

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3895898

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JOERG HOFMANN	02/09/2016
HANS-GEORG PIRKL	02/16/2016
KAI LAEMMERHOLD	02/12/2016
HARTMUT NEFZGER	02/18/2016
MATTHIAS WOHAKE	02/09/2016
STEFANIE BRAUN	02/16/2016
CHRISTOPH GUERTLER	02/18/2016
AUREL WOLF	02/18/2016
JENS LANGANKE	02/24/2016
RECEIVING PARTY DATA	
Name:	COVESTRO DEUTSCHLAND AG
Street Address:	KAISER-WILHELM-ALLEE 60
City:	LEVERKUSEN
State/Country:	GERMANY
Postal Code:	51373
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15030523
CORRESPONDENCE DATA	
Fax Number:	(302)467-4200
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	302-467-4200
Email:	Docketing-wm@dbr.com
Correspondent Name:	DRINKER BIDDLE & REATH LLP (WM)
Address Line 1:	222 DELAWARE AVENUE, STE. 1410
Address Line 4:	WILMINGTON, DELAWARE 19801-1621
ATTORNEY DOCKET NUMBER:	074023-0676-US-541518
NAME OF SUBMITTER:	CLAUDIA SCHULTZE

SIGNATURE:	/CLAUDIA SCHULTZE/
DATE SIGNED:	05/31/2016
Total Attachments: 6 source=Assignment_with_Declaration#page1.tif source=Assignment_with_Declaration#page2.tif source=Assignment_with_Declaration#page3.tif source=Assignment_with_Declaration#page4.tif source=Assignment_with_Declaration#page5.tif source=Assignment_with_Declaration#page6.tif	

ASSIGNMENT WITH DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)**ASSIGNMENT**

Whereas, I/We, the undersigned inventor(s) hereinafter called assignor(s), have invented certain improvements described in the application identified below, and

Whereas, I COVESTRO DEUTSCHLAND AG of 1. Kaiser-Wilhelm-Allee 60, 51373 Leverkusen, DE, (assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor,

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors, legal representatives, and assigns, my/our entire right, title and interest, if any, in the invention and the application for the United States of America, including all direct and indirect divisions, continuations, and continuations-in-part thereof, and all original, extended, reissued, reviewed, and reexamined Letters Patent of the United States, and all countries foreign thereto, that may be granted thereon, including rights of priority under the International Convention of Paris (1883) as amended, including the right to claim priority under 35 U.S.C. §119, and I/we request the Director of the U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns, and I/we hereby agree that the assignee may apply for foreign Letters Patent on the invention and I/we will without further consideration, communicate all facts known to me/us and execute without further consideration all papers deemed necessary by the assignee in connection with the United States and foreign applications when called upon to do so by the assignee, its successors, legal representatives, or assigns. I/We further represent and warrant that I/We have the full right to convey the interest assigned by this assignment, and that I/We have not granted any rights inconsistent with the rights granted herein. I/We further acknowledge an obligation of assignment of this invention to assignee at the time the invention was made (Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

DECLARATION

As a below named inventor, I hereby declare that this assignment with declaration is directed to.

☐ The attached application, OR ☒ United States Application or PCT International Application
Number PCT/EP2014/072389 filed on 2014-10-20 (Confirmation No
_____)

PRE-AIA

My residence, mailing address, and citizenship are as stated below next to my name

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention titled

METHOD FOR PRODUCING POLYETHER CARBONATE POLYOLS

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon

POST-AIA

The above identified application was made or was authorized to be made by me.

I believe I am the original inventor or an original joint inventor of a claimed invention in the application

I hereby acknowledge that any willful false statement made in this assignment with declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both

Authorization To Permit Access To Application by Participating Office

☐ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified patent application-as-filed, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application, and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Office.

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

1. Dr. Jörg HOFMANN
47800 Krefeld, DE
Paul-Schütz-Straße 35, 47800 Krefeld, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

2. Dr. Hans-Georg PIRKL
51377 Leverkusen, DE
Bernhard-Lichtenberg-Str. 11, 51377 Leverkusen, DE
DE
Hans-Georg Pirkel 2016-02-16

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

3. Dr. Kai LAEMMERHOLD
Shanghai, 200031, CN
555 NanChang Road, Building No 2, Apt 901, Shanghai, 200031, CN
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

4. Dr. Hartmut NEFZGER
50259 Pulheim, DE
Zu den Fußfällen 24, 50259 Pulheim, DE
DE
Hartmut Nefzger 2016-02-18

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

5. Dr. Matthias WOHAK
41541 Dormagen, DE
Museumstraße 7, 41541 Dormagen, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

6. Stefanie BRAUN
51069 Köln, DE
An der Burg 12, 51069 Köln, DE
DE
Stefanie Braun 2016-02-16

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

7. Dr. Christoph GÜRTLER
50735 Köln, DE
Am Botanischen Garten 42, 50735 Köln, DE
DE
Christoph Gürtler 2016-02-18

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

8. Dr. Aurel WOLF
42489 Wülfrath, DE
Veilchenweg 18, 42489 Wülfrath, DE
DE
Aurel Wolf 2016-02-18

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

9. Dr. Jens LANGANKE
53894 Mechernich, DE
An der Rodung 2, 53894 Mechernich, DE
DE
Jens Langanke 2016-02-24

ASSIGNMENT WITH DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)**ASSIGNMENT**

Whereas, I/We, the undersigned inventor(s) hereinafter called assignor(s), have invented certain improvements described in the application identified below, and

Whereas, I COVESTRO DEUTSCHLAND AG of 1 Kaiser-Wilhelm-Allee 60, 51373 Leverkusen, DE, (assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor;

Now therefore, for valuable consideration receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee its successors legal representatives, and assigns, my/our entire right, title and interest, if any, in the invention and the application for the United States of America, including all direct and indirect divisions continuations, and continuations-in-part thereof, and all original, extended, reissued, reviewed, and reexamined Letters Patent of the United States, and all countries foreign thereto, that may be granted thereon, including rights of priority under the International Convention of Paris (1883) as amended, including the right to claim priority under 35 U.S.C. §119, and I/we request the Director of the U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns, and I/we hereby agree that the assignee may apply for foreign Letters Patent on the invention and I/we will without further consideration, communicate all facts known to me/us and execute without further consideration all papers deemed necessary by the assignee in connection with the United States and foreign applications when called upon to do so by the assignee, its successors, legal representatives, or assigns. I/We further represent and warrant that I/We have the full right to convey the interest assigned by this assignment, and that I/We have not granted any rights inconsistent with the rights granted herein. I/We further acknowledge an obligation of assignment of this invention to assignee at the time the invention was made.

(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

DECLARATION

As a below named inventor, I hereby declare that this assignment with declaration is directed to.

☐ The attached application, OR ☒ United States Application or PCT International Application
Number PCT/EP2014/072389 filed on 2014-10-20 (Confirmation No
_____)

PRE-AIA

My residence, mailing address, and citizenship are as stated below next to my name

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention titled

METHOD FOR PRODUCING POLYETHER CARBONATE POLYOLS

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon

POST-AIA

The above identified application was made or was authorized to be made by me

I believe I am the original inventor or an original joint inventor of a claimed invention in the application

I hereby acknowledge that any willful false statement made in this assignment with declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years or both

Authorization To Permit Access To Application by Participating Office

☐ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, IPO, KIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified patent application-as-filed, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application, and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Office.

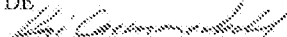
FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

1. Dr. Jörg HOFMANN
47800 Krefeld, DE
Paul-Schütz-Straße 35, 47800 Krefeld, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

2. Dr. Hans-Georg PIRKL
51377 Leverkusen, DE
Bernhard-Lichtenberg-Str. 11, 51377 Leverkusen, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

3. Dr. Kai LAEMMERHOLD
Shanghai, 200031, CN
555 NanChang Road, Building No 2, Apt 901, Shanghai, 200031, CN
DE
 12.2.16

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

4. Dr. Hartmut NEFZGER
50259 Pulheim, DE
Zu den Fußgillen 24, 50259 Pulheim, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

5. Dr. Matthias WOHAK
41541 Dormagen, DE
Museumstraße 7, 41541 Dormagen, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

6. Stefanie BRAUN
51069 Köln, DE
An der Burg 12, 51069 Köln, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

7. Dr. Christoph GÜRTLER
50735 Köln, DE
Am Botanischen Garten 42, 50735 Köln, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

8. Dr. Aurel WOLF
42489 Wülfrath, DE
Veilchenweg 18, 42489 Wülfrath, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

9. Dr. Jens LANGANKE
53894 Mechernich, DE
An der Rodung 2, 53894 Mechernich, DE
DE

ASSIGNMENT WITH DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)**ASSIGNMENT**

Whereas, I/We, the undersigned inventor(s) hereinafter called assignor(s), have invented certain improvements described in the application identified below, and

Whereas, I COVESTRO DEUTSCHLAND AG of 1. Kaiser-Wilhelm-Allee 60, 51373 Leverkusen, DE, (assignee), desires to acquire the entire right, title, and interest in the application and invention, and to any United States patents to be obtained therefor,

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors, legal representatives, and assigns, my/our entire right, title and interest, if any, in the invention and the application for the United States of America, including all direct and indirect divisions, continuations, and continuations-in-part thereof, and all original, extended, reissued, reviewed, and reexamined Letters Patent of the United States, and all countries foreign thereto, that may be granted thereon, including rights of priority under the International Convention of Paris (1883) as amended, including the right to claim priority under 35 U S C § 119, and I/we request the Director of the U S Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns, and I/we hereby agree that the assignee may apply for foreign Letters Patent on the invention and I/we will without further consideration, communicate all facts know to me/us and execute without further consideration all papers deemed necessary by the assignee in connection with the United States and foreign applications when called upon to do so by the assignee, its successors, legal representatives, or assigns. I/We further represent and warrant that I/We have the full right to convey the interest assigned by this assignment, and that I/We have not granted any rights inconsistent with the rights granted herein. I/We further acknowledge an obligation of assignment of this invention to assignee at the time the invention was made

(Legalization not required for recording but is prima facie evidence of execution under 35 U S C §261)

DECLARATION

As a below named inventor, I hereby declare that this assignment with declaration is directed to

☐ The attached application, OR ☒ United States Application or PCT International Application
Number PCT/EP2014/072389 filed on 2014-10-20 (Confirmation No
_____)

PRE-AIA

My residence, mailing address, and citizenship are as stated below next to my name

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention titled

METHOD FOR PRODUCING POLYETHER CARBONATE POLYOLS

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POST-AIA

The above identified application was made or was authorized to be made by me

I believe I am the original inventor or an original joint inventor of a claimed invention in the application

I hereby acknowledge that any willful false statement made in this assignment with declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both

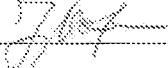
Authorization To Permit Access To Application by Participating Office

☐ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified application is filed to have access to the application

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the application-as-filed with respect to: 1) the above-identified patent application-as-filed, 2) any foreign application to which the above-identified application claims priority under 35 USC 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the above-identified patent application, and 3) any U.S. application-as-filed from which benefit is sought in the above-identified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Office.

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

1. Dr. Jörg HOFMANN
47800 Krefeld, DE
Paul-Schütz-Straße 35, 47800 Krefeld, DE
DE  2016-02-03

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

2. Dr. Hans-Georg PIRKL
51377 Leverkusen, DE
Bernhard-Lichtenberg-Str. 11, 51377 Leverkusen, DE
DE

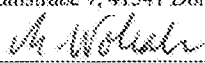
FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

3. Dr. Kai LAEMMERHOLD
Shanghai, 200031, CN
555 NanChang Road, Building No 2, Apt 901, Shanghai, 200031, CN
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

4. Dr. Hartmut NEFZGER
50259 Pulheim, DE
Zu den Fußfällen 24, 50259 Pulheim, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

5. Dr. Matthias WOHAK
41541 Dormagen, DE
Museumstraße 7, 41541 Dormagen, DE
DE  2016-02-09

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

6. Stefanie BRAUN
51069 Köln, DE
An der Burg 12, 51069 Köln, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

7. Dr. Christoph GÜRTLER
50735 Köln, DE
Am Botanischen Garten 42, 50735 Köln, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

8. Dr. Aurel WOLF
42489 Wülfrath, DE
Veilchenweg 18, 42489 Wülfrath, DE
DE

FULL NAME OF INVENTOR:
RESIDENCE:
POST OFFICE ADDRESS:
CITIZENSHIP:
SIGNATURE / DATE

9. Dr. Jens LANGANKE
53894 Mechernich, DE
An der Rodung 2, 53894 Mechernich, DE
DE