PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT3886505

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
CHARLES JOSEPH VADALA, JR.	01/07/2014
JEFFREY A. LAROSE	06/16/2015
JOHN RUDSER	06/15/2015
MICHAEL ASHENUGA	06/12/2015

RECEIVING PARTY DATA

Name:	HEARTWARE, INC.
Street Address:	14420 NW 60TH AVENUE
City:	MIAMI LAKES
State/Country:	FLORIDA
Postal Code:	33014

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14843529

CORRESPONDENCE DATA

Fax Number: (908)654-0415

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (908) 518-6335

Email: assignment@lernerdavid.com

Correspondent Name: LDLK&M

Address Line 1: 600 SOUTH AVENUE WEST

Address Line 4: WESTFIELD, NEW JERSEY 07090

ATTORNEY DOCKET NUMBER:	HEARTW 3.10-024 DIV II	
NAME OF SUBMITTER:	MELINDA C. CORMIER	
SIGNATURE:	/Melinda C. Cormier/	
DATE SIGNED:	05/24/2016	

Total Attachments: 4

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former PTO/SB/15 (8-00) Modified LDLK&M Docket Number (Optional) ASSIGNMENT OF UTILITY APPLICATION HEARTW 3.0-024 Whereas, I, Jeffrey A. LaRose 2641 N. Flamingo Road; Apt. 1804; Sunrise, Florida 33323 hereafter referred to as assignor, have with others invented certain new and useful improvements in CONTROLLER AND POWER SOURCE FOR IMPLANTABLE BLOOD PUMP X for which an application for a United States Letters Patent was filed on December 19, 2013 Application Number 14/133,905 for which an application for a United States Letters Patent was executed by me on HeartWare, Inc. Whereas, a corporation of Delaware herein referred to as "assignee" whose mailing address is 14420 NW 60th Avenue; Mlami Lakes, Florida 33014 is desirous of acquiring the entire right, title and interest in the same; NOW, THEREFORE, in consideration of the sum of \underline{one} dollars (\$ $\underline{1.00}$), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee. I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No.. 61/749,038, filed January 4, 2013, and in the invention disclosed thereto in all countries of the world including the right to claim priority or benefit of said Application No. 61/749,038 pursuant to any law or treaty in the countries of the And I do hereby authorize my attorneys to insert on this deed the filling date and application number of said application when known. (Signature) State of County of On this 16 day of 1000, 2015 before me personally came the above-named Jeffrey A. LaRose, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth. (Notary Public) MAGALI C. MARTINEZ Hotary Public - State of Florida Commission # FF 207176

> PATENT REEL: 038700 FRAME: 0096

Comm. Expires Mar 22, 2019 used through National Hotary Assn.

former PTO/SB/15 (8-00) Modified LDLK&M Docket Number (Optional) **ASSIGNMENT OF UTILITY APPLICATION HEARTW 3.0-024** Whereas, I. John Rudser 3301 NE 1st, Apt. M605; Miami, Florida 33137 hereafter referred to as assignor, have with others invented certain new and useful improvements in CONTROLLER AND POWER SOURCE FOR IMPLANTABLE BLOOD PUMP x for which an application for a United States Letters Patent was filed on December 19, 2013 Application Number 14/133,905 for which an application for a United States Letters Patent was executed by me on HeartWare, Inc. Whereas. a corporation of Delaware herein referred to as "assignee" whose mailing address is 14420 NW 60th Avenue; Miami Lakes, Florida 33014 is desirous of acquiring the entire right, title and interest in the same;), the receipt whereof NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00 is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee. I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No.. 61/749.038, filed January 4, 2013, and in the invention disclosed thereto in all countries of the world including the right to claim priority or benefit of said Application No. 61/749,038 pursuant to any law or treaty in the countries of the And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known. State of County of (Notary Public)

former PTO/SB/15 (8-00) Modified LDLK&M Docket Number (Optional) **ASSIGNMENT OF UTILITY APPLICATION HEARTW 3.0-024** Michael Ashenuga Whereas, I. 23 Rexhame St.; Roslindale, Massachusetts hereafter referred to as assignor, have with others invented certain new and useful improvements in CONTROLLER AND POWER SOURCE FOR IMPLANTABLE BLOOD PUMP x for which an application for a United States Letters Patent was filed on December 19, 2013 **Application Number** 14/133,905 for which an application for a United States Letters Patent was executed by me on HeartWare, Inc. Whereas, a corporation of Delaware herein referred to as "assignee" whose mailing address is 14420 NW 60th Avenue; Miami Lakes, Florida 33014 is desirous of acquiring the entire right, title and interest in the same; NOW, THEREFORE, in consideration of the sum of \underline{one} dollars (\$\frac{1.00}{.000}\$), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee. I, as assignor, hereby assign to said assignee all of my right, title and interest in United States Provisional Application No.. 61/749,038, filed January 4, 2013, and in the invention disclosed thereto in all countries of the world including the right to claim priority or benefit of said Application No. 61/749,038 pursuant to any law or treaty in the countries of the And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known. State of County of On this 12 day of \sqrt{une} , 2015 before me personally came the above-named Michael Ashenuga, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

(Notary Public)

ASSIGNMENT OF UTILITY APPLICATION	former PTO/SB/15 (8-00) Modified LDLK& Docket Number (Optional)
ASSIGNMENT OF UTILITY APPLICATION	HEARTW 3.0-024
Whereas, I, Charles Joseph Vadala, Jr.	of
26 Delano Park; Boston, Massachusetts 02131-422	VE
hereafter referred to as assignor, have invented certain new a	
CONTROLLER AND POWER SOURCE FOR IMPL	
x for which an application for a United States Letters Pa	atent was filed on December 19, 2013
Application Number 14/133,905	
for which an application for a United States Letters Patand	ent was executed by me on ,
Whereas, <u>HeartWare, Inc.</u> a corporation of <u>Delaware</u> herein referred to as "assignee" who	se mailing address is
14420 NW 60th Avenue; Miami Lakes, Florida 33014	·
is desirous of acquiring the entire right, title and interest in the	same;
NOW, THEREFORE, in consideration of the sum of one is acknowledged, and other good and valuable consideration, assignee the entire right, title and interest for the United Statinvention and the aforesaid utility patent application and all osubstitute or reissue applications and patents applied for or gall other countries, for said invention, including without limits claiming priority or benefit of the aforesaid utility application puclaim such priority or benefit and the Commissioner of Prequested to issue all patents on said improvements or resulting the entire interest therein; and the undersigned for me and magree and covenant without further remuneration, to execute such assignee or its assigns, to communicate to said assignee or it respecting said improvements, whenever requested, to testif which any of said applications or patents may become involved and to do generally everything necessary to aid assignee, its protection for said improvements in all countries, the expense by said assignee.	tes of America and all other countries in and to said original, divisional, continuation, continuation-in-part, granted therefor in the United States of America and ation all applications and patents for said invention arsuant to any law or treaty, and including the right to atents and Trademarks is hereby authorized and ing therefrom to said assignee herein, as assignee of y legal representatives, heirs and assigns do hereby ate and deliver all original, divisional, continuation, improvements and all assignments thereof to said as representatives all facts known to the undersigned by in any interferences or other legal proceedings in ed, to sign all lawful papers, make all rightful oaths, successors, assigns and nominees to obtain patent as incident to said applications to be borne and paid
And I do hereby authorize my attorneys to insert on this d application when known.	eed the filing date and application number of said
7 January 2014	Caul Nahl-1.
7 January 2014 (Date)	(Signature)
State of Florida) SS:	100
County of Highi-Dade)	
On this 7th day of Anuary, 2014 before Joseph Vadala, Jr., to me personally known as the indivacknowledged to me that the same was executed by him/her forth.	e me personally came the above-named <u>Charles</u> idual who executed the foregoing instrument, who of his/her own free will for the purposes therein set
Morphie C. Centero (Notary Public)	Notary Public - State of Florida Commission #EE058425 My Commission Expires March 20 2015 ENT

REEL: 038700 FRAME: 0099 RECORDED: 05/24/2016