#### 503852100 06/02/2016

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
GIOVANNI MAGISTRELLI	05/03/2016
PAULINE MALINGE	04/29/2016
YVES POITEVIN	04/28/2016
NICOLAS FISCHER	05/02/2016

## **RECEIVING PARTY DATA**

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State/Country:	SWITZERLAND	
Postal Code:	1228	

# **PROPERTY NUMBERS Total: 2**

Property Type	Number
Application Number:	15086736
PCT Number:	EP2016057147

# **CORRESPONDENCE DATA**

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Phone: 617-937-2388 Email: ttroup@cooley.com **COOLEY LLP Correspondent Name:** 

Address Line 1: 1299 PENNSYLVANIA AVENUE NW

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ATTORNEY DOCKET NUMBER: NOVI-039/001US JENNIFER A. KARNAKIS NAME OF SUBMITTER: **SIGNATURE:** /Jennifer A. Karnakis/ **DATE SIGNED:** 06/02/2016

**PATENT REEL: 038771 FRAME: 0868** 503852100

# Total Attachments: 8 source=NOVI-039001US 322145-2617 - Executed Assignment#page1.tif

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#### ASSIGNMENT

Giovanni Magistrelli, Pauline Malinge, Yves Poitevin, and Nicolas Fischer (each referred to as "Assignor") have made an invention(s) (the "Invention(s)") set forth in an application for patent of the United States, entitled METHOD FOR OPTIMIZING THE ASSEMBLY AND PRODUCTION OF HETERONULTIMERIC PROTEIN COMPLEXES, and which is a:

(1)	provisional application  (a) to be filed herewith; or  (b) bearing Application No., and filed on
(2)	<ul> <li>⊠ non-provisional application</li> <li>(a) ☐ to be filed herewith; or</li> <li>(b) ☑ bearing Application No. 15/086,736, and filed on March 31, 2016; and/or</li> </ul>
(3)	<ul> <li>         \overline{\text{PCT application}}         \overline{\text{ application No. PCT/EP2016/057147}}, and filed on March 31, 2016.     </li> </ul>

WHEREAS, NovImmune SA, a corporation having its principal place of business at 14 Ch. Des Aulx, 1228 Plan-Les-Ouates, 1228 Geneva 4, Switzerland its successors, legal representatives and assigns, (the "Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention(s); the application(s) for patent identified above; the right to file applications for patent of the United States or other countries on the Invention(s); any application(s) for patent of the United States or other countries claiming priority to, and/or the benefit of, these applications; any provisional or other right to recover any and all past, present, and future damages, including royalties, for any and all past, present, and future infringements of these application(s); and any and all patent(s) of the United States or other countries that may be granted therefor or thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee or a predecessor in interest of the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee or a predecessor in interest of the Assignee then in confirmation of any obligation to do so in said prior agreement, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the Assignor's entire right, title, and interest in:

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- (a) the Invention(s);
- (b) the application(s) for patent identified above;
- (c) the right to file applications for patent of the United States or other countries on the Invention(s), including all rights under the Hague Convention, the Paris Convention for the Protection of Industrial Property, and under the Patent Cooperation Treaty, and all rights of claiming priority in any country of the world:
- (d) any application(s) for patent of the United States or other countries claiming the Invention(s);
- (e) any application(s) for patent of the United States or other countries claiming priority to, and/or the benefit of, at least one of the application(s) for patent identified above or any application(s) for patent claiming the Invention(s), including any substitute application(s), division(s), continuation(s), and continuation(s)-in-part;
- (f) any provisional or other right to recover any and all past, present, and future damages, including royalties, for any and all past, present, and future infringements of any application for patent identified in the preceding paragraphs (b)-(e) and of any and all patent(s) granted based thereon in the United States and in all other countries; and
- (g) any patent(s) of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b)-(e), including any reissue(s), reexamination(s), revival(s), renewal(s) and extension(s) of said patent(s).

The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made.

The Assignor hereby represents to the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, or if applicable, at such time said prior agreement was executed, the Assignor is a lawful owner of an undivided interest in the entire right, title, and interest in and to the Invention(s), that the Invention(s) are unencumbered, except, if applicable, by obligation to assign in accordance with said prior agreement, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth herein, and that Assignor will not make or enter into any assignment, sale, agreement or encumbrance which would conflict with these presents.

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The Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that the Assignor will sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done in connection with any and all proceedings for the procurement, maintenance, enforcement and defense of the Invention(s), said application(s), and said patent(s), including interference and derivation proceedings, and any post-grant proceedings (e.g., opposition proceedings, post-grant reviews, *Inter partes* reviews, supplemental examinations, etc.) without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns.

The Assignor hereby authorizes and requests the attorneys of COOLEY LLP to insert in the spaces provided above the filing date, the application number, and the attorney docket number of the application(s) identified above when known.

The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

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Date: <u> </u>	2016	-	ву: 🥼	lo je?	T <i>ælle</i> Giovanni M	<u>√o⊸o</u> ygistrelli
Witness Signature:		Tal	la_	Date: _	Hou	<u>3,2016</u>
Print Witness Name:		<u>zbhali</u>	2 M	<sup>2</sup> Uhl	ier '	
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Witness Signature:	CHAT	2 JUN		Date:	hoy 2	, 2016

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Date: 29 th April 2014 By:	Pauline Malinge
Witness Signature: NTCWC  Print Witness Name: Na	Date: 19011 29, 2016
Print Witness Name: Nhrhalie UTOLL	<u>EE</u>
Witness Signature: Witness	
Print Witness Name: VENDET Van!	,

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Date: 28th FA	pilzo16	By:	4	or.	
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Witness Signature:	WICLE	<u> </u>	Date:	) resil 2	8,201€
Print Witness Name:	Nathal	r L	CULL		
Witness Signature:	Weat-		Date:	<u> Mil 28,20</u>	46_
Print Witness Name:	VERNET	Mail e	Pouls		

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For and on behalf of ASSIGNEE:	
Date: April 25, 2016	By:  Name: Datholic TOULES  Title: Legal Siecks  Company: NovImmune SA
Witness Signature:	Date: Apil 25,2016
Print Witness Name: UEAOCT	hale Vaule
i.	
Witness Signature:	Date: April 25, 212
Print Witness Name: 165000	= W.W.P.C.

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**PATENT REEL: 038771 FRAME: 0877**