

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT3866836

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	LISHA WANG	08/24/2015
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	F. HOFFMANN-LA ROCHE AG	
<b>Street Address:</b>	GRENZACHERSTRASSE 124	
<b>City:</b>	BASEL	
<b>State/Country:</b>	SWITZERLAND	
<b>Postal Code:</b>	4070	
<b>PROPERTY NUMBERS Total: 1</b>		
<b>Property Type</b>	<b>Number</b>	
<b>Application Number:</b>	14958619	
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>	(650)952-9881	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	650-866-2007	
<b>Email:</b>	pgolden@gene.com	
<b>Correspondent Name:</b>	JONATHAN DUFFIELD	
<b>Address Line 1:</b>	1 DNA WAY	
<b>Address Line 2:</b>	MS-49	
<b>Address Line 4:</b>	SOUTH SAN FRANCISCO, CALIFORNIA 94080	
<b>ATTORNEY DOCKET NUMBER:</b>	P32470-US	
<b>NAME OF SUBMITTER:</b>	JONATHAN DUFFIELD	
<b>SIGNATURE:</b>	/Jonathan Duffield/	
<b>DATE SIGNED:</b>	05/10/2016	
<b>Total Attachments: 3</b>		
source=Assignment 2#page1.tif		
source=Assignment 2#page2.tif		
source=Assignment 2#page3.tif		



## ASSIGNMENT AGREEMENT

I/We, the undersigned

**Inventor(s)**

Lisha WANG, scientist a Chinese citizen,  
Klingnaustrasse 19, CH-4058 Basel

**Employed by:**

F. Hoffmann-La Roche AG

hereinafter ASSIGNOR(S), herewith declare that I am/we are joint inventor(s) together with

**Inventor(s)**

Dongdong CHEN, a Chinese citizen,  
Building No. 5, Lane 720, Cailun Road,  
Shanghai 201203, China

**Employed by:**

Roche R&D Center (China) Ltd

Song FENG, a Chinese citizen,  
Building No. 5, Lane 720, Cailun Road,  
Shanghai 201203, China

Roche R&D Center (China) Ltd

Lu GAO, a Chinese citizen,  
Building No. 5, Lane 720, Cailun Road,  
Shanghai 201203, China

Roche R&D Center (China) Ltd

Chao LI, a Chinese citizen,  
Building No. 5, Lane 720, Cailun Road,  
Shanghai 201203, China

Roche R&D Center (China) Ltd

Baoxia WANG, a Chinese citizen,  
Building No. 5, Lane 720, Cailun Road,  
Shanghai 201203, China

Roche R&D Center (China) Ltd

Hongying YUN, a Chinese citizen,  
Building No. 5, Lane 720, Cailun Road,  
Shanghai 201203, China

Roche R&D Center (China) Ltd

Xiufang Zheng, a Chinese citizen,  
Building No. 5, Lane 720, Cailun Road,  
Shanghai 201203, China

Roche R&D Center (China) Ltd

hereinafter CO-INVENTOR(S)

of the invention entitled:

**NOVEL 3-SUBSTITUTED 5-AMINO-6H-THIAZOLO[4,5-D]PYRIMIDINE-2,7-DIONE  
COMPOUNDS FOR THE TREATMENT AND PROPHYLAXIS OF VIRUS INFECTION**

for which (a) priority application(s) was (were) filed with the

**Patent Office**

State Intellectual Property Office of the People's Republic of China

as outlined below:

Case No.  
P32470Country  
WO1Filing Date  
14 August 2015Serial No.  
PCT/CN2015/086987

ASSIGNOR(S) acknowledge(s) the previous transfer to

F. Hoffmann-La Roche AG  
Grenzacherstrasse 124  
4070 Basel  
Switzerland

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

The assignment of the rights of the CO-INVENTOR(S) is subject to a separate assignment agreement. ASSIGNOR(S) explicitly approve(s) that assignment.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR(S)

Basel, 24/08/2015  
Place, DateLisha WANG  
Lisha WANG

ASSIGNEE

F. Hoffmann-La Roche AG

Basel August 26, 2015  
Place, DateAntonio Natoli  
Holder of procurationIna Gengelbach  
Mandatory

## Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signature of

Miss **Lisha Wang**, born on April 14<sup>th</sup>, 1973, Chinese citizen, residing in Basel (Switzerland),

He certifies that the named person is in the employ of F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland).

Legalization based on comparison with authentic signature.

He also legalizes the overleaf signature of

**F. Hoffmann-La Roche AG**, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Ina Gengelbach, German citizen, residing in Grenzach-Wyhlen (Germany).

both duly entitled also to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

**B a s e l** (Switzerland), this 2<sup>nd</sup> (second) day of September 2015 (two thousand and fifteen)



BR 1241/2015