

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3871448

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| SUBMISSION TYPE: | NEW ASSIGNMENT |
| NATURE OF CONVEYANCE: | ASSIGNMENT |
| CONVEYING PARTY DATA | |
| Name | Execution Date |
| JOHN LOUIS PARKER | 05/10/2016 |
| GERRIT EDUARD GMEL | 05/11/2016 |
| RECEIVING PARTY DATA | |
| Name: | SALUDA MEDICAL PTY LTD |
| Street Address: | LEVEL 1, 407 PACIFIC HIGHWAY |
| City: | ARTARMON |
| State/Country: | AUSTRIA |
| Postal Code: | 2064 |
| PROPERTY NUMBERS Total: 1 | |
| Property Type | Number |
| Application Number: | 15036395 |
| CORRESPONDENCE DATA | |
| Fax Number: | (949)852-0004 |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | |
| Phone: | 9498520000 |
| Email: | pair@kppb.com |
| Correspondent Name: | KPPB LLP |
| Address Line 1: | 2400 E. KATELLA AVE |
| Address Line 2: | SUITE 1050 |
| Address Line 4: | ANAHEIM, CALIFORNIA 92806 |
| ATTORNEY DOCKET NUMBER: | S32-04630 |
| NAME OF SUBMITTER: | AGNES POON |
| SIGNATURE: | /Agnes Poon/ |
| DATE SIGNED: | 05/12/2016 |
| Total Attachments: 4 | |
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| source=04630assgn#page4.tif | |

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

John Louis Parker; Gerrit Eduard Gmel

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) May 10, 2016; May 11, 2016

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Saluda Medical Pty Ltd

Internal Address: _____

Street Address: Level 1, 407 Pacific Highway

City: Artarmon

State: NSW

Country: AU Zip: 2064

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

15/036,395

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: KPPB LLP

Internal Address: Suite 1050

Street Address: 2400 E. Katella Avenue

City: Anaheim

State: CA Zip: 92806

Phone Number: 949.852.0000

Docket Number: S32-04630

Email Address: pair@kppb.com

6. Total number of applications and patents involved: ¹

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

- ☐ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-4407

Authorized User Name _____

9. Signature: /Jason D. Wolfe/

May 12, 2016

Signature

Date

Jason D. Wolfe

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

WHEREAS, WE, John Louis Parker and Gerrit Eduard Gmel, have made certain new and useful inventions and improvements for which an application for Letters Patent of the United States entitled Monitoring Brain Neural Potentials has been or will be filed;

WHEREAS, Saluda Medical Pty Ltd., a corporation organized and existing under and by virtue of the laws of Australia, and having an office and place of business at Level 1, 407 Pacific Highway, Artarmon, New South Wales 2064 Australia (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefore;

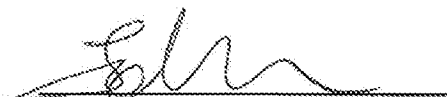
NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted there from, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted there from; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more

effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me.

IN WITNESS WHEREOF, I have hereunto set my hand this 10 day of May, 2016.

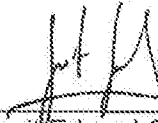


John Louis Parker
9 Moore Street
Roseville, New South Wales 2069 AU

WITNESS: 

Print name Kim Fuller

IN WITNESS WHEREOF, I have hereunto set my hand this 11th day of May, 2016.



Gerrit Eduard Gmel
Level 1, 407 Pacific Highway
Artarmon, New South Wales 2064 AU



WITNESS: _____

Print name Roopneet Gill
