# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3859195

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

# **CONVEYING PARTY DATA**

Name	Execution Date
ASTUTE MEDICAL, INC.	05/02/2016

# **RECEIVING PARTY DATA**

Name:	CAPITAL ROYALTY PARTNERS II L.P.
Street Address:	1000 MAIN STREET, SUITE 2500
City:	HOUSTON
State/Country:	TEXAS
Postal Code:	77002
Name:	CAPITAL ROYALTY PARTNERS II - PARALLEL FUND "A" L.P.
Street Address:	1000 MAIN STREET, SUITE 2500
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Name:	PARALLEL INVESTMENT OPPORTUNITIES PARTNERS II L.P.
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State/Country:	TEXAS
Postal Code:	77002

# **PROPERTY NUMBERS Total: 16**

Property Type	Number
Application Number:	15023357
Application Number:	62181055
Application Number:	14770451
Application Number:	14910661
PCT Number:	US2016026828
Application Number:	15137982
Application Number:	14964410
Application Number:	14987569
Application Number:	14896270
Application Number:	14761591

PATENT REEL: 038459 FRAME: 0873

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Property Type	Number
Application Number:	14764139
Application Number:	14770442
PCT Number:	US2015056462
PCT Number:	US2015060204
PCT Number:	US2015066864
PCT Number:	US2016017546

### CORRESPONDENCE DATA

**Fax Number:** (415)693-2222

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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Correspondent Name: COOLEY LLP

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ATTORNEY DOCKET NUMBER:	321831-101 CRG ASTUTE
NAME OF SUBMITTER:	C. RHEM
SIGNATURE:	/CR/
DATE SIGNED:	05/04/2016

### **Total Attachments: 4**

source=CRG - Astute Executed - Patent Security Agreement (May 2, 2016)#page1.tif source=CRG - Astute Executed - Patent Security Agreement (May 2, 2016)#page2.tif source=CRG - Astute Executed - Patent Security Agreement (May 2, 2016)#page3.tif source=CRG - Astute Executed - Patent Security Agreement (May 2, 2016)#page4.tif

### SHORT-FORM PATENT SECURITY AGREEMENT

WHEREAS, ASTUTE MEDICAL, INC. (the "<u>Grantor</u>") has applied for letters patent and has been granted letters patents in the United States Patent and Trademark Office, and is the owner of the patent applications and patents listed in the attached Schedule of Patents and Patent Applications associated therewith;

WHEREAS, the Grantor entered into the Security Agreement dated as of March 17, 2014 (as modified from time to time, the "Security Agreement"), in which the Grantor has granted certain interests in favor of CAPITAL ROYALTY PARTNERS II L.P., CAPITAL ROYALTY PARTNERS II – PARALLEL FUND "A" L.P., and PARALLEL INVESTMENT OPPORTUNITIES PARTNERS II L.P. (together, with their successors and assigns, the "Secured Parties"); and

WHEREAS, pursuant to the Security Agreement, the Grantor has agreed with the Secured Parties to execute this Short-Form Patent Security Agreement;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Grantor hereby grants to the Secured Parties, to the extent provided in the Security Agreement (the terms and conditions of which are hereby incorporated herein), a security interest in all of its right, title and interest in, to and under all the patents and patent applications whether now owned or at any time hereafter acquired, of the Grantor issued by, or for which applications have been filed with, the United States Patent and Trademark Office, including the patents and applications on the attached Schedule of Patents and Patent Applications, and all related patents and applications thereto, including all reissuances, continuations, continuations-in-part, revisions, extensions, re-examinations thereof, any patents and patent applications claiming priority to said patents and patent applications or from which said patents and patent applications claim priority, and pending applications associated therewith, as collateral security for the prompt and complete payment and performance when due of all the Secured Obligations (as defined in the Security Agreement). Notwithstanding the foregoing, in the event of any conflict between this Short-Form Patent Security Agreement and the Security Agreement, the Security Agreement shall control.

Date: May 2, 2016

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IN WITNESS WHEREOF, the party hereto has caused this Short-Form Patent Security Agreement to be duly executed and delivered as of the day and year first above written.

ASTUTE MEDICAL, INC.

By: 18dd Myns
Name: 10dd Myers
Title: CFO

[Signature Page to Short-Form Patent Security Agreement]

# PATENTS AND PATENT APPLICATIONS:

TYPE COUNTRY FILING SERIAL NUMBER	METHODS AND COMPOSITIONS FOR DIAGNOSIS AND PROGNOSIS OF APPENDICITIS AND DIFFERENTIATION OF CAUSES OF ABDOMINAL PAIN  Utility - NSPCT United States of Sep 19, 2014 America  America	METHODS AND COMPOSITIONS FOR DIAGNOSIS AND PROGNOSIS OF APPENDICITIS AND DIFFERENTIATION OF CAUSES OF ABDOMINAL PAIN  Prov - ORG Vinited States of America America  America  Frov - ORG America  America	LATERAL FLOW ASSAY WITH TEST   Utility - NSPCT   United States of   Feb 25, 2014   14770451   STRIP RETAINER   America	ASSAYS FOR TIMP2 HAVING IMPROVED PERFORMANCE IN BIOLOGICAL SAMPLES  Utility - NSPCT United States of Aug 7, 2014 America  Hological Samples	METHODS AND COMPOSITIONS Utility - ORG PCT Apr 8, 2016 PCTUS2016026828 FOR DIAGNOSIS AND PROGNOSIS OF RENAL INJURY AND RENAL FAILURE	METHODS FOR DIAGNOSIS AND Utility - CON United States of Apr 25, 2016 PROGNOSIS OF RENAL INJURY AMERICA  AND RENAL FAILURE USING America  TREFOIL FACTOR 3	METHODS AND COMPOSITIONS  FOR DIAGNOSIS AND PROGNOSIS  OF RENAL INJURY AND RENAL  FAILURE  Utility - DIV  United States of Dec 9, 2015  America  America	METHODS AND COMPOSITIONS  FOR DIAGNOSIS AND PROGNOSIS  OF RENAL INJURY AND RENAL  FAILURE  Utility - CON  United States of Jan 4, 2016  America  America	METHODS AND COMPOSITIONS Utility - NSPCT United States of Jun 5, 2014 14896270
NUMBER NUMBER					16026828				
GRANI DAIE									
SIAIUS	Pending	Pending	Pending	Pending	Pending	Pending	Pending	Pending	Pending
Notes	None.	None.	None.	None.	None.	None.	None.	None.	(1)

PATENT REEL: 038459 FRAME: 0877

SCHEDULE OF REGISTERED PATENTS

**RECORDED: 05/04/2016** 

TITLE	MATTER	COUNTRY	FILING	SERIAL NUMBER	PATENT	GRANT DATE	STATUS	Notes
	HE		DAIL		NOMBER			
METHODS AND COMPOSITIONS FOR DIAGNOSIS AND PROGNOSIS OF RENAL INJURY AND RENAL FAIT TIRE	Utility - NSPCT	United States of America	Jan 16, 2014	14761591			Allowed	None.
METHODS AND COMPOSITIONS FOR DIAGNOSIS AND PROGNOSIS OF RENAL INJURY AND RENAL FAILURE	Utility - NSPCT	United States of America	Jan 28, 2014	14764139			Pending	None.
METHODS AND COMPOSITIONS FOR DIAGNOSIS AND PROGNOSIS OF RENAL INJURY AND RENAL FAILURE	Utility - NSPCT	United States of America	Feb 26, 2014	14770442			Pending	None.
METHODS AND COMPOSITIONS FOR DIAGNOSIS AND PROGNOSIS OF RENAL INJURY AND RENAL FAILURE	Utility - ORG	PCT	Oct 20, 2015	PCTUS2015056462			Pending	None.
METHODS AND COMPOSITIONS FOR DIAGNOSIS AND PROGNOSIS OF RENAL INJURY AND RENAL FAILURE	Utility - ORG	PCT	Nov 11, 2015	PCTUS2015060204			Pending	None.
METHODS AND COMPOSITIONS FOR DIAGNOSIS AND PROGNOSIS OF RENAL INJURY AND RENAL FAILURE	Utility - ORG	PCT	Dec 18, 2015	PCTUS2015066864			Pending	None.
METHODS AND COMPOSITIONS FOR DIAGNOSIS AND PROGNOSIS OF RENAL INJURY AND RENAL FAILURE	Utility - ORG	PCT	Feb 11, 2016	PCTUS2016017546			Pending	None.