503787372 04/18/2016

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3834018

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
PETER F. CAMPBELL	04/14/2016
DANIEL T. HARFE	05/12/2015
HUNG V. HA	05/12/2015
KETAN P. MUNI	05/13/2015
ANDY NGUYEN	07/13/2015
SIVETTE LAM	05/12/2015
JOHN Y. CHANG	04/20/2015
ERIC GOLDFARB	04/13/2015

RECEIVING PARTY DATA

Name:	ACCLARENT, INC.
Street Address:	1525-B O'BRIEN DRIVE
City:	MENLO PARK
State/Country:	CALIFORNIA
Postal Code:	94025

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14674639

CORRESPONDENCE DATA

Fax Number: (513)651-6981

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 513-651-6800
Email: dattix@fbtlaw.com
Correspondent Name: ANDREW B. ULMER

Address Line 1: 3300 GREAT AMERICAN TOWER

Address Line 2:301 E. FOURTH STREETAddress Line 4:CINCINNATI, OHIO 45202

ATTORNEY DOCKET NUMBER:	ACC5004USCIP3.0623385	
NAME OF SUBMITTER:	ANDREW B. ULMER	
SIGNATURE:	/Andrew B. Ulmer/	

DATE SIGNED:	04/18/2016	
	This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 22		
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COMBINED DECLARATION AND ASSIGNMENT

TITLE of Invention: EUSTACHIAN TUBE DILATION BALLOON WITH VENTILATION PATH

As the below named inventor, I hereby declare that:		
This declaration is directed to:		The attached application, or
	\boxtimes	The United States application or PCT international application number 14/674,639 filed on March 31, 2015.

The above-identified application ("Application") was made or authorized by me.

I believe that I am the original inventor or an original inventor of a claimed invention or discovery in the Application.

I have reviewed and understood the contents of the Application, including the claims, and I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, the United States Code of Federal Regulations, §1.56 for filings of this Application in the United States of America.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both for filings of this Application in the United States of America.

For good and valuable consideration, the sufficiency of which is acknowledged, I hereby sell, assign, transfer and set over to and/or have sold, assigned, transferred and set over to:

Acclarent, Inc. 1525-B O'Brien Drive Menlo Park, California 94025

A corporation of the state or country of Delaware

(hereinafter designated as the "Assignee"), my entire right, title, and interest in, to, and under the Application, including all priority rights for other countries arising therefrom, all inventions or discoveries therein disclosed, and any and all Letters Patent of the United States, European Patent Office and of all other countries, including Canada, which may be granted for such inventions or discoveries, or any of them, all such inventions or discoveries and all rights in such Application including any and all substitutions, divisions, and continuations thereof, and to all Letters Patent that may be granted for said inventions and discoveries, and in and to all extensions, supplementary protection certificates, reexaminations, renewals, and reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by them had this assignment and sale not been made.

I agree to execute all papers necessary in connection with the Application in the United States, Patent Cooperation Treaty, European Patent Office and any other foreign countries and any continuing, divisional, or reissue applications thereof, any reexamination of any of such applications, and any patent term extensions or supplementary protection certificates of any such applications and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

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I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to the said Assignee, and (ii) as Assignee of the entire interest, and hereby covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

Peter F. Campbell LEGAL NAME OF INVENTOR Signature	14 April , 2016 Date
ACKNOWI	EDGMENT
STATE OF	aid County and State. Witness my hand and Notarial Seal SEE ATTACHED NOTARY CERTIFICATES Notary Public
My commission expires:	Notary Public Printed Name
2 0	of 2

rerifies only the identity of the individual who signed the uthfulness, accuracy, or validity of that document.
MEMON, NOT ARY PUBLIC, Here Insert Name and Title of the Officer
KLIN CAMPBELL
Name(s) of Signer(s)
dence to be the person(s) whose name(s) is/are ged to me that he/she/they executed the same in er/their signature(s) on the instrument the person(s), , executed the instrument.
rtify under PENALTY OF PERJURY under the laws he State of California that the foregoing paragraph rue and correct.
NESS my hand and official seal.
nature Signature of Notary Public
NAL ormation can deter alteration of the document or means to an unintended document.
ASSIGNMENT Document Date: amed Above:
Signer's Name: Corporate Officer — Title(s): Partner — _ Limited _ General

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A corporation of the state or country of Delaware

(hereinafter designated as the "Assignee"), my entire right, title, and interest in, to, and under the Application, including all priority rights for other countries arising therefrom, all inventions or discoveries therein disclosed, and any and all Letters Patent of the United States, European Patent Office and of all other countries, including Canada, which may be granted for such inventions or discoveries, or any of them, all such inventions or discoveries and all rights in such Application including any and all substitutions, divisions, and continuations thereof, and to all Letters Patent that may be granted for said inventions and discoveries, and in and to all extensions, supplementary protection certificates, reexaminations, renewals, and reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by them had this assignment and sale not been made.

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Daniel T. Harfe LEGAL NAME OF INVENTO Signature	R	5 \2/15 Date
	ACKNOWI	LEDGMENT
STATE OF	} } SS;	
		said County and State. Witness my hand and Notarial Seal 5.
see a cert	Thate	Notary Public
		Printed Name
My commission expires:		
	2 o	of 2

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

CIVIL CODE 8 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, or validity of that document.

State of California County of San Mateo

On 5/2/15 before me, **Stacy Hames**, personally appeared **Daniel T. Harfe** who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under the PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

And the second s
STACY LYNN HAMES
Commission # 1949486
Notary Public - California
Santa Clara County
Ny Comm. Expires Aug 25, 2015

Signature of Notary Public

Place Notary Seal Above OPTI	ONAL
Though this section is optional, completing this informa	ation can deter alteration of the document or fraudulent o an unintended document.
Description of Attached Document	
	Document Date:
Number of Pages: Signer(s) Other Tha	n Named Above:
Capacity(ies) Claimed by Signer(s)	
Signer's Name:	Signer's Name:
☐ Corporate Officer — Title(s):	
☐ Partner — ☐ Limited ☐ General	☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact	
☐ Trustee ☐ Guardian or Conservator	☐ Trustee ☐ Guardian or Conservator
Other:	
Signer Is Representing:	

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A corporation of the state or country of Delaware

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Hung V. Ha LEGAL NAME OF INVENTOR	
Manh	05/12/15
Signature	Date
ACKNOWLE	DGMENT
STATE OF} SS:	
COUNTY OF	
Acknowledged before me, a Notary Public, within and for said	d County and State Witness my hand and Notarial Seal
this, 2015.	
ope attached	
see attached certificate	Notary Public
Certificate	
	Printed Name
My commission expires:	
2 of	· 2

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

Signer Is Representing:

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, or validity of that document.

State of California County of San Mateo On 5/12/15 before me, Stacy Hames, personally appeared Hung V. Ha who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. I certify under the PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct. WITNESS my hand and official seal. STACY LYNN HAMES Commission # 1949486 Notary Public - California Santa Clara County My Comm. Expires Aug 25, 2015 Signature of Notary Public Place Notary Seal Above OPTIONAL Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document. **Description of Attached Document** Title or Type of Document: ____ Document Date: _____ Number of Pages: _____ Signer(s) Other Than Named Above: _____ Capacity(ies) Claimed by Signer(s) Signer's Name: Signer's Name: ☐ Corporate Officer — Title(s): ☐ Corporate Officer — Title(s): ☐ Partner — ☐ Limited ☐ General ☐ Partner — ☐ Limited ☐ General ☐ Attorney in Fact ☐ Individual ☐ Attorney in Fact ☐ Individual ☐ Guardian or Conservator ☐ Trustee ☐ Guardian or Conservator ☐ Trustee ☐ Other: ☐ Other:

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT /shames/documents/notary/california all purpose acknowledgement

Signer Is Representing:

COMBINED DECLARATION AND ASSIGNMENT

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	•
Ketan P. Muni LEGAL NAME OF INVENTOR	
Ketan P. Muni	May 13.2015
Signature	Date
ACKNO	WLEDGMENT
STATE OF	
COUNTY OF	
Acknowledged before me, a Notary Public, within and i	for said County and State. Witness my hand and Notarial Seal 2015.
thisday of, 2	4013.
	Notary Public
see attached certificate	
certificate	Printed Name
My commission expires:	
	2 of 2

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State of California County of San Mateo

On 51315 before me, **Stacy Hames**, personally appeared **Ketan Muni** who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under the PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

STACY LYNN HAMES Commission # 1949486 Notary Public - California Santa Clara County My Comm. Expires Aug 25, 2015

Place Notary Seal Above

Signature of Notary Public

Though this sect	ion is optional, completing this informa reattachment of this form to		
Description of	Attached Document		
Title or Type of Document: Document Date:		ment Date:	
Number of Pag	ges: Signer(s) Other Thar	n Named Above: _	
Capacity(ies)	Claimed by Signer(s)		
		Signer's Name:	
	ficer — Title(s):	☐ Corporate Of	ficer — Title(s):
	Limited General	☐ Partner — ☐	Limited 🔲 General
☐ Individual	☐ Attorney in Fact	☐ Individual	☐ Attorney in Fact
	☐ Guardian or Conservator	☐ Trustee	☐ Guardian or Conservator
Signer Is Repre	senting:		senting:

OPTIONAL

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I agree to do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States, European Patent Office and in all other countries where Assignee may desire to have such inventions or discoveries, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to yest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to the said Assignee, and (ii) as Assignee of the entire interest, and hereby covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

ANDY Andrew Nguyen LEGAL NAME OF INVENTOR	
A - la proprieto	7/13/15
Signature	Date
	ACKNOWLEDGMENT
STATE OF} } SS:	
COUNTY OF}	
	Witness my hand and Notarial Seal
Acknowledged before me, a Notary Public, w	vithin and for said County and State. Witness my hand and Notarial Seal, 2015.
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see retriented. certificate of auxnowledgm	
whitente	Notary Public
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	Printed Name
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My commission expires:	
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	2 of 2

CALIFORNIA ALL-PURPOSE ACKNOWLE		CIVIL CODE § 1189
A notary public or other officer completing this cer document to which this certificate is attached, and r		
State of California County of) Uce Q. Leong	Notany Public
Date	Here Insert War	ne and Title of the Officer
personally appeared Analy Nauva	Name(s) of Sign	er(s)
who proved to me on the basis of satisfact subscribed to the within instrument and ackrhis/her/their authorized capacity(ies), and that to the entity upon behalf of which the person(s	nowledged to me that by his/her/their signatur	he/she/they executed the same ir e(a) on the instrument the person(a)
ELICE Q. LEONG		ALTY OF PERJURY under the laws fornia that the foregoing paragraph
NOTARY PUBLIC - CALIFORNIA SAN MATEO COUNTY My Comm. Exp. Sept. 8, 2018	Signature <u>/////</u>	& Sex
		Signature of Notary Public
Place Notary Seal Above	ODTIONAL ——	
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Description of Attached Document Title or Type of Document:	Doc	ıment Date:
Number of Pages: Signer(s) Other		
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Other: _

Signer is Representing: __

☐ Other:

Signer Is Representing: __

COMBINED DECLARATION AND ASSIGNMENT

As the below named inventor, I l	nereby de	polare that:
This declaration is directed to:		The attached application, or
•	X	The United States application or PCT international application number 14/674,639 filed on March 31, 2015.

The above-identified application ("Application") was made or authorized by me.

TITLE of Invention: EUSTACHIAN TUBE DILATION BALLOON WITH VENTILATION PATH

I believe that I am the original inventor or an original inventor of a claimed invention or discovery in the Application.

I have reviewed and understood the contents of the Application, including the claims, and I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, the United States Code of Federal Regulations, §1.56 for filings of this Application in the United States of America.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both for filings of this Application in the United States of America.

For good and valuable consideration, the sufficiency of which is acknowledged, I hereby sell, assign, transfer and set over to and/or have sold, assigned, transferred and set over to:

Acclarent, Inc. 1525-B O'Brien Drive Menlo Park, California 94025

A corporation of the state or country of Delaware

(hereinafter designated as the "Assignee"), my entire right, title, and interest in, to, and under the Application, including all priority rights for other countries arising therefrom, all inventions or discoveries therein disclosed, and any and all Letters Patent of the United States, European Patent Office and of all other countries, including Canada, which may be granted for such inventions or discoveries, or any of them, all such inventions or discoveries and all rights in such Application including any and all substitutions, divisions, and continuations thereof, and to all Letters Patent that may be granted for said inventions and discoveries, and in and to all extensions, supplementary protection certificates, reexaminations, renewals, and reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by them had this assignment and sale not been made.

I agree to execute all papers necessary in connection with the Application in the United States, Patent Cooperation Treaty, European Patent Office and any other foreign countries and any continuing, divisional, or reissue applications thereof, any reexamination of any of such applications, and any patent term extensions or supplementary protection certificates of any such applications and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

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I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to the said Assignee, and (ii) as Assignee of the entire interest, and hereby covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

Sivette Lam LEGAL NAME OF INVENTOR	,
Signature Signature	
	ACKNOWLEDGMENT
STATE OF	
Acknowledged before me, a Notary Public, this day of	within and for said County and State. Witness my hand and Notarial Seal, 2015.
see attached	Notary Public
	Printed Name
My commission expires:	
	2 of 2

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, or validity of that document.

State of California County of San Mateo

On 5/12/15 before me, **Stacy Hames**, personally appeared **Sivette Lam** who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under the PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

	STACY LYNN HAMES
	Commission # 1949486
X (***),	Notary Public - California
	Santa Clara County
My	Comm. Expires Aug 25, 2015

Signature of Notary Public

Place Notary Seal Above OPTI	ONAL	
Though this section is optional, completing this informa- reattachment of this form to	ation can deter altera	
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Number of Pages: Signer(s) Other Tha		
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Signer's Name:	Signer's Name:	
☐ Corporate Officer — Title(s):		flcer — Title(s):
☐ Partner — ☐ Limited ☐ General		Limited General
☐ Individual ☐ Attorney in Fact	☐ Individual	☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator	☐ Trustee	☐ Guardian or Conservator
Other:	☐ Other:	
Signer Is Representing:		senting:

Attorney Docket No.: ACC5004USCIP3

US Application No.: 14/674,639

COMBINED DECLARATION AND ASSIGNMENT

TITLE of Invention:	EUSTACHIAN TUBE DILATION BALLOON WITH VENTILATION PATH
TITLE OF HITTOH.	DOUTHORN TOBE BIBLITION BIBBOOK WITH 121112112111

As the below named inventor, I hereby declare that: The attached application, or This declaration is directed to: \boxtimes The United States application or PCT international application number 14/674,639 filed on March 31, 2015.

The above-identified application ("Application") was made or authorized by me.

I believe that I am the original inventor or an original inventor of a claimed invention or discovery in the Application.

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Acclarent, Inc.
1525-B O'Brien Drive

Menlo Park, California 94025

A corporation of the state or country of Delaware

(hereinafter designated as the "Assignee"), my entire right, title, and interest in, to, and under the Application, including all priority rights for other countries arising therefrom, all inventions or discoveries therein disclosed, and any and all Letters Patent of the United States, European Patent Office and of all other countries, including Canada, which may be granted for such inventions or discoveries, or any of them, all such inventions or discoveries and all rights in such Application including any and all substitutions, divisions, and continuations thereof, and to all Letters Patent that may be granted for said inventions and discoveries, and in and to all extensions, supplementary protection certificates, reexaminations, renewals, and reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by them had this assignment and sale not been made.

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1 of 2

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I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

John Y. Chang LEGAL NAME OF INVENTOR	
Coley Chan	4/20/15
Signature	Date
ACKNO	WLEDGMENT
STATE OF California ss:	
this day of	For said County and State. Witness my hand and Notarial Seal 2015.
Notary Public - California Santa Clara County My Comm. Expires May 18, 2018	Visinca Reynaga. Printed Name

2 of 2

My commission expires: May 18, 2018

PATENT_

COMBINED DECLARATION AND ASSIGNMENT

TITLE of Invention: EUSTACHIAN TUI	BE DILA	TION BALLOON WITH VENTILATION PATH
As the below named inventor, I h	ereby dec	clare that:
This declaration is directed to:		The attached application, or
		The United States application or PCT international application number 14/674,639 filed on March 31, 2015.
The above-identified application	("Applica	ation") was made or authorized by me.
I believe that I am the original in	ventor or	an original inventor of a claimed invention or discovery in the Application.
I have reviewed and understood information which is material to patentab this Application in the United States of A	ility as d	ents of the Application, including the claims, and I acknowledge the duty to disclose efined in Title 37, the United States Code of Federal Regulations, §1.56 for filings of
I hereby acknowledge that any vimprisonment of not more than five (5) ye	villful fals ars, or bo	se statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or th for filings of this Application in the United States of America.
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Acclarent, Inc. 1525-B O'Brien Drive Menlo Park, California 94025

A corporation of the state or country of Delaware

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1 of 2

<u>PATENT</u>

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I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

Eric Goldfarb

LEGAL NAME OF INVENTOR

Signature

4/13/15

ACKNOWLEDGMENT

STATE OF

COUNTY OF Sawta Clara

SS:

Acknowledged before me, a Notary Public, within and for said County and State. Witness my hand and Notarial Seal

his $\frac{1}{2}$ day of $\frac{1}{2}$

VERONICA REYNAGA
Commission # 2068737
Notary Public - California
Santa Clara County
My Comm. Expires May 18, 2018

Notary Public

Drinted Name

My commission expires: May

Jan 19, 2018

2 of 2

PATENT

REEL: 038451 FRAME: 0094

RECORDED: 04/18/2016