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

5. Dr. Joachim TELSER
42115 Wuppertal, DE
Krummacherstraße 127, 42115 Wuppertal, DE
DE

Joachim Telser 2016-01-25

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

6. Dr. Mazen ES-SAYED
40764 Langenfeld, DE
Ricarda-Huch-Straße 36, 40764 Langenfeld, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

7. Dr. Günter HÖMBERGER
65817 Eppstein, DE
Gräfliche Straße 30, 65817 Eppstein, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

8. Dr. Pierre WASNAIRE
40225 Düsseldorf, DE
Am Botanischen Garten 41, 40225 Düsseldorf, DE
BE

Wonore 18/03/2016

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

9. Dr. Ulrike WACHENDORFF-NEUMANN
56566 Neuwied, DE
Oberer Markenweg 85, 56566 Neuwied, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

10. Dr. Tomoki TSUCHIYA
63000 Lyon, FR *2 Rue Rivet, 69001*
c/o Bayer S.A.S., 16 rue Jean Marie Leclair, 69009 Lyon, FR *Lyon*
JP *1 Feb. 2016* *FR*

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

11. Valerie TOQUIN
69270 Saint-Romain-au-Mont-d'Or, FR
Rue de la Republique 30, 69270 Saint-Romain-au-Mont-d'Or, FR
FR *3 Feb. 2016*

Important Information for the Signatories — please note and sign:

We enclose with this annex the application text for the US application, to which the required application papers (Combined Declaration and Power of Attorney) are attached.

Please note the first page of the declaration, according to which you confirm by way of your signature that you believe that you and any other named inventor(s) are the original and first inventor(s) or original joint inventor of the subject matter claimed, that the application was made or authorized to be made by you, that you have reviewed and understood the application contents and are aware of your obligation to disclose to the patent office any information known to you (e.g., previously published literature, prior use, etc.) that may be material to patentability. Any concealment of such information represents fraud and would mean that the application can be rejected or that a granted patent would be invalid; in cases of dispute proof of default can frequently be obtained by the inspection of internal records by the parties involved in a dispute.

Please also note that under U.S. patent law the inventor(s) is/are also required to indicate the "best mode," although failure to disclose the best mode can no longer be a basis for invalidating a patent.

Wichtige Informationen für Unterzeichnende — bitte zur Kenntnis nehmen und unterzeichnen:

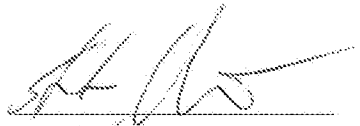
Dieser Anlage liegt der Anmeldungstext für die US-Anmeldung bei, dem die erforderlichen Anmeldeunterlagen (Kombinierte Erklärung und Vollmacht) beigelegt sind.

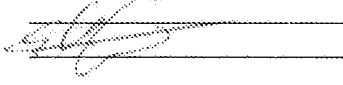
Bitte beachten Sie die erste Seite der Erklärung, nach der Sie durch Ihre Unterschrift bestätigen, dass Sie und (ein) etwaige(r) andere(r) genannte(r) Erfinder nach Ihrer Überzeugung der(die) ursprüngliche(n) und erste(n) Erfinder oder der ursprüngliche Miterfinder des beanspruchten Gegenstands sind, dass die Anmeldung durch Sie oder nach Ermächtigung durch Sie erfolgte, dass Sie den Inhalt der Anmeldung geprüft und

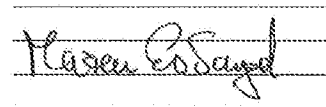
verstanden haben und sich bewusst sind, dass Sie dem Patentamt gegenüber alle Ihnen bekannten Informationen offenlegen müssen (z. B. vorveröffentlichte Literatur, Vorbenutzung usw.), die für die Patentfähigkeit erheblich sein können. Eine Verheimlichung derartiger Informationen ist Betrug und würde bedeuten, dass die Anmeldung abgewiesen werden könnte oder dass ein erteiltes Patent ungültig wäre; in Streitfällen lässt sich eine Inverzugsetzung häufig durch die Prüfung interner Aufzeichnungen durch die Streitbeteiligten erlangen.

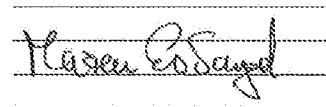
Bitte beachten Sie auch, dass der(die) Erfinder nach US-Patentrecht auch die „beste Ausführung“ angeben muss(müssen); es ist jedoch nicht mehr möglich, ein Patent für ungültig zu erklären, weil die beste Ausführung nicht offengelegt wurde.

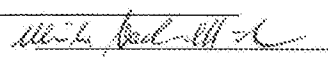
1. Dr. Stefan HILLEBRAND
2. Dr. Matthias RIEDRICH
3. Dr. Sebastian HOFFMANN
4. Dr. Mark James FORD
5. Dr. Joachim TELSER
6. Dr. Mazen ES-SAYED
7. Dr. Günter HÖMBERGER
8. Dr. Pierre WASNAIRE
9. Dr. Ulrike WACHENDORFF-NEUMANN
10. Dr. Tomoki TSUCHIYA
11. Valerie TOQUIN











verstanden haben und sich bewusst sind, dass Sie dem Patentamt gegenüber alle Ihnen bekannten Informationen offenlegen müssen (z. B. vorveröffentlichte Literatur, Vorbenutzung usw.), die für die Patentfähigkeit erheblich sein können. Eine Verheimlichung derartiger Informationen ist Betrug und würde bedeuten, dass die Anmeldung abgewiesen werden könnte oder dass ein erteiltes Patent ungültig wäre; in Streitfällen lässt sich eine Inverzugsetzung häufig durch die Prüfung interner Aufzeichnungen durch die Streitbeteiligten erlangen.

Bitte beachten Sie auch, dass der(die) Erfinder nach US-Patentrecht auch die „beste Ausführung“ angeben muss(müssen); es ist jedoch nicht mehr möglich, ein Patent für ungültig zu erklären, weil die beste Ausführung nicht offengelegt wurde.

1. Dr. Stefan HILLEBRAND

2. Dr. Matthias RIEDRICH

3. Dr. Sebastian HOFFMANN

4. Dr. Mark James FORD

5. Dr. Joachim TELSER

6. Dr. Mazen ES-SAYED

7. Dr. Günter HÖMBERGER

8. Dr. Pierre WASNAIRE

9. Dr. Ulrike WACHENDORFF-NEUMANN

10. Dr. Tomoki TSUCHIYA

11. Valerie TOQUIN

Matthias Riedrich

verstanden haben und sich bewusst sind, dass Sie dem Patentamt gegenüber alle Ihnen bekannten Informationen offenlegen müssen (z. B. vorveröffentlichte Literatur, Vorbenutzung usw.), die für die Patentfähigkeit erheblich sein können. Eine Verheimlichung derartiger Informationen ist Betrug und würde bedeuten, dass die Anmeldung abgewiesen werden könnte oder dass ein erteiltes Patent ungültig wäre; in Streitfällen lässt sich eine Inverzugsetzung häufig durch die Prüfung interner Aufzeichnungen durch die Streitbeteiligten erlangen.

Bitte beachten Sie auch, dass der(die) Erfinder nach US-Patentrecht auch die „beste Ausführung“ angeben muss(müssen); es ist jedoch nicht mehr möglich, ein Patent für ungültig zu erklären, weil die beste Ausführung nicht offengelegt wurde.

1. Dr. Stefan HILLEBRAND
2. Dr. Matthias RIEDRICH
3. Dr. Sebastian HOFFMANN
4. Dr. Mark James FORD
5. Dr. Joachim TELSER
6. Dr. Mazen ES-SAYED
7. Dr. Günter HÖMBERGER
8. Dr. Pierre WASNAIRE
9. Dr. Ulrike WACHENDORFF-NEUMANN
10. Dr. Tomoki TSUCHIYA
11. Valerie TOQUIN

Mark James Ford

Günter Hömberger

verstanden haben und sich bewusst sind, dass Sie dem Patentamt gegenüber alle Ihnen bekannten Informationen offenlegen müssen (z. B. vorveröffentlichte Literatur, Vorbenutzung usw.), die für die Patentfähigkeit erheblich sein können. Eine Verheimlichung derartiger Informationen ist Betrug und würde bedeuten, dass die Anmeldung abgewiesen werden könnte oder dass ein erteiltes Patent ungültig wäre; in Streitfällen lässt sich eine Inverzugsetzung häufig durch die Prüfung interner Aufzeichnungen durch die Streitbeteiligten erlangen.

Bitte beachten Sie auch, dass der(die) Erfinder nach US-Patentrecht auch die „beste Ausführung“ angeben muss(müssen); es ist jedoch nicht mehr möglich, ein Patent für ungültig zu erklären, weil die beste Ausführung nicht offengelegt wurde.

1. Dr. Stefan HILLEBRAND
2. Dr. Matthias RIEDRICH
3. Dr. Sebastian HOFFMANN
4. Dr. Mark James FORD
5. Dr. Joachim TELSER
6. Dr. Mazen ES-SAYED
7. Dr. Günter HÖMBERGER
8. Dr. Pierre WASNAIRE
9. Dr. Ulrike WACHENDORFF-NEUMANN
10. Dr. Tomoki TSUCHIYA
11. Valerie TOQUIN

Bitte beachten Sie auch, dass der(die) Erfinder nach US-Patentrecht auch die „beste Ausführung“ angeben muss(müssen); es ist jedoch nicht mehr möglich, ein Patent für ungültig zu erklären, weil die beste Ausführung nicht offengelegt wurde.

- | | |
|-----------------------------------|------|
| 1. Dr. Stefan HILLEBRAND | |
| 2. Dr. Matthias RIEDRICH | |
| 3. Dr. Sebastian HOFFMANN | |
| 4. Dr. Mark James FORD | |
| 5. Dr. Joachim TELSER | |
| 6. Dr. Mazen ES-SAYED | |
| 7. Dr. Günter HÖMBERGER | |
| 8. Dr. Pierre WASNAIRE | |
| 9. Dr. Ulrike WACHENDORFF-NEUMANN | |
| 10. Dr. Tomoki TSUCHIYA | 2052 |
| 11. Valerie TOQUIN | |

PATENT