

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3873178

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the NAME OF INVENTOR: ROBERT A. SCHNEIDER previously recorded on Reel 038552 Frame 0815. Assignor(s) hereby confirms the NAME OF INVENTOR: ROBERT A. SCHNEIDER.
CONVEYING PARTY DATA	
Name	Execution Date
CLARK T.-C. NGUYEN	05/11/2016
ROBERT A. SCHNEIDER	03/24/2016
RECEIVING PARTY DATA	
Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Street Address:	1111 FRANKLIN STREET
Internal Address:	12TH FL.
City:	OAKLAND
State/Country:	CALIFORNIA
Postal Code:	94607-5200
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15073383
CORRESPONDENCE DATA	
Fax Number:	(916)498-1074
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	916-498-1010
Email:	sek@intellectual.com
Correspondent Name:	STEVEN E KENNEDY
Address Line 1:	400 CAPITOL MALL
Address Line 2:	SUITE 1550
Address Line 4:	SACRAMENTO, CALIFORNIA 95814
ATTORNEY DOCKET NUMBER:	BK-2014-057-2-US
NAME OF SUBMITTER:	STEVEN E. KENNEDY
SIGNATURE:	/STEVEN E. KENNEDY/
DATE SIGNED:	05/13/2016
Total Attachments: 7	

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PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3869023

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
CLARK T.-C. NGUYEN	05/11/2016
ROBERT A/ SCHNEIDER	03/24/2016
RECEIVING PARTY DATA	
Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Street Address:	1111 FRANKLIN STREET
Internal Address:	12TH FL.
City:	OAKLAND
State/Country:	CALIFORNIA
Postal Code:	94607-5200
PROPERTY NUMBERS Total: 1	
Property Type	Number
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Correspondent Name:	STEVEN E KENNEDY
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Address Line 2:	SUITE 1550
Address Line 4:	SACRAMENTO, CALIFORNIA 95814
ATTORNEY DOCKET NUMBER:	BK-2014-057-2-US
NAME OF SUBMITTER:	STEVEN E. KENNEDY
SIGNATURE:	/STEVEN E. KENNEDY/
DATE SIGNED:	05/11/2016
Total Attachments: 3	
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ASSIGNMENT

U.C. Case No. BK-2014-057-2-US

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. CLARK T.-C. NGUYEN 2. ROBERT A. SCHNEIDER

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Fl., Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the UCB Case No. BK-2014-057-2-US entitled:

TUNABLE Q RESONATOR

and which is found in

- (a) ____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) ____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) ☒ U.S. application serial no. 15/073,383, filed on March 17, 2016.
- (d) ____ U.S. Patent No.: _____, issued _____.
- (e) ____ International Patent Application Serial No. _____, filed on _____.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Executed this:

11 day of May, 2016

Signature of Inventor(s)



CLARK T.-C. NGUYEN

Executed this:

____ day of _____, 2016

Signature of Inventor(s)

ROBERT A. SCHNEIDER

Hereby accepted on behalf of the Assignee:

By:



Date:

5/11/2016

Name: Steven Kennedy

O'BANION & RITCHEY LLP

Executed this:

____ day of _____, 2016

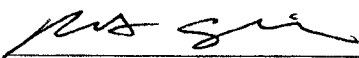
Signature of Inventor(s)

CLARK T.-C. NGUYEN

Executed this:


24th day of March, 2016

Signature of Inventor(s)


ROBERT A. SCHNEIDER

Hereby accepted on behalf of the Assignee:

By:



Date:

5/11/2016

Name: Steven Kennedy

O'BANION & RITCHEY LLP