

PATENT ASSIGNMENT COVER SHEET

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| SUBMISSION TYPE: | NEW ASSIGNMENT |
| NATURE OF CONVEYANCE: | ASSIGNMENT |
| CONVEYING PARTY DATA | |
| Name | Execution Date |
| KYRIACOS C NICOLAOU | 04/04/2016 |
| MIN LU | 04/02/2016 |
| DEBASHIS MANDAL | 04/11/2016 |
| RECEIVING PARTY DATA | |
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| City: | LA JOLLA |
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| Postal Code: | 92037 |
| PROPERTY NUMBERS Total: 1 | |
| Property Type | Number |
| Application Number: | 14911881 |
| CORRESPONDENCE DATA | |
| Fax Number: | (512)334-2999 |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | |
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| Email: | mburke@phiplaw.com |
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| ATTORNEY DOCKET NUMBER: | RICE.P0003US - NICOLAOU |
| NAME OF SUBMITTER: | STEVEN L HIGHLANDER |
| SIGNATURE: | /Steven L Highlander/ |

| | |
|---|------------|
| DATE SIGNED: | 05/24/2016 |
| Total Attachments: 4 source=RICE.P0003US US Signed Assignment for NICOLAOU_LU_MANDAL#page1.tif source=RICE.P0003US US Signed Assignment for NICOLAOU_LU_MANDAL#page2.tif source=RICE.P0003US US Signed Assignment for NICOLAOU_LU_MANDAL#page3.tif source=RICE.P0003US US Signed Assignment for NICOLAOU_LU_MANDAL#page4.tif | |

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to WILLIAM MARSH RICE UNIVERSITY (the "Assignee"), having a place of business at 6100 Main Street, Houston, TX 77005-1827, and THE SCRIPPS RESEARCH INSITUTE, (the "Assignee"), having a place of business at 10550 North Torrey Pines Road, La Jolla, CA 92037, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. **14/911,881**, filed on February 12, 2016 and is entitled "DERIVATIVES OF UNCIALAMYCIN, METHODS OF SYNTHESIS AND THEIR USE AS ANTITUMOR AGENTS," which is as a national phase application under 35 U.S.C. § 371 of International Application No. PCT/US2014/051127 filed on August 14, 2014, which claims priority to Provisional Application No. 61/865,896, filed August 14, 2013; Provisional Application No. 61/868,783 filed August 22, 2013; and Provisional Application No. 61/937,003 filed February 7, 2014, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

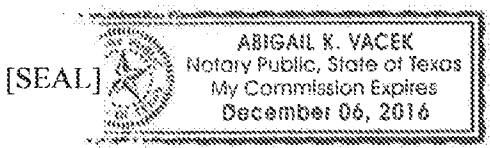
TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

The assignment of said invention is effective as of the earlier of (1) the date of execution shown below or (2) the filing date of the first filed of said patent applications.

Signature: KC Nicolaou Date: 4/4/2016
Name: Kyriacos C. NICOLAOU

STATE OF Texas
COUNTY OF Harris

BEFORE ME, the undersigned authority, on this 4 day of April, 2016 personally appeared Kryiacos C. NICOLAOU, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.



Abigail Vacek
Notary or Consular Officer

Signature: _____ Date: _____
Name: Min LU

STATE OF _____
COUNTY OF _____

BEFORE ME, the undersigned authority, on this ____ day of _____, 2016 personally appeared Min LU, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL] _____
Notary or Consular Officer

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

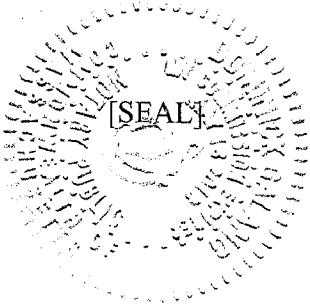
The assignment of said invention is effective as of the earlier of (1) the date of execution shown below or (2) the filing date of the first filed of said patent applications.

Signature: _____
Name: Kyriacos C. NICOLAOU

Date: _____

STATE OF _____
COUNTY OF _____

BEFORE ME, the undersigned authority, on this ____ day of _____, 2016 personally appeared Kyriacos C. NICOLAOU, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.



Notary or Consular Officer

Signature: _____
Name: Min LU

Date: 04/02/2016

STATE OF MA
COUNTY OF NORFOLK

BEFORE ME, the undersigned authority, on this 2nd day of April, 2016 personally appeared Min LU, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

Notary or Consular Officer

[SEAL]



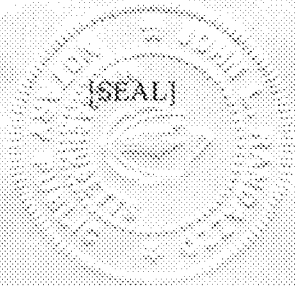
DOMINICK GALANG
NOTARY PUBLIC
COMMONWEALTH OF MASSACHUSETTS
MY COMMISSION EXPIRES
MAY 18, 2018

Signature: Debashis Mandal
Name: Debashis MANDAL

Date: 04/11/2016

STATE OF Massachusetts
COUNTY OF Middlesex

BEFORE ME, the undersigned authority, on this 11 day of APRIL, 2016 personally appeared Debashis MANDAL, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.



John L. Randazzo
Notary or Consular Officer

JOHN L. RANDAZZO
NOTARY PUBLIC
My commission expires Apr. 7, 2017

PARKER HIGHLANDER PLLC
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Austin, TX 78746