## 503825162 05/13/2016

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3871812

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the SPELLING OF THE CITY OF THE SECOND ASSIGNEE, YHONGIN-SI, GYEONGGI-DO previously recorded on Reel 038089 Frame 0726. Assignor(s) hereby confirms the CORRECT SPELLING OF THE CITY OF THE SECOND ASSIGNEE IS YONGIN-SI, GYEONGGI-DO.

## **CONVEYING PARTY DATA**

Name	Execution Date
JEEEUN YANG	03/23/2016
HEECHUL JUNG	03/23/2016
JINSOO MUN	03/23/2016

## **RECEIVING PARTY DATA**

Name:	SAMSUNG ELECTRONICS CO., LTD.
Street Address:	129, SAMSUNG-RO, YEONGTONG-GU
City:	SUWON-SI, GYEONGGI-DO
State/Country:	KOREA, REPUBLIC OF
Postal Code:	16677
Name:	SAMSUNG SDI CO., LTD.
Street Address:	150-20, GONGSE-RO, GIHEUNG-GU
City:	YONGIN-SI, GYEONGGI-DO
State/Country:	KOREA, REPUBLIC OF

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14985544

## **CORRESPONDENCE DATA**

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Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 860-286-2929

**Email:** usptopatentmail@cantorcolburn.com

Correspondent Name: CANTOR COLBURN, LLC

Address Line 1: 20 CHURCH STREET, 22ND FLOOR Address Line 4: HARTFORD, CONNECTICUT 06103

ATTORNEY DOCKET NUMBER:	YPL1684US
NAME OF SUBMITTER:	JAMES J. MERRICK

PATENT 503825162 REEL: 038745 FRAME: 0110

SIGNATURE:	/JAMES J. MERRICK/
DATE SIGNED:	05/13/2016
	This document serves as an Oath/Declaration (37 CFR 1.63).
Total Attachments: 5	•

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**PATENT REEL: 038745 FRAME: 0111** 

#### 503751680 03/24/2016

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT3798326

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
JEEEUN YANG	03/23/2016
HEECHUL JUNG	03/23/2016
JINSOO MUN	03/23/2016

## **RECEIVING PARTY DATA**

SAMSUNG ELECTRONICS CO., LTD.
129, SAMSUNG-RO, YEONGTONG-GU
SUWON-SI, GYEONGGI-DO
KOREA, REPUBLIC OF
16677
SAMSUNG SDI CO., LTD.
150-20, GONGSE-RO
YHONGIN-SI, GYEONGGI-DO
KOREA, REPUBLIC OF

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14985544

### CORRESPONDENCE DATA

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**Correspondent Name:** CANTOR COLBURN, LLC

Address Line 1: 20 CHURCH STREET, 22ND FLOOR Address Line 4: HARTFORD, CONNECTICUT 06103

ATTORNEY DOCKET NUMBER:	YPL1684US
NAME OF SUBMITTER:	JAMES J. MERRICK
SIGNATURE:	/JAMES J. MERRICK/
DATE SIGNED:	03/24/2016

**PATENT REEL: 038745 FRAME: 0112** 

#### DECLARATION AND ASSIGNMENT

Title of Invention: COMPOSITE ANODE ACTIVE MATERIAL, METHOD OF PREPARING THE SAME, AND ANODE AND LITHIUM SECONDARY BATTERY INCLUDING THE COMPOSITE ANODE ACTIVE MATERIAL

As a below named inventor, I hereby declare that:

This declaration is directed to:

[X] the attached application (Attorney Docket Number YPL1684US), or
[ ] United States application or PCT international application number filed on

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, each undersigned inventor has made certain inventions, improvements, and discoveries (herein referred to as the "INVENTION") disclosed in the above-identified patent application (herein referred to as the ("APPLICATION");

Whereas, Samsung Electronics Co., Ltd., a corporation of Country of Korea having a place of business at 129, Samsung-ro, Yeongtong-gu, Suwon-si, Gyeonggi-do, 16677, Republic of Korea and Samsung SDI Co., Ltd., a corporation of Country of Korea having a place of business at 150-20, Gongse-ro, Giheung-gu, Yongin-si, Gyeonggi-do, Republic of Korea (herein referred to as "ASSIGNEE"), desires to acquire, and each undersigned inventor desires to grant to ASSIGNEE, the entire worldwide right, title, and interest in and to the INVENTION and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, each undersigned inventor (herein referred to ASSIGNOR") hereby sells or has sold, assigns or has assigned, and otherwise transfers or has transferred to the ASSIGNEE, its successors, legal representatives, and assigns, the entire worldwide right, title, and interest in and to the INVENTION, the APPLICATION, and any and all other patent applications and patents for the INVENTION which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, continuations-in-part, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, each undersigned inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the INVENTION, to ASSIGNEE, its successors, legal representatives, and assigns,

PATENT REEL: 038745 FRAME: 0113

## YPL1684US

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the entire worldwide right, title, and interest in and to the same to be held and enjoyed by ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made; and each undersigned inventor agrees to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the INVENTION, the APPLICATION, and all related patents and applications, in ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by ASSIGNEE, its successors, legal representatives, and assigns.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Legal Name of I	nventor: Jeeeun	YANG		
Signature:	my	Lare	_Date:_	2016.03.23
Legal Name of I	nventor: <b>Heechi</b> Hæchul Jaj		Date:	2016.03.23
Legal Name of I	nventor: Jinsoo l			
Signature:	JM510	Hun	 _Date:_	2016.03.23

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