503818099 05/09/2016

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
JAMES ARTHOS	02/11/2008
DIANA GOODE	02/06/2008
CLAUDIA CICALA	02/11/2008
ANTHONY S. FAUCI	02/11/2008

RECEIVING PARTY DATA

Name:	THE UNITED STATES OF AMERICA, AS REPRESENTED BY THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES
Street Address:	6011 EXECUTIVE BOULEVARD, SUITE 325, MSC 7660
Internal Address:	OFFICE OF TECHNOLOGY TRANSFER, NATIONAL INSTITUTES OF HEALTH
City:	BETHESDA
State/Country:	MARYLAND
Postal Code:	20892-7660

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14859675

CORRESPONDENCE DATA

(503)595-5301 Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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ATTORNEY DOCKET NUMBER: 4239-77318-07		
NAME OF SUBMITTER: SUSAN ALPERT SIEGEL		
SIGNATURE:	/Susan Alpert Siegel/	
DATE SIGNED:	05/09/2016	

PATENT REEL: 038520 FRAME: 0901

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Total Attachments: 4

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PATENT REEL: 038520 FRAME: 0902

ASSIGNMENT

We, James Arthos, of Rockville, Maryland, a citizen of the United States of America, Diana Goode, of Bellingham, Massachusetts, a citizen of the United States of America, Claudia Cicala, of Bethesda, Maryland, a citizen of Italy, and Anthony S. Fauci, of Washington, DC, a citizen of the United States of America, have invented a certain invention entitled USE OF ANTAGONISTS OF THE INTERACTION BETWEEN HIV GP120 AND α4β7 INTEGRIN

for which the following patent applications have been filed:

- U.S. Provisional Patent Application No. 60/873,884, filed on December 7, 2006;
- U.S. Provisional Patent Application No. 60/920,880, filed on March 30, 2007;
- U.S. Provisional Patent Application No. 60/957,140, filed on August 21, 2007; and
- PCT Application No. PCT/US2007/086663 filed on December 6, 2007;

all of which applications are collectively referred to herein as "the patent applications."

At the time the invention was made, we were employed by the National Institute of Allergy and Infectious Diseases at the National Institutes of Health, a component of the Department of Health and Human Services. The conditions under which said invention was made entitle THE UNITED STATES OF AMERICA, as represented by the Secretary, Department of Health and Human Services (hereinafter "THE GOVERNMENT) to the entire right, title, and interest in the invention under Paragraph 1(a) of Executive Order 10096 and its amendments, 35 USC Chapter 18, and 37 CFR Parts 401 and 501.

In consideration of our legal obligations to assign our right, title and interest in the invention as well as other valuable consideration, we the undersigned, have sold, assigned, and transferred and do sell, assign, and transfer to THE GOVERNMENT, having a place of business at the Office of Technology Transfer, National Institutes of Health, 6011 Executive Boulevard, Suite 325, MSC 7660, Bethesda, Maryland 20892-7660, the full and exclusive right, title, and interest in the patent applications and invention throughout the United States of America, its territories and dependencies, and all other countries. This includes an assignment of all Letters Patent that may be granted on the invention in the United States of America and all countries throughout the world, and any divisional, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof; and the right to claim priority from the patent applications as provided for by United States law, the Patent Cooperation Treaty, the European Patent Convention, the Paris Convention, or other applicable law.

We authorize and request the issuance of said Letters Patent to THE GOVERNMENT as assignee of the entire right, title, and interest to be held as fully and entirely as the same would have been held by us had this assignment not been made.

We warrant that there are no outstanding assignments, grants, liens, encumbrances, or agreements either written, oral, or implied that will impair, diminish, limit, or abridge the interest herein conveyed at the time of the execution of the present assignment.

We also agree upon reasonable request to communicate to THE GOVERNMENT, its representatives, assigns or agents, any facts known to us respecting the invention, and testify in any legal proceedings, make, execute and/or deliver to THE GOVERNMENT all papers, documents, affidavits or other instruments that may be necessary in the prosecution of any patent application, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, and do everything reasonably possible to aid THE GOVERNMENT and its assigns to obtain and enforce proper patent ASSIGNMENT - Page 1 of 4

PATENT REEL: 038520 FRAME: 0903 protection for the invention in the United States or any foreign country. These provisions are binding upon our heirs, legal representatives, administrators and assigns.

We have authorized THE GOVERNMENT to file and prosecute the patent applications, as well as any corresponding international or national applications that claim priority from them. THE GOVERNMENT has the right to select attorneys or agents of its choice to prosecute at its discretion the patent applications on its behalf.

We grant the law firm of Klarquist Sparkman, LLP, the power to insert on this Assignment any further information that may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 2/11/08

Tames Arthos

STATE OF Maryland)

COUNTY OF Montapmery

This it day of <u>February</u>, <u>2008</u>, before me personally came the above-named James Arthos, who executed the foregoing Assignment in my presence, and who acknowledged to me that this instrument was executed by James Arthos's own free will for the purpose set forth therein.

Notary Public for state of Hangland
My commission expires: 10/10 X

[SEAL]

Dated: 216/08	Diana Goode
STATE OF Massichuse Its	
This (day of February 20 Goode, who executed the foregoing Assignment	before me personally came the above-named Diana ent in my presence, and who acknowledged to me that this
instrument was executed by Diana Goode's ov	wn free will for the purpose set forth therein.
	Notary Public for State of Manyland My commission expires: 3/1/2010
[SEAU]	7/10010
Dated: 2 lulor	Clarkie Cal
Dated:	Claudia Cicala
STATE OF Maryland) ss. COUNTY OF Montapinary	
1 0	
	, before me personally came the above-named Claudia ant in my presence, and who acknowledged to me that this own free will for the purpose set forth therein.
	Sherley Hotter
	Notary Public for State of Mary kind My commission expires: 101/08

[SEAL]

Dated: 02 - 11 - 08

Anthony S. Fauci

STATE OF Maryland

COUNTY OF Montapmera

This 11 day of February, 2008, before me personally came the above-named Anthony S. Fauci, who executed the foregoing Assignment in my presence, and who acknowledged to me that this instrument was executed by Anthony S. Fauci's own free will for the purpose set forth therein.

Notary Public for state of Maryland My commission expires: 10/108

[SEAL]