

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT3897496

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MR SCOTT C. STRATTAN	04/27/2016
MR PETER F. LAWSON	05/31/2016
MR SEAN A. CAIN	04/25/2016
RECEIVING PARTY DATA	
Name:	BAKER HUGHES INCORPORATED
Street Address:	2929 ALLEN PARKWAY
Internal Address:	SUITE 2100
City:	HOUSTON
State/Country:	TEXAS
Postal Code:	77019
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15170141
CORRESPONDENCE DATA	
Fax Number:	(713)222-3287
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	713-223-2300
Email:	james.bradley@bracewelllaw.com
Correspondent Name:	BRACEWELL LLP
Address Line 1:	P.O. BOX 61389
Address Line 4:	HOUSTON, TEXAS 77208-1389
ATTORNEY DOCKET NUMBER:	MOT4-59063(045724)
NAME OF SUBMITTER:	JAMES E. BRADLEY
SIGNATURE:	/James E. Bradley/
DATE SIGNED:	06/01/2016
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 7	
source=assignment#page1.tif	

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MOT4-59063(045724)

Signal Bypass Routed Through a Motor of an Electrical Submersible Pump

COMBINED DECLARATION AND GLOBAL ASSIGNMENT
FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

SIGNAL BYPASS ROUTED THROUGH A MOTOR
OF AN ELECTRICAL SUBMERSIBLE PUMP

- ☒ the specification of which is attached hereto OR
- ☐ United States application or PCT international application number _____ filed on _____, as amended on [date] (if applicable).
(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between Baker Hughes Incorporated, a Delaware corporation, and our employers), and/or for other good and valuable consideration of which we acknowledge receipt, we,

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
Scott	C.	Strattan	of	Broken Arrow	OK	US
Peter	F.	Lawson	of	Tulsa	OK	US
Sean	A.	Cain	of	Tulsa	OK	US

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Signal Bypass Routed Through a Motor of an Electrical Submersible Pump

hereby sell and assign to:

Name of Company: <i>Baker Hughes Incorporated</i>
Entity Type (optional): <i>Delaware Corporation</i>
Address: <i>2929 Allen Parkway, Suite 2100, Houston, TX 77019 USA</i>

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made and conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

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Signal Bypass Routed Through a Motor of an Electrical Submersible Pump

INVENTOR 1

Signature: _____
Inventor Name: **Scott C. Strattan**

Date: _____

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Scott C. Strattan

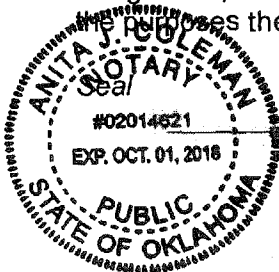
Date: 4/27/16

Inventor Name: **Scott C. Strattan**

STATE OF OKLAHOMA

COUNTY OF ROGERS SS.

This 27th day of April, 2016 before me personally came the above-named **Scott C. Strattan**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.



Anita J. Coleman
Com Exp. Oct 1, 2018 #02014621 (Notary Public)

MOT4-59063(045724)

Signal Bypass Routed Through a Motor of an Electrical Submersible Pump

INVENTOR 2

Signature: _____

Date: _____

Inventor Name: **Peter F. Lawson**

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

Witnessed by: _____

Date: _____

Signature

Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Inventor Name: **Peter F. Lawson**

Date: _____

STATE OF OKLAHOMA

ss.

COUNTY OF _____

This _____ day of _____, _____ before me personally came the above-named **Peter F. Lawson**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY
OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)**

Title of Invention	Signal Bypass Routed Through a Motor of an Electrical Submersible Pump		
This statement is directed to:			
<input checked="" type="checkbox"/> The attached application,			
OR			
<input type="checkbox"/> United States application or PCT international application number _____ filed on _____			
LEGAL NAME of inventor to whom this substitute statement applies:			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
Peter F. Lawson			
Residence (except for a deceased or legally incapacitated inventor):			
City Tulsa	State OK	Country US	
Mailing Address (except for a deceased or legally incapacitated inventor):			
2225 E. 23rd Street			
City Tulsa	State OK	Zip 74114	Country US
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.			
The above-identified application was made or authorized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only),			
<input type="checkbox"/> Assignee,			
<input checked="" type="checkbox"/> Person to whom the inventor is under an obligation to assign,			
<input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or			
<input type="checkbox"/> Joint Inventor.			

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This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT
REEL: 038763 FRAME: 0007

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- ☐ Inventor is deceased,
- ☐ Inventor is under legal incapacity,
- ☐ Inventor cannot be found or reached after diligent effort, or
- ☒ Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- ☒ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.


OR

- ☐ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(e) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: J. Al Riddle	Date (Optional):
Signature: 	

APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

If the applicant is a juristic entity, list the applicant name and the title of the signer.

Baker Hughes Incorporated

Applicant Name:

Title of Person Executing
This Substitute Statement: **Vice-President Legal, Products and Technology**

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City	State	Country
Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)		
City	State	Zip
Country		

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

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Signal Bypass Routed Through a Motor of an Electrical Submersible Pump

INVENTOR 3

Signature: *Sean A. Cain*
Inventor Name: **Sean A. Cain**

Date: 4/25/16

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Sean A. Cain

Date: 4/25/16

Inventor Name: **Sean A. Cain**

STATE OF OKLAHOMA

COUNTY OF ROGERS

SS.

This 25th day of April, 2016 before me personally came the above-named **Sean A. Cain**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.



Anita J. Coleman
Com Exp. Oct 1, 2018 #02014621 (Notary Public)