

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

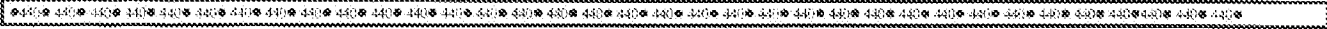
EPAS ID: PAT3883003

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>SEQUENCE:</b>	2
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
UNION CARBIDE CHEMICALS & PLASTICS TECHNOLOGY CORPORATION	07/17/2007
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	DOW GLOBAL TECHNOLOGIES LLC
<b>Street Address:</b>	2040 DOW CENTER
<b>City:</b>	MIDLAND
<b>State/Country:</b>	MICHIGAN
<b>Postal Code:</b>	48674
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	13624131
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(989)636-3237
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	9896331963
<b>Email:</b>	FGLMFRR@DOW.COM
<b>Correspondent Name:</b>	BARB STIEVE
<b>Address Line 1:</b>	2040 DOW CENTER
<b>Address Line 4:</b>	MIDLAND, MICHIGAN 48674
<b>ATTORNEY DOCKET NUMBER:</b>	64343C-US-CNT
<b>NAME OF SUBMITTER:</b>	BARB STIEVE
<b>SIGNATURE:</b>	/Barb Stieve/
<b>DATE SIGNED:</b>	05/20/2016
<b>Total Attachments: 7</b>	
source=64343C-US-CNT 20160520 UCC to DGTL#page1.tif	
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source=64343C-US-CNT 20160520 UCC to DGTL#page7.tif

This Assignment 440 is in the ENGLISH language and has the same number in other languages with the same 440 number.



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FORM 440

# ASSIGNMENT (with Priority Rights) and INVENTORSHIP DECLARATION

We, ASSIGNOR, of **UNION CARBIDE CHEMICALS & PLASTICS TECHNOLOGY CORPORATION**  
**2020 Dow Center**  
**Midland, Michigan 48674**  
**UNITED STATES OF AMERICA**

a corporation organized and existing under the laws of the State of Delaware, United States of America do hereby claim and declare that we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof from the sole, true and first inventor(s):

**Hwaii Soo, 116 East Ridge Road, Charleston, West Virginia 25314, United States of America; Albert C. Liu, 1534 Quarrier Street, Charleston, West Virginia 25311, United States of America**

of an invention relating to and entitled:

## ALKYLENE OXIDE CATALYST AND USE THEREOF

We do hereby declare, for good and valuable consideration Effective as of April 17, 2007 received, sell, assign, and transfer unto:

WE, the ASSIGNEE: **DOW GLOBAL TECHNOLOGIES INC.**  
**2040 Dow Center**  
**Midland, Michigan 48674**  
**UNITED STATES OF AMERICA**

a corporation organized and existing under the laws of the State of Delaware, United States of America our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make application for Letters Patent in its own name, or in the name of its nominee; and all rights and powers to file any reissue, divisional, continuing or substitute application and any corresponding applications in any and all countries, including all rights to claim priority under all International Conventions and Treaties in the name of said Assignee, and do hereby authorize said Assignee to insert here the Priority Number 60/792,712 and Filing Date April 18, 2006 in United States of America

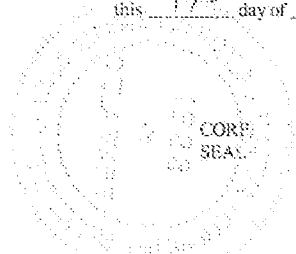
of the application on which the priority will be based; and in and to any Letters Patent that may be issued for said invention in any and all countries; and we do hereby agree to assist said Assignee in the prosecution of the said applications and in any interference, conflict, opposition or litigation which may arise involving said invention or the patent or patents thereon, and to execute any reissue, divisional, continuing or substitute application and assent to their making any applications in other countries corresponding to the above and below specifically identified application, as may be requested by said Assignee; and we do hereby authorize said Assignee to insert here the Corresponding Application No. \_\_\_\_\_ and Filing Date \_\_\_\_\_ in \_\_\_\_\_

of the corresponding application in any country where that patent property must be entered for recordal of this Assignment, and we do hereby authorize and request that any such Letters Patent be issued to said Assignee in accordance herewith, and consent to the recordal of this Assignment being under covenant, not only that we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Sworn by us at Midland, Michigan 48674, USA

this 17<sup>th</sup> day of July 2007

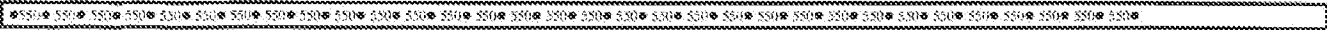
**UNION CARBIDE CHEMICALS & PLASTICS TECHNOLOGY CORPORATION**



By Charles J. Maurer III  
**CHARLES J. MAURER III**  
Secretary

Attach Notarial Certificate (Corporation)

This Notarial Certificate 550 is in the ENGLISH language and has the same meaning in other languages with the same 550 number.



This Notarial Certificate 550 is in the ENGLISH language and has the same meaning in other languages with the same 550 number.

FORM 550

### NOTARIAL CERTIFICATE (Corporation)

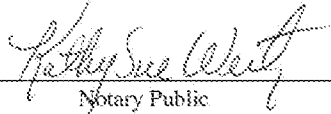
UNITED STATES OF AMERICA )  
STATE OF MICHIGAN ) SS  
County/Parish of Midland )

On this 17<sup>th</sup> day of July, 2007, personally appeared before me, **CHARLES J. MAURER III, Secretary**, known or identified to me to be the individual described in and who executed the foregoing document and acknowledged the same as a free act and deed for uses and purposes therein expressed by authority of the said corporation incorporated under the laws of the State of Delaware, United States of America.

I further certify that said authority, dated **September 17, 2001**, is based on an **Authorization Policy of the said Corporation**,

which I have seen, appointing the said individual **Attorney-in-fact** with full power to sign the foregoing document on behalf of the said corporation and that the purposes for which said document is granted are within the scope of the objects or activities of said corporation.

SEAL

  
\_\_\_\_\_  
Notary Public

*Kathy Sue Wertz*  
NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN  
MY COMMISSION EXPIRES APRIL 07, 2012

*km*

This Assignee Acceptance 660 is in the ENGLISH language and has the same meaning in other languages with the same 660 number.



This Assignee Acceptance 660 is in the ENGLISH language and has the same meaning in other languages with the same 660 number.

FORM 660

# ASSIGNEE ACCEPTANCE

WE, the ASSIGNEE: **DOW GLOBAL TECHNOLOGIES INC.**  
2040 Dow Center  
Midland, Michigan 48674  
UNITED STATES OF AMERICA

hereby accept the ASSIGNMENT attached hereto which is dated: *July 17, 2007*

From, the ASSIGNOR: **UNION CARBIDE CHEMICALS & PLASTICS TECHNOLOGY CORPORATION**  
2020 Dow Center  
Midland, Michigan 48674  
UNITED STATES OF AMERICA

of the invention entitled:

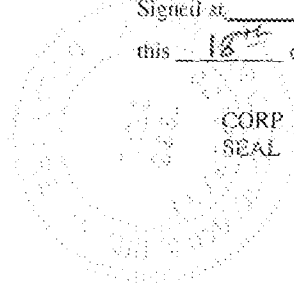
**ALKYLENE OXIDE CATALYST AND USE THEREOF**

without any restrictions and with all rights and obligations deriving therefrom and declare that this confirmation of acceptance shall be deemed a part of the said ASSIGNMENT.

Signed at Midland, Michigan 48674, USA

this 18<sup>th</sup> day of July, 2007

**DOW GLOBAL TECHNOLOGIES INC.**



By: *John B. Treangen*  
**JOHN B. TREANGEN**  
Authorized Representative

## NOTARIAL CERTIFICATE (Corporation)

UNITED STATES OF AMERICA )  
STATE OF MICHIGAN ) SS  
County/Parish of Midland )

On this 18<sup>th</sup> day of July, 2007, personally appeared before me, **JOHN B. TREANGEN, Authorized Representative,**

known or identified to me to be the individual described in and who executed the foregoing document and acknowledged the same as a free act and deed for uses and purposes therein expressed by authority of the said corporation incorporated under the laws of the **State of Delaware, United States of America.**

I further certify that said authority, dated **August 9, 2005**, is based on

**A delegation of signature authority of the said Corporation,**

which I have seen, appointing the said individual **Attorney-in-fact** with full power to sign the foregoing document on behalf of the said corporation and that the purposes for which said document is granted are within the scope of the objects or activities of said corporation.

SEAL

*Kathy Sue Wertz*  
Notary Public  
*Kathy Sue Wertz*  
NOTARY PUBLIC, MIDLAND COUNTY, MICHIGAN  
MY COMMISSION EXPIRES APRIL 07, 2012

# Delaware

PAGE 2

*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF CERTIFICATE OF FORMATION OF "DOW GLOBAL TECHNOLOGIES LLC" FILED IN THIS OFFICE ON THE TWENTY-THIRD DAY OF DECEMBER, A.D. 2010, AT 10:40 O'CLOCK A.M.

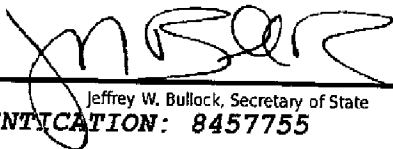
AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF FORMATION IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2010.

2332072 8100V

101227862

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 8457755

DATE: 12-29-10

PATENT  
REEL: 038659 FRAME: 0168

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 10:40 AM 12/23/2010  
FILED 10:40 AM 12/23/2010  
SRV 101227862 - 2332072 FILE

**Certificate of Formation**  
**of**  
**Dow Global Technologies LLC**

This Certificate of Formation of Dow Global Technologies LLC has been duly executed and is being filed by Noreen D. Warrick, as an authorized representative, to form a limited liability company under the Delaware Limited Liability Company Act (6 Del.C. §18-101, et seq.).

1. The name of the limited liability company is:  
  
Dow Global Technologies LLC
2. The address of the limited liability company's registered office in the State of Delaware is Corporation Trust Center, 1209 Orange Street, in the City of Wilmington, County of New Castle. The name of the limited liability company's registered agent for service of process in the State of Delaware at such address is the Corporation Trust Company.
3. The effective date for the formation of this limited liability company is December 31, 2010.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation of Dow Global Technologies LLC this 23<sup>rd</sup> day of December, 2010.



Noreen D. Warrick  
Authorized Representative

# Delaware

PAGE 1

*The First State*

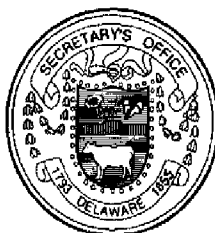
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION UNDER THE NAME OF "DOW GLOBAL TECHNOLOGIES INC." TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "DOW GLOBAL TECHNOLOGIES INC." TO "DOW GLOBAL TECHNOLOGIES LLC", FILED IN THIS OFFICE ON THE TWENTY-THIRD DAY OF DECEMBER, A.D. 2010, AT 10:40 O'CLOCK A.M.

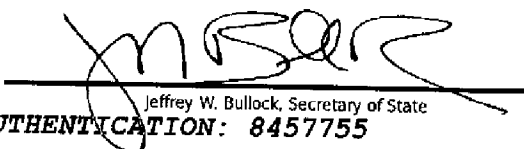
AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2010.

2332072 8100V

101227862

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 8457755

DATE: 12-29-10

PATENT  
REEL: 038659 FRAME: 0170



State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 10:40 AM 12/23/2010  
FILED 10:40 AM 12/23/2010  
SRV 101227862 - 2332072 FILE

**Certificate of Conversion  
of  
Dow Global Technologies Inc.  
(a Delaware corporation)  
to  
Dow Global Technologies LLC  
(a Delaware limited liability company)**

**Pursuant to Section 18-214 of the Limited Liability Company Act**

Dow Global Technologies Inc., a Delaware corporation (the "Corporation"), files this Certificate of Conversion (the "Certificate") and hereby certifies the following:

1. The jurisdiction where the Corporation was first formed is Delaware.
2. The jurisdiction immediately prior to filing this Certificate is Delaware.
3. The date the Corporation was first formed is April 6, 1993.
4. The name of the Corporation immediately prior to filing this Certificate is Dow Global Technologies Inc.
5. The name of the limited liability company as set forth in the Certificate of Formation is Dow Global Technologies LLC.
6. The future effective date of the conversion to a limited liability company is December 31, 2010.

IN WITNESS WHEREOF, the undersigned has executed this Certificate on the 23<sup>rd</sup> day of December, 2010.

Dow Global Technologies Inc.

By: Noreen D. Warrick  
Name: Noreen D. Warrick  
Title: Secretary